



NOTE BY THE DIRECTOR-GENERAL

**STATUS REPORT ON TIMELY SUBMISSION BY STATES PARTIES OF
DECLARATIONS UNDER ARTICLE VI OF THE CHEMICAL WEAPONS
CONVENTION FOR THE PERIOD FROM 1 JANUARY TO 31 MAY 2014**

1. Introduction

- 1.1 This Note, which is being submitted to the Executive Council for its consideration at its Seventy-Sixth Session, provides an update to the information and analysis related to the implementation by the Technical Secretariat (hereinafter “the Secretariat”) and States Parties of the decision “Timely Submission by States Parties of Declarations under Article VI of the Convention” (EC-51/DEC.1, dated 27 November 2007); it covers the period from 1 January to 31 May 2014.¹
- 1.2 In response to the above-mentioned decision, which requires “all States Parties to meet their obligations regarding annual declarations in a timely manner”, the Secretariat sent further notes verbales to all Member States (NV/VER/DEB/188554/14, dated 24 January 2014) reminding them of the requirements of the decision and of the deadline for submission of annual declarations on past activities (ADPAs) for the year 2013.
- 1.3 The decision also requests the Secretariat “to make its requirements known at regional meetings and at any other venues that the Secretariat deems effective”. During the reporting period, the decision was highlighted during three events: one regional meeting of National Authorities in Eastern Europe; one basic training course for representatives of National Authorities in The Hague; and one national implementation capacity evaluation workshop for Pacific Islands States Parties in Brisbane, Australia. Furthermore, the Secretariat has held several bilateral meetings with Permanent Representatives and National Authorities to highlight the importance of the timely submission of declarations, and has conducted continuous follow-ups via e-mail and telephone to resolve pending issues related to declarations.

* Reissued for technical reasons.

¹ Previous Notes on this topic are EC-53/DG.8, dated 5 June 2008; EC-55/DG.12, dated 5 February 2009; EC-57/DG.8, dated 11 June 2009 and Corr.1, dated 18 June 2009; EC-59/DG.8, dated 29 January 2010; EC-61/DG.11, dated 11 June 2010; EC-63/DG.5, dated 18 January 2011; EC-65/DG.8*, dated 27 June 2011; EC-67/DG.4, dated 19 January 2012; EC-69/DG.7, dated 18 June 2012 and Corr.1, dated 10 July 2012; EC-71/DG.7, dated 23 January 2013; EC-73/DG.6, dated 24 June 2013; and EC-75/DG.1, dated 15 January 2014.



2. Submission of initial declarations under Article VI

- 2.1 The current status in relation to the situation of submission of outstanding initial declarations is detailed in Annex 1.
- 2.2 During the reporting period, the Secretariat received one initial declaration from Haiti on 27 May 2014. Haiti submitted its outstanding initial declaration after eight years. This improvement is the result of an initiative taken by the Secretariat to focus its efforts on outstanding initial declarations. As at 31 May 2014, the following six States Parties had yet to submit their initial declarations under Article VI: Kiribati, Solomon Islands, Somalia, Timor-Leste, Tonga, and Vanuatu.
- 2.3 During the reporting period, the Secretariat sent notes verbales to all States Parties that had yet to submit initial declarations and held bilateral meetings with all these States Parties during events organised by the Secretariat. The Secretariat will continue to work with all the States Parties concerned towards the submission of their outstanding initial declarations.

3. Submission of annual declarations under Article VI

- 3.1 The current status of submissions of ADPAs for 2013 is detailed in Annexes 2 and 3 of this report. The current status of submissions of annual declarations of anticipated activities (ADAAs) for 2014 is detailed in Annexes 4 and 5.
- 3.2 The deadline for the submission of ADPAs for 2013 was 31 March 2014. As at 31 March 2014, the Secretariat had received ADPAs for 2013 from 78 States Parties, including seven States Parties that notified the Secretariat that they had no declarable facilities or activities (nil declarations).² An additional nine States Parties submitted ADPAs for declarable facilities or activities for 2013 after 31 March 2014, and two more States Parties notified the Secretariat that they had no declarable facilities or activities under at least one Part, or paragraph, of the Verification Annex to the Chemical Weapons Convention (hereinafter “the Verification Annex”). Out of 71 States Parties that had submitted their declaration on time, two States Parties had submitted partial declarations after the deadline. Of the 80 States Parties that submitted a declaration for declarable facilities or activities for ADPA 2013, 71 (89%) were on time in submitting at least part of their declaration.
- 3.3 The deadlines for the submission of ADAAs for 2014 were 2 October 2013 (for Schedule 1 facilities) and 1 November 2013 (for Schedule 2 and 3 plant sites). During the reporting period, one additional State Party submitted its ADAA for 2014 on declarable Schedule 3 facilities. Furthermore, one State Party notified the Secretariat that it had no declarable facilities or activities in regard to Schedule 1 chemicals and related facilities for 2014.
- 3.4 A total of 47 States Parties declared activities and facilities for 2014, and three more States Parties notified the Secretariat that they had no declarable activities. Of the States Parties with declarable facilities or activities in regard to their ADAAs for 2014, 37 (79%) were on time in submitting at least part of their declaration.

²

Although the submission of “nil declarations” is not a requirement of the Convention, a number of States Parties choose to provide the Secretariat with that information voluntarily.

