NOTE BY THE TECHNICAL SECRETARIAT

FOURTH SURVEY ON THE IMPLEMENTATION OF DECISION C-14/DEC.4:
GUIDELINES REGARDING LOW-CONCENTRATION LIMITS
FOR DECLARATIONS OF SCHEDULE 2A AND 2A* CHEMICALS

1. At its Fourteenth Session (from 30 November to 4 December 2009), the Conference of the States Parties adopted the decision C-14/DEC.4 (dated 2 December 2009), setting guidelines regarding low-concentration limits for declarations of Schedule 2A and 2A* chemicals. In accordance with operative paragraph 2 of this decision, States Parties were required, in accordance with their constitutional processes, to implement these guidelines as soon as practicable.

2. In accordance with operative paragraph 3 of this decision, the Technical Secretariat (hereinafter “the Secretariat”) was tasked to report in detail annually to the Executive Council in the Verification Implementation Report (VIR) on the progress that States Parties have made in implementing this decision, beginning not later than 1 January 2012.

3. Having reviewed the progress made by the States Parties in implementation of the decision C-14/DEC.4, the Third Review Conference called for fuller implementation of the decision at the domestic level (paragraph 9.85, RC-3/3*, dated 19 April 2013) and called upon States Parties that have not yet implemented the decision to take necessary measures to expedite its implementation (paragraph 9.95(b), RC-3/3*).

4. All States Parties, irrespective of whether they have or do not have, or anticipate any activity related to, Schedule 2A and 2A* chemicals declarable under Article VI of the Chemical Weapons Convention (hereinafter “the Convention”), are required to implement the decision C-14/DEC.4 as soon as practicable and to inform the Secretariat annually on the status of implementation of the decision. This information has been conveyed through the questionnaire-based survey undertaken by the Secretariat since 2011.

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1 C-14/DEC.4, dated 2 December 2009 (“Guidelines Regarding Low-Concentration Limits for Declarations of Schedule 2A and 2A* Chemicals”)
2 Third Special Session of the Conference of the States Parties to Review the Operation of the Chemical Weapons Convention
3 The first survey was issued on 6 July 2011 (S/948/2011); the second survey was issued on 18 September 2012 (S/1040/2012); the third survey was issued on 17 September 2013 (S/1125/2013).
5. Based on the results of the three surveys undertaken in 2011, 2012, and 2013, and previous submissions under paragraph 5 of Article VII of the Convention, 33 States Parties have indicated that they have implemented the decision and 18 States Parties have informed the Secretariat that implementation of the decision is in process or that the decision has not yet been implemented\(^4\). Detailed reports on the progress in implementation of the decision were included as part of the VIR for 2011\(^5\), the VIR for 2012\(^6\), and the VIR for 2013\(^7\).

6. In order to ensure that the information on the progress in implementation of this decision to be included as part of the VIR for 2014 is complete, the Secretariat is undertaking a fourth survey on the implementation of decision C-14/DEC.4.

7. Those States Parties that have already informed the Secretariat that they have fully implemented decision C-14/DEC.4, either through their responses to a previous survey or through submission under paragraph 5 of Article VII of the Convention, do not need to complete this fourth survey. All other States Parties, including those who indicated in their response to a previous survey that they had not yet implemented the decision or were in the process of doing so, are kindly requested to complete the questionnaire annexed hereto, and to return it to the Secretariat by 31 December 2014\(^8\), to allow the data to be incorporated into the VIR for 2014.

8. Queries or requests for clarification or assistance in completing the questionnaire (including requests for an electronic version in Microsoft Word) may be addressed to:

Declarations Branch
Telephone: +31 (0)70 416 3062
E-mail: deb@opcw.org


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\(^4\) As at 9 September 2014
\(^6\) EC-73/HP/DG.1, dated 16 May 2013, and EC-73/HP/DG.1/Corr.1, dated 8 July 2013
\(^7\) EC-76/HP/DG.1*, dated 29 April 2014, and EC-76/HP/DG.1/Corr.1, dated 7 July 2013
\(^8\) If the information provided is confidential, it should be handled in accordance with the Confidentiality Supplement to the OPCW Declarations Handbook 2013 (Section M of the Declarations Handbook 2013). Confidential information can be transmitted to the Secretariat through the Secure Information Exchange (SIX) system, which has been available to the States Parties since 1 July 2014. More information about the new system can be found in the following Note by the Secretariat: S/1192/2014, dated 1 July 2014. If the information provided is not confidential, the response may be e-mailed to deb@opcw.org or faxed to the Declarations Branch on +31 (0)70 306 3535.
ANNEX