- 3.5 Subparagraph 2(b) of EC-51/DEC.1 states that “each State Party that has indicated in its initial declaration that it does not have any declarable activities under Article VI of the Convention, but which now finds that it has declarable activities taking place within its territory, [is requested] to submit the relevant annual declarations no later than 30 March 2008 and, in subsequent years, by the appropriate deadlines”. None of the States Parties that had submitted annual declarations for 2013 specified that they were subject to the provisions of subparagraph 2(b).
- 3.6 Subparagraph 2(c) of EC-51/DEC.1 states that “each State Party that has indicated in its initial declaration that it does have declarable activities under any of Parts VI, VII, VIII, and IX of the Verification Annex, but has not provided the relevant annual declarations, [is requested] to submit these declarations no later than 30 March 2008, and by the appropriate deadlines in subsequent years”. Only one State Party, Swaziland, was subject to this provision and has yet to provide the relevant annual declaration.
- 3.7 Paragraph 4 of EC-51/DEC.1 states that “States Parties that anticipate difficulties in regard to the timely submission of their declarations in accordance with the deadlines in the Convention [are requested] to inform the Secretariat at the earliest possible date of the circumstances of such difficulties”. Of the 11 States Parties that submitted at least part of their ADPAs after the deadline (excluding nil declarations), three States Parties (27%) submitted information to that effect, thus meeting the requirements specified in this paragraph of the decision. The remaining eight did not do so.
- 3.8 Of the 12 States Parties that submitted at least part of their ADAAs after the deadline, (excluding nil declarations), four States Parties (33%) submitted information to that effect, thus meeting the requirements stated in paragraph 4 of EC-51/DEC.1. The remaining eight did not do so.
- 3.9 Previous Notes issued by the Secretariat (see footnote 1 above) identified five reasons given by States Parties for missing the deadlines for the submission of their declarations:
- (a) difficulties with drafting and/or obtaining approval for the required legislation or establishing implementation measures;
 - (b) difficulties with identifying declarable activities;
 - (c) difficulties with collecting data;
 - (d) difficulties with preparing declarations; and
 - (e) logistical difficulties in regard to the transmission of declarations to the Secretariat.
- 3.10 Of the three States Parties that informed the Secretariat of the circumstances leading to the delays in submission of their ADPAs, all cited logistical difficulties (subparagraph 3.9(e) above), as the reason for late submissions.

- 3.11 The four States Parties that informed the Secretariat of the circumstances leading to the delays in submission of their ADAAs all cited logistical difficulties (subparagraph 3.9(e) above) as the reason for late submissions.

4. Ongoing efforts to assist States Parties

- 4.1 According to paragraph 3 of EC-51/DEC.1, the States Parties referred to in paragraph 2 of this decision were requested to inform the Secretariat by 30 March 2008 whether they would welcome assistance from the Secretariat in order to meet their obligations.

- 4.2 As mentioned in paragraph 3.7 above, paragraph 4 of EC-51/DEC.1 indicates that States Parties that anticipate difficulties with submitting their declarations on time are requested to inform the Secretariat of that fact and whether they would welcome any assistance in this regard.

- 4.3 During the reporting period, no States Parties requested or indicated that they would welcome assistance from the Secretariat in order to meet their obligations on time.

- 4.4 In 2013, the Secretariat initiated a project to establish a secure electronic transmission channel to facilitate the exchange of information, including that of a confidential nature, between the Secretariat and the States Parties. The purpose of this initiative is to offer an alternative channel for the exchange of information, including declarations. Expected benefits of the system include improved timeliness for the submission of declarations and efficiency in relevant business processes. The pilot phase of the Secure Information Exchange (SIX)³ project was completed in November 2013, and positive feedback was received from the State Parties. The SIX system will be available for use by mid-2014, and the Secretariat will issue a Note dedicated to this matter.

5. Analysis of trends in the timeliness of past ADPAs and ADAAs⁴

- 5.1 The timeliness of submission of ADPAs from 1997 to 2013 is shown in Figure 1 below.

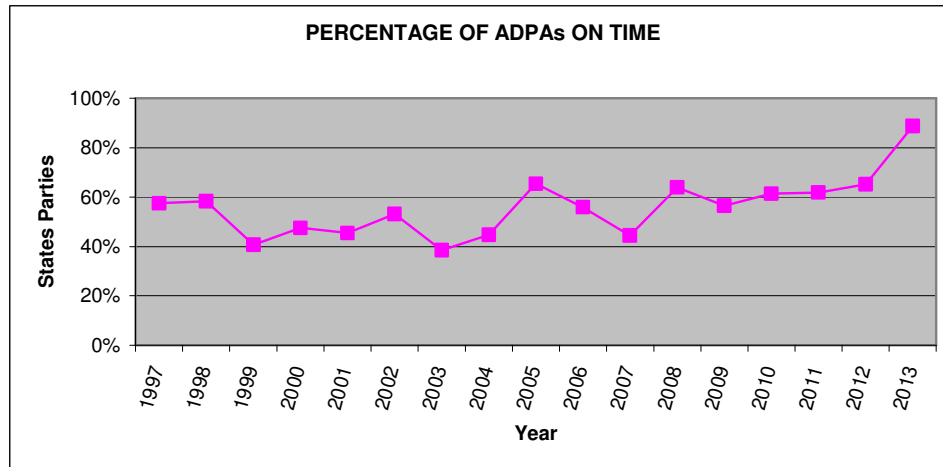
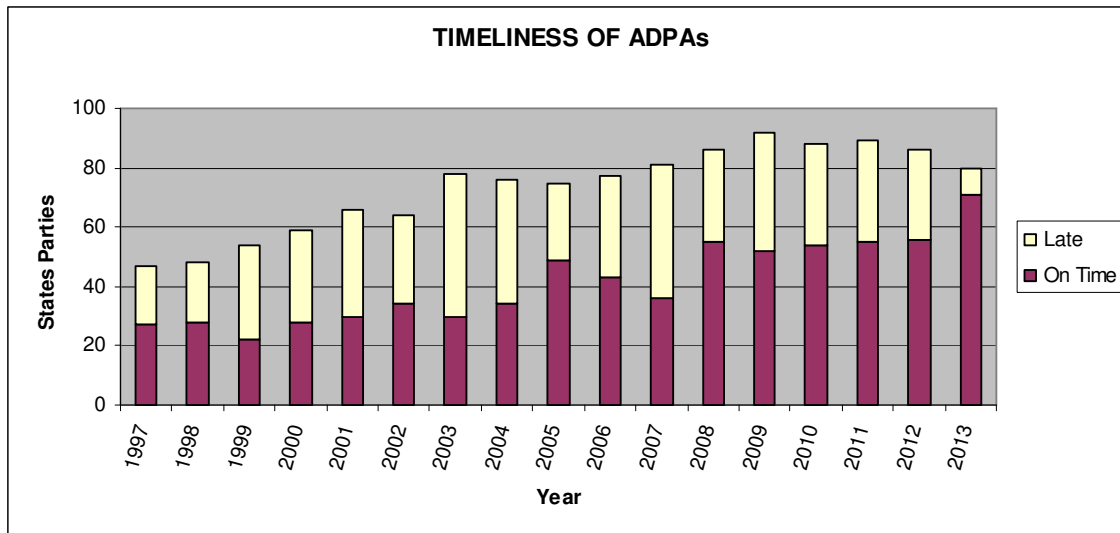
- 5.2 Although the number of submissions received on time for the first declaration submitted after the approval of EC-51/DEC.1 (ADPA 2007) dropped in comparison to the previous year, for ADPA 2008 there was a significant improvement in the number and percentage of submissions received on time, which was maintained for ADPA 2009, ADPA 2010, ADPA 2011, and ADPA 2012. For ADPA 2013, there was a remarkable increase in the number and percentage of submissions received on time, with 71 (89%) out of 80 States Parties submitting their ADPAs on time, compared to 56 (65%) out of 86 for ADPA 2012. This is the highest number and percentage of declarations submitted on time since 1997. This improvement was achieved as a result of continuous efforts made by the Secretariat reminding States Parties of their

³ For more information about the SIX project, please refer to the dedicated section of the OPCW External Server or to the Report of the Fourth User-Group Forum for the Electronic Declaration Tool for National Authorities (EDNA) (S/1146/2014, dated 7 January 2014).

⁴ The numbers and percentages of States Parties in this section do not include submissions of “nil declarations”.

declaration obligations through various means, including bilateral consultations with Permanent Representatives and National Authorities, education and outreach at regional and subregional meetings, courses, seminars, and workshops, and continuous follow-ups via e-mail and telephone.

FIGURE 1: OVERVIEW OF ADPA SUBMISSIONS FROM ADPA 1997 TO ADPA 2013



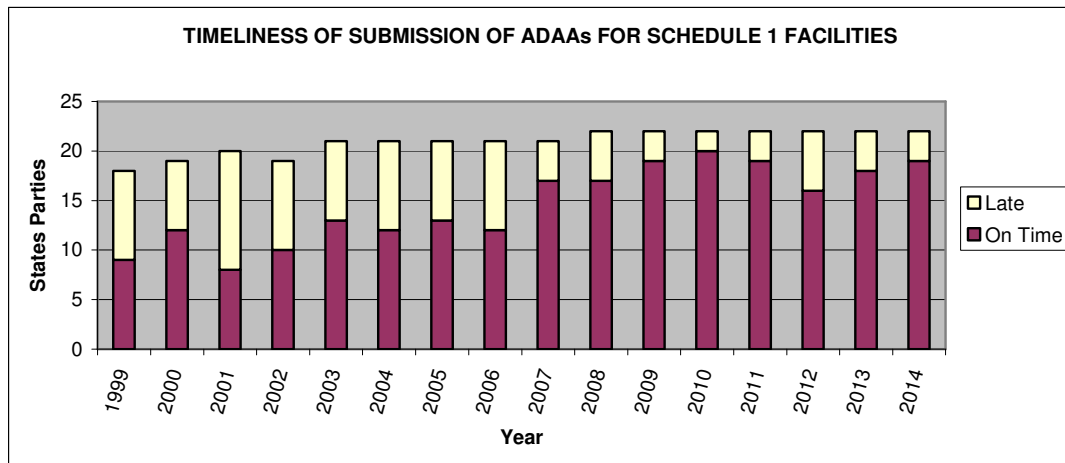
5.3 The timeliness of submission of ADAAs from 1999 to 2014 is shown in Figures 2 and 3 below.

5.4 For ADAA 2014 for Schedule 1 facilities, there was an increase in the number of States Parties submitting their declarations on time, compared to ADAA 2013. For ADAA 2014, 19 States Parties (86%) with declarable activities submitted their ADAAs on time, compared to 18 States Parties (82%) that did so for ADAA 2013. Furthermore, the number of States Parties submitting their ADAAs more than 30 days late for the last three ADAA rounds (ADAA 2012, 2013, and 2014) has decreased compared to the first three ADAA rounds (ADAA 2009, 2010, and 2011). Two

States Parties submitted their ADAAs more than 30 days late for the first three ADAA rounds (ADAA 2009, 2010 and 2011), while no State Party submitted its ADAA more than 30 days late for the last three ADAA rounds (ADAA 2012, 2013 and 2014).