FOURTH SURVEY ON THE IMPLEMENTATION OF DECISION C-14/DEC.4: GUIDELINES REGARDING LOW-CONCENTRATION LIMITS FOR DECLARATIONS OF SCHEDULE 2A AND 2A* CHEMICALS

State Party: _________________________________________________________

Date:   _________________________________________________________

Is the information provided confidential\(^1\)? Yes ☐ No ☐

Please note that the response to this questionnaire can only be considered a submission under paragraph 5 of Article VII of the Convention if it is unclassified.

If yes, what level of classification should be applied?

☐ Restricted (R) ☐ Protected (P) or ☐ Highly Protected (H)

Person whom the Secretariat may contact to discuss this survey:

Name:    _________________________________________________________

Telephone: _________________________________________________________

Fax:  _________________________________________________________

E-mail: _________________________________________________________

\(^{1}\) If the information provided is confidential, it should be handled in accordance with the Confidentiality Supplement to the OPCW Declarations Handbook 2013 (Section M of the Declarations Handbook 2013). Confidential information can be transmitted to the Secretariat through the Secure Information Exchange (SIX) system, which has been available to the States Parties since 1 July 2014. More information about the new system can be found in the following Note by the Secretariat: S/1192/2014, dated 1 July 2014. If the information provided is not confidential, the response may be e-mailed to deb@opcw.org or faxed to the Declarations Branch on +31 (0)70 306 3535.
1. Has your State Party implemented decision C-14/DEC.4?

☐ Fully implemented
☐ In the process of implementation
☐ Not yet implemented

If the decision C-14/DEC.4 has been fully implemented, please answer only questions 2 to 6 and 9:

2. Were any new regulations or amendments to existing legislation or regulations required to implement this decision?

☐ New regulation and/or legislation introduced. Please specify below.  
☐ Existing legislation and/or regulations amended. Please specify below.

☐ Existing legislation and/or regulations in force that fully implement C-14/DEC.4:
____________________________________________________________________
____________________________________________________________________
____________________________________________________________________

3. If fully implemented, in which year? __________

4. Please indicate the first annual declaration (i.e. an annual declaration of past activities (ADPA) or an annual declaration of anticipated activities (ADAA)) that was submitted, which took into account C-14/DEC.4 (e.g. ADPA 2013 or ADAA 2015):
____________________________________________________________________

5. If new legislation and/or regulations, or amendments to existing legislation and/or regulations have been introduced, has a submission under paragraph 5 of Article VII of the Convention been made? If so, please indicate the date of this submission to the Secretariat:
____________________________________________________________________

6. If a submission under paragraph 5 of Article VII has not yet been made, do you wish the response to this questionnaire to be considered as a submission?  

Yes ☐ No ☐

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2 Please provide a reference to any new or amended legislation or regulation(s).
3 The Secretariat encourages the submission of the text of the legislation or regulation(s).
4 A response to this questionnaire can only be considered a submission under paragraph 5 of Article VII if it is unclassified.
If the decision C-14/DEC.4 is in the process of being implemented or has not yet been implemented, please answer questions 7 to 9:

7. If C-14/DEC.4 has not yet been implemented, are new regulations and/or amendments to existing legislation or regulations required to implement this decision?

☐ New legislation and/or regulation required.
☐ Amendment to existing legislation or regulations required.
☐ Other action required. If so, please specify:

_____________________________________________________________________
_____________________________________________________________________
_____________________________________________________________________
_____________________________________________________________________
_____________________________________________________________________

8. When does your State Party expect to be in a position to implement this decision?

_____________________________________________________________________

9. Please indicate if you have encountered or are encountering any problems in relation to the implementation of the decision C-14/DEC.4. Please also provide any additional comments you deem appropriate in relation to the implementation of this decision:

_____________________________________________________________________
_____________________________________________________________________
_____________________________________________________________________
_____________________________________________________________________

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