5.5 For Schedule 2 and 3 plant site declarations, there was no significant change in the number of States Parties submitting their ADAA 2014 on time compared to ADAA 2013. For ADAA 2014, 36 States Parties (80%) out of 45 States Parties with declarable activities submitted their declarations on time, compared to 37 States Parties (82%) out of 45 States Parties with declarable activities for ADAA 2013. Furthermore, there was no significant change in the number of States Parties submitting their ADAAs more than 30 days late for Schedule 2 and 3 plant sites for the last three ADAA rounds (ADAA 2012, 2013, and 2014) compared to the first three ADAA rounds (ADAA 2009, 2010, and 2011). The number of States Parties submitting their ADAAs more than 30 days late was five for the first three ADAA rounds (ADAA 2009, 2010, and 2011), and six for the last three ADAA rounds (ADAA 2012, 2013, and 2014). Three States Parties that had submitted their ADAAs more than 30 days late for the previous ADAA rounds submitted ADAA 2014 on time, and one additional State Party submitted ADAA 2014 less than 30 days late. As at 31 May 2014, the number of States Parties that had repeatedly submitted their ADAAs more than 30 days late was four. The Secretariat will continue to work with these States Parties towards the submission of their future ADAAs on time.

FIGURE 2: OVERVIEW OF ADAA SUBMISSIONS FOR SCHEDULE 1 FACILITIES



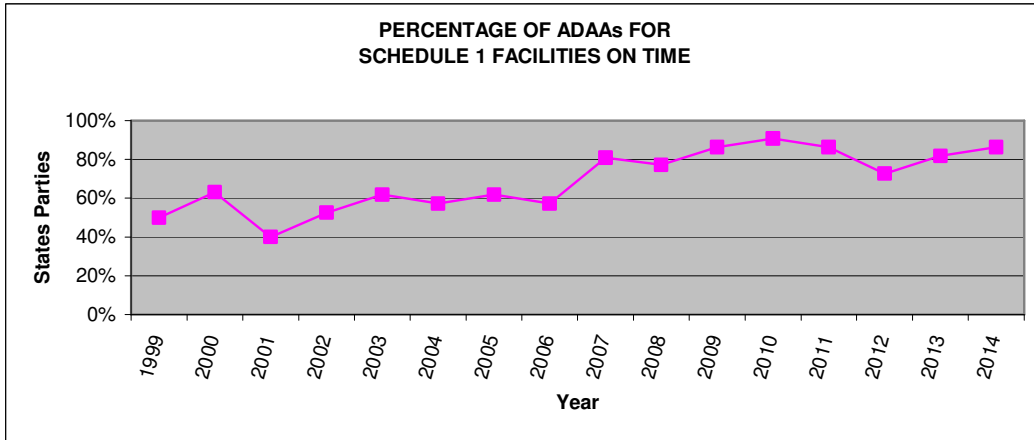
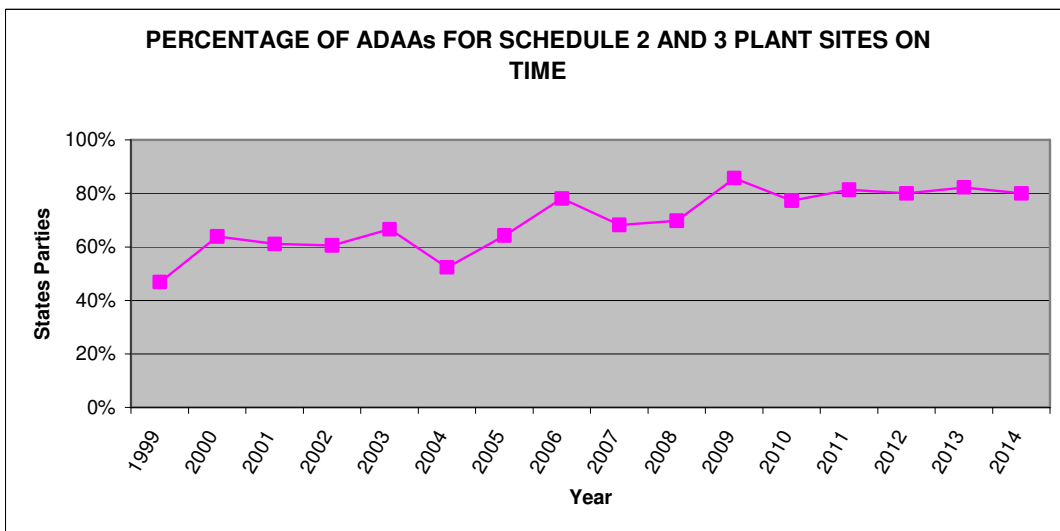
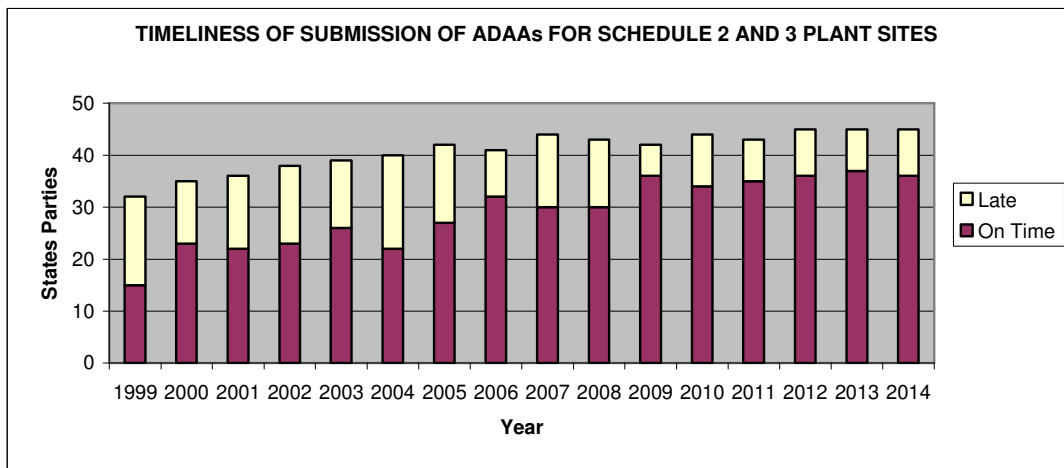


FIGURE 3: OVERVIEW OF ADA A SUBMISSIONS FOR SCHEDULE 2 AND SCHEDULE 3 PLANT SITES

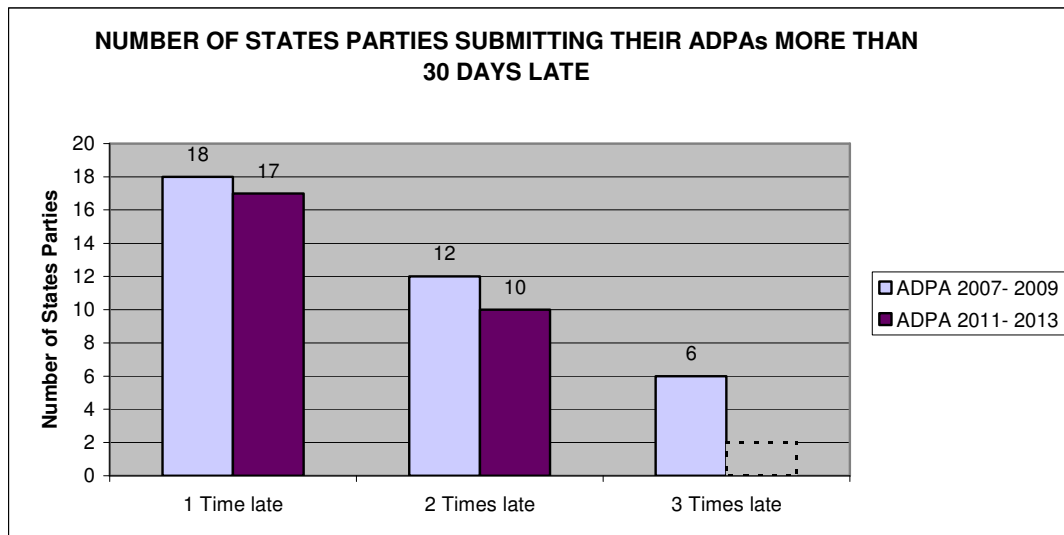


5.6 Although many States Parties are occasionally one or two days late in submitting their declarations, a number of States Parties are regularly several weeks or months late in submitting them. The number of States Parties submitting their ADPAs more than 30

days late for the first three ADPA rounds since the approval of EC-51/DEC.1 (ADPA 2007, 2008, and 2009) and for the past three ADPA rounds (ADPA 2011, 2012, and 2013) is shown in Figure 4.⁵

- 5.7 The number of States Parties submitting their ADPAs more than 30 days late two or three times for the last three ADPA rounds (ADPA 2011, 2012, and 2013) has decreased compared to the first three ADPA rounds (ADPA 2007, 2008, and 2009).

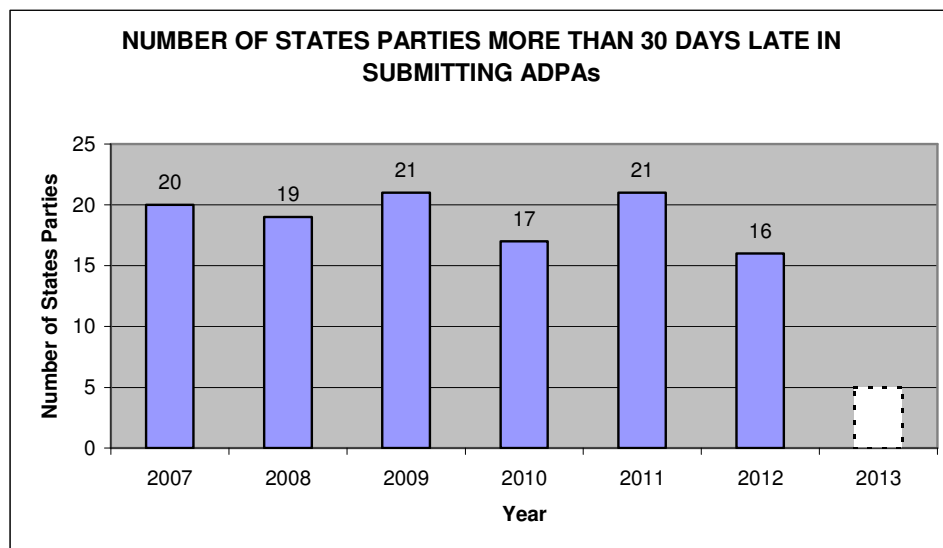
FIGURE 4: OVERVIEW OF SUBMISSIONS OF ANNUAL DECLARATIONS THAT ARE MORE THAN 30 DAYS LATE



- 5.8 Since early 2010, the Secretariat has held bilateral meetings with representatives of States Parties that have submitted annual declarations more than 30 days late at least twice since the approval of EC-51/DEC.1. These meetings were held specifically to stress the importance of timely submissions and to offer advice and assistance where needed. As the result of this initiative, seven of the States Parties that fell into that category submitted their ADPA 2013 on time, and four States Parties submitted their ADPA 2013 less than 30 days late.
- 5.9 As at 31 May 2014, the overall number of States Parties that had submitted ADPA 2013 more than 30 days late was zero. Of the 16 States Parties that submitted ADPA 2012 more than 30 days late, eight submitted ADPA 2013 on time. The number of States Parties that submitted ADPA 2007 to ADPA 2013 more than 30 days late is shown in Figure 5 below. However, more submissions of ADPA 2013 are expected.

⁵ There have been seven ADPA rounds (ADPA 2007, 2008, 2009, 2010, 2011, 2012, and 2013) since the approval of EC-51/DEC.1.

FIGURE 5: OVERVIEW OF SUBMISSIONS THAT WERE MORE THAN 30 DAYS LATE, ADPA 2007 TO ADPA 2013



5.10 The Secretariat will continue to monitor and report on the timeliness of submissions and to highlight this issue at every opportunity.

Annexes:

- Annex 1: Submission of Initial Declarations by States Parties under Article VI (As at 31 May 2014)
- Annex 2: Timely Submissions of Annual Declarations on Past Activities for 2013 (As at 31 March 2014)
- Annex 3: Additional Submissions of Annual Declarations on Past Activities for 2013 (As at 31 May 2014)
- Annex 4: Timely Submissions of Annual Declarations on Anticipated Activities for 2014 (As at 2 October 2013 for Schedule 1 Facilities and 1 November 2013 for Schedule 2 and 3 Plant Sites)
- Annex 5: Additional Submissions of Annual Declarations on Anticipated Activities for 2014 (As at 31 May 2014)

Annex 1**SUBMISSION OF INITIAL DECLARATIONS BY STATES PARTIES
UNDER ARTICLE VI
(AS AT 31 MAY 2014)**

	State Party	Due Date for Submission of Initial Declaration (EIF⁶ + 30 days)	Date of Submission of Initial Declaration
1.	Haiti	23-Apr-06	27-May-14
2.	Kiribati	06-Nov-00	
3.	Solomon Islands	22-Nov-04	
4.	Somalia	28-Jul-13	
5.	Timor-Leste	06-Jul-03	
6.	Tonga	28-Jul-03	
7.	Vanuatu	15-Nov-05	

⁶

EIF = entry into force of the Chemical Weapons Convention.

Annex 2

TIMELY SUBMISSIONS OF ANNUAL DECLARATIONS ON PAST ACTIVITIES FOR 2013 (AS AT 31 MARCH 2014)^{7, 8}

	State Party	Schedule 1 Facilities	Schedule 1 Transfers	Schedule 2 Plant Sites	Schedule 2 AND ⁹	Schedule 3 Plant Sites	Schedule 3 AND	OCPF ¹⁰ Update
1.	Algeria						17-Mar-14	17-Mar-14
2.	Argentina			31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14
3.	Armenia*	28-Mar-14*	28-Mar-14*	28-Mar-14*	28-Mar-14*	28-Mar-14*	28-Mar-14*	28-Mar-14*
4.	Australia	20-Mar-14		20-Mar-14	20-Mar-14	20-Mar-14	20-Mar-14	20-Mar-14
5.	Austria			28-Mar-14	28-Mar-14		28-Mar-14	28-Mar-14
6.	Bahamas							31-Mar-14
7.	Bahrain							25-Feb-14
8.	Bangladesh							31-Mar-14
9.	Belarus					31-Mar-14	31-Mar-14	31-Mar-14
10.	Belgium	11-Mar-14		26-Mar-14	26-Mar-14	26-Mar-14	26-Mar-14	26-Mar-14
11.	Brazil			28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14
12.	Canada	26-Feb-14	26-Feb-14	27-Mar-14	27-Mar-14		27-Mar-14	27-Mar-14
13.	Chile						28-Mar-14	28-Mar-14
14.	China	28-Mar-14		28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14
15.	Colombia						28-Mar-14	28-Mar-14
16.	Costa Rica						28-Mar-14	28-Mar-14
17.	Croatia						28-Mar-14	28-Mar-14
18.	Cuba	27-Mar-14*	27-Mar-14*	27-Mar-14*	27-Mar-14*	27-Mar-14*	27-Mar-14	27-Mar-14
19.	Cyprus							31-Mar-14
20.	Czech Republic	26-Feb-14	26-Feb-14	28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14
21.	Dominica*	16-Dec-13*						

⁷ States Parties that had made submissions of ADPAs for 2013 by the deadline.

⁸ Here and throughout, an asterisk denotes the submission of a “nil declaration”.

⁹ AND = aggregate national data.

¹⁰ OCPF = other chemical production facility.

	State Party	Schedule 1 Facilities	Schedule 1 Transfers	Schedule 2 Plant Sites	Schedule 2 AND⁹	Schedule 3 Plant Sites	Schedule 3 AND	OCPF¹⁰ Update
22.	Dominican Republic						26-Mar-14	
23.	Estonia						26-Mar-14	26-Mar-14
24.	Finland	26-Mar-14	26-Mar-14	26-Mar-14	26-Mar-14		26-Mar-14	26-Mar-14
25.	France	07-Feb-14		20-Mar-14	20-Mar-14	20-Mar-14	20-Mar-14	20-Mar-14
26.	Georgia					27-Mar-14	27-Mar-14	27-Mar-14
27.	Germany		28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14
28.	Greece			28-Mar-14	28-Mar-14		28-Mar-14	28-Mar-14
29.	Hungary		27-Mar-14		27-Mar-14	27-Mar-14	27-Mar-14	27-Mar-14
30.	India	27-Mar-14		27-Mar-14	27-Mar-14	27-Mar-14	27-Mar-14	27-Mar-14
31.	Indonesia					07-Mar-14*	07-Mar-14	07-Mar-14
32.	Iran (Islamic Republic of)	26-Mar-14		26-Mar-14		26-Mar-14	26-Mar-14	26-Mar-14
33.	Iraq						03-Mar-14	03-Mar-14
34.	Ireland			27-Mar-14	27-Mar-14		27-Mar-14	27-Mar-14
35.	Japan	27-Feb-14		28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14
36.	Kenya						28-Mar-14	28-Mar-14
37.	Latvia			31-Mar-14	31-Mar-14			31-Mar-14
38.	Libya						06-Mar-14	06-Mar-14
39.	Liechtenstein*			31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*
40.	Lithuania							28-Mar-14
41.	Luxembourg						28-Mar-14	
42.	Malaysia				28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14
43.	Malta						27-Mar-14	
44.	Mauritius						14-Jan-14	
45.	Mexico			27-Mar-14	27-Mar-14	27-Mar-14	27-Mar-14	27-Mar-14
46.	Netherlands	28-Mar-14		28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14	28-Mar-14
47.	New Zealand			31-Mar-14*			31-Mar-14	31-Mar-14
48.	Nigeria						19-Feb-14	
49.	Norway	31-Mar-14	31-Mar-14		21-Mar-14		21-Mar-14	21-Mar-14
50.	Panama						15-Jan-14	
51.	Paraguay						24-Mar-14	
52.	Peru						31-Mar-14	31-Mar-14

	State Party	Schedule 1 Facilities	Schedule 1 Transfers	Schedule 2 Plant Sites	Schedule 2 AND⁹	Schedule 3 Plant Sites	Schedule 3 AND	OCPF¹⁰ Update
53.	Poland			27-Mar-14	27-Mar-14	27-Mar-14	27-Mar-14	27-Mar-14
54.	Portugal					28-Mar-14	28-Mar-14	28-Mar-14
55.	Qatar						28-Jan-14	28-Jan-14
56.	Republic of Korea			21-Mar-14	21-Mar-14	21-Mar-14	21-Mar-14	21-Mar-14
57.	Republic of Moldova*	31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*
58.	Romania			11-Mar-14*	11-Mar-14	11-Mar-14*	11-Mar-14	11-Mar-14
59.	Russian Federation					28-Mar-14	28-Mar-14	28-Mar-14
60.	Saudi Arabia					31-Mar-14	31-Mar-14	31-Mar-14
61.	Senegal*	29-Nov-13*	29-Nov-13*	29-Nov-13*	29-Nov-13*	29-Nov-13*	29-Nov-13*	29-Nov-13*
62.	Serbia	28-Mar-14		28-Mar-14	28-Mar-14		28-Mar-14	28-Mar-14
63.	Singapore	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14
64.	Slovakia	13-Mar-14						
65.	Slovenia			28-Mar-14	28-Mar-14		28-Mar-14	28-Mar-14
66.	South Africa	17-Mar-14			17-Mar-14	17-Mar-14	17-Mar-14	17-Mar-14
67.	Spain	12-Mar-14		12-Mar-14	12-Mar-14	12-Mar-14	12-Mar-14	12-Mar-14
68.	Sweden	19-Mar-14	19-Mar-14	19-Mar-14	19-Mar-14	19-Mar-14	19-Mar-14	19-Mar-14
69.	Switzerland	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14
70.	Thailand					31-Mar-14	31-Mar-14	31-Mar-14
71.	The former Yugoslav Republic of Macedonia*	31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*	31-Mar-14*
72.	Ukraine			27-Mar-14	27-Mar-14	27-Mar-14	27-Mar-14	27-Mar-14
73.	United Kingdom of Great Britain and Northern Ireland	11-Mar-14		11-Mar-14	11-Mar-14	11-Mar-14	11-Mar-14	11-Mar-14
74.	United States of America	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14	31-Mar-14
75.	Uruguay						31-Mar-14	31-Mar-14
76.	Uzbekistan					10-Mar-14	10-Mar-14	10-Mar-14
77.	Viet Nam	28-Mar-14*		28-Mar-14*		28-Mar-14*	28-Mar-14	28-Mar-14
78.	Yemen*	21-Mar-14*	21-Mar-14*	21-Mar-14*	21-Mar-14*	21-Mar-14*	21-Mar-14*	21-Mar-14*

Annex 3

**ADDITIONAL SUBMISSIONS OF ANNUAL DECLARATIONS ON PAST ACTIVITIES FOR 2013
 (AS AT 31 MAY 2014)^{11, 12}**

	State Party	Schedule 1 Facilities	Schedule 1 Transfers	Schedule 2 Plant Sites	Schedule 2 AND	Schedule 3 Plant Sites	Schedule 3 AND	OCPF Update	Notification of Difficulties¹³	Request for Assistance
1.	Bulgaria			04-Apr-14	04-Apr-14		04-Apr-14	04-Apr-14	(e)	No assistance required
2.	Denmark			04-Apr-14	04-Apr-14		04-Apr-14	04-Apr-14	(e)	No assistance required
3.	Ecuador						10-Apr-14	10-Apr-14	None submitted	None submitted
4.	Ethiopia						08-Apr-14		None submitted	None submitted
5.	Italy			03-Apr-14	03-Apr-14	03-Apr-14	03-Apr-14	03-Apr-14	(e)	No assistance required
6.	Lebanon						08-Apr-14		None submitted	None submitted
7.	Montenegro*	16-Apr-14*	16-Apr-14*	16-Apr-14*	16-Apr-14*	16-Apr-14*	16-Apr-14*		n/a ¹⁴	n/a ¹⁴
8.	Namibia*	16-Apr-14*	16-Apr-14*	16-Apr-14*	16-Apr-14*	16-Apr-14*	16-Apr-14*	16-Apr-14*	n/a ¹⁴	n/a ¹⁴

¹¹ States Parties that had made submissions of ADPAs for 2013 after the deadline.

¹² Here and throughout, an asterisk denotes the submission of a “nil declaration”.

¹³ Difficulties encountered in timely submissions of declarations, as categorised in paragraph 3.9 of this Note.

¹⁴ “Notification of Difficulties” and “Request for Assistance” are not applicable to the States Parties that submitted only “nil declarations”.

	State Party	Schedule 1 Facilities	Schedule 1 Transfers	Schedule 2 Plant Sites	Schedule 2 AND	Schedule 3 Plant Sites	Schedule 3 AND	OCPF Update	Notification of Difficulties ¹³	Request for Assistance
9.	Pakistan						02-Apr-14	02-Apr-14	None submitted	None submitted
10.	Republic of Korea ¹⁵	18-Apr-14							None submitted	None submitted
11.	Slovakia ¹⁵				01-Apr-14		01-Apr-14	01-Apr-14	None submitted	None submitted
12.	Turkey			17-Apr-14	17-Apr-14	17-Apr-14	17-Apr-14	17-Apr-14	None submitted	None submitted
13.	United Arab Emirates						08-Apr-14		None submitted	None submitted

¹⁵

Also listed under Annex 2 in relation to declarations under other parts of the Verification Annex.

Annex 4

**TIMELY SUBMISSIONS OF ANNUAL DECLARATIONS
ON ANTICIPATED ACTIVITIES FOR 2014
(AS AT 2 OCTOBER 2013 FOR SCHEDULE 1 FACILITIES AND
1 NOVEMBER 2013 FOR SCHEDULE 2 AND 3 PLANT SITES)^{16,17}**

	State Party	Schedule 1 Facilities	Schedule 2 Plant Sites	Schedule 3 Plant Sites
1.	Argentina		01-Nov-13	01-Nov-13
2.	Australia	24-Sept-13	22-Oct-13	22-Oct-13
3.	Austria		21-Oct-13	
4.	Belarus			16-Oct-13
5.	Belgium	25-Sept-13		18-Oct-13
6.	Canada	02-Aug-13	17-Sept-13	
7.	Chile			31-Oct-13
8.	China	02-Oct-13	15-Oct-13	15-Oct-13
9.	Cuba*	27-Sept-13*	30-Oct-13*	30-Oct-13*
10.	Czech Republic	27-Sept-13	30-Oct-13	30-Oct-13
11.	Denmark		31-Oct-13	
12.	Finland	24-Sept-13	24-Sept-13	
13.	France	26-Sept-13	28-Oct-13	28-Oct-13
14.	Georgia			28-Oct-13
15.	Germany		21-Oct-13	21-Oct-13
16.	Hungary			30-Oct-13
17.	India	23-Sept-13	10-Oct-13	10-Oct-13
18.	Iran (Islamic Republic of)	27-Sept-13	29-Oct-13	29-Oct-13
19.	Ireland		31-Oct-13	
20.	Japan	10-Sept-13	31-Oct-13	31-Oct-13
21.	Malaysia			23-Sept-13
22.	Mexico		01-Nov-13	01-Nov-13
23.	Netherlands	26-Sept-13	26-Sept-13	26-Sept-13
24.	Norway		25-Oct-13*	25-Oct-13*
25.	Poland		25-Oct-13	25-Oct-13
26.	Portugal			08-Oct-13
27.	Republic of Korea		16-Oct-13	16-Oct-13
28.	Romania*		18-Sept-13*	18-Sept-13*
29.	Russian Federation		18-Oct-13	18-Oct-13
30.	Serbia		08-Oct-13	
31.	Singapore	17-Sept-13	25-Oct-13	25-Oct-13
32.	Slovakia	01-Oct-13	01-Oct-13*	01-Oct-13*
33.	Slovenia		25-Oct-13	
34.	South Africa	27-Sept-13	27-Sept-13	27-Sept-13
35.	Spain	01-Oct-13	10-Oct-13	10-Oct-13
36.	Sweden	24-Sept-13	28-Oct-13	28-Oct-13

¹⁶

States Parties that had made submissions of ADAAs for 2014 by the deadline.

¹⁷

Here and throughout, an asterisk denotes the submission of a “nil declaration”.

	State Party	Schedule 1 Facilities	Schedule 2 Plant Sites	Schedule 3 Plant Sites
37.	Switzerland	12-Sept-13	28-Oct-13	28-Oct-13
38.	United Kingdom of Great Britain and Northern Ireland	05-Sept-13	19-Sept-13	19-Sept-13
39.	United States of America	24-Sept-13	21-Oct-13	21-Oct-13
40.	Uzbekistan			29-Oct-13

Annex 5

**ADDITIONAL SUBMISSIONS OF ANNUAL DECLARATIONS
ON ANTICIPATED ACTIVITIES FOR 2014
(AS AT 31 MAY 2014)¹⁸**

	State Party	Schedule 1 Facilities	Schedule 2 Plant Sites	Schedule 3 Plant Sites	Notification of Difficulties¹⁹	Request for Assistance
1.	Brazil		15-Nov-13	15-Nov-13	(e)	No assistance required
2.	Bulgaria		15-Nov-13		(e)	No assistance required
3.	Dominica*	03-Jan-14*			n/a ²⁰	n/a ²⁰
4.	Greece		03-Dec-13		(e)	No assistance required
5.	Italy		18-Nov-13	18-Nov-13	None submitted	None submitted
6.	Latvia		30-Dec-13		None submitted	None submitted
7.	Norway ²¹	25-Oct-13			None submitted	None submitted
8.	Republic of Korea ²¹	16-Oct-13			None submitted	None submitted
9.	Saudi Arabia			13- May-14	None submitted	None submitted
10.	Serbia ²¹	08-Oct-13			(e)	No assistance required
11.	Thailand			20-Dec-13	None submitted	None submitted
12.	Turkey		05-Nov-13	05-Nov-13	None submitted	None submitted
13.	Ukraine			13-Nov-13	None submitted	None submitted

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¹⁸ States Parties that had made submissions of ADAAs for 2014 after the deadline.

¹⁹ Difficulties encountered in timely submissions of declarations, as categorised in paragraph 3.9 of this Note.

²⁰ “Notification of Difficulties” and “Request for Assistance” are not applicable to the States Parties that submitted only “nil declarations”.

²¹ Also listed under Annex 4 in relation to declarations under other parts of the Verification Annex.