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**REPORT OF THE ORGANISATION  
ON THE IMPLEMENTATION OF THE CONVENTION  
(29 APRIL - 28 OCTOBER 1997)**

**1. INTRODUCTION**

- 1.1 This draft report on the implementation of the Convention covering the period between the entry into force of the Convention and 28 October 1997 is a reflection of the novelty of the OPCW itself. The OPCW came into being part way through a calendar year, as a result of which it was clearly impossible, before the completion of that part year, to submit to the Conference of the States Parties at its Second Session anything more than a truncated report on the part year 29 April to 28 October 1997.
- 1.2 The Chemical Weapons Convention has broken new ground in the history of disarmament and the elimination of weapons of mass destruction. This in part explains its impressive membership: eighty-seven States were party to the Convention upon its entry into force. Now, 101 States have ratified or acceded and a further 66 have signed the Convention. Thus, in terms of its membership, the Convention is now second only to the Nuclear Non Proliferation Treaty, which, incidentally, had only 47 ratifying States when it entered into force.
- 1.3 The success of the Convention will be judged primarily by the effectiveness of its verification regime. In this regard it is heartening to be able to report that good progress was registered in the first six months of operations of the OPCW. During the preparatory phase it had been assumed, for budgetary and planning purposes, that only three States - the Russian Federation and the United States of America and one unnamed other - would declare the possession of chemical weapons. In fact, eight States declared either the possession of chemical weapons or a past or present capability to produce them. This list does not include the other declared possessor of chemical weapons, the Russian Federation, which has yet to ratify. Thus, a clearer picture is already emerging about the quantity and location of chemical weapons activities, past and present, in the world - an essential step towards the ultimate goal of eliminating this class of weapon of mass destruction.
- 1.4 The Secretariat continues to receive a steady flow of information from the States Parties in accordance with the requirements of the Convention. While a significant number of States Parties have not yet fulfilled the requirements of the Convention, in

whole or in part, the overall picture for a fledgling multilateral organisation which is breaking important new ground in the field of disarmament is a positive one. More detailed information on the level of compliance with the requirements of the Convention is provided elsewhere in this report.

- 1.5 There has also been good progress in relation to the second pillar of the Convention's verification system - on-site inspections. The very first OPCW inspection commenced at a facility in the United States of America on 1 June 1997, just over one month after entry into force. By 28 October the number of inspections undertaken was 87, and the OPCW was conducting permanent monitoring of chemical weapons destruction operations at five CW destruction facilities in the United States of America. More than 100 inspections will be completed by the end of 1997.
- 1.6 The OPCW has also been working hard to actively assist States Parties to implement the Convention at a national level. Their declaration requirements, for example, are extremely complex, and some have experienced difficulty in compiling the requisite information. Nonetheless, as a result of the combined efforts of States Parties and the Secretariat, the momentum has been maintained and the situation as regards national compliance with all provisions of the Convention is continuously improving.
- 1.7 Another important aspect of the work of the OPCW is the implementation of Article XI of the Convention (economic and technological development). The close interrelationship between disarmament and non-proliferation commitments on the one hand, and free trade and cooperation for peaceful purposes on the other, is reflected in this Article. It is accordingly essential for this careful balance to be preserved as the Convention is implemented. In addition to providing training courses for personnel of National Authorities to facilitate the appropriate and effective implementation of the Convention at a national level, the Secretariat is working on a number of projects and programmes aimed at promoting international cooperation between States Parties in the field of chemical activities for purposes not prohibited under the Convention including the international exchange of scientific and technical information, and chemicals and equipment for the production, processing or use of chemicals for purposes not prohibited under the Convention.
- 1.8 Another fundamental challenge is the promotion of the universality of the Convention. Already the prognosis is good - four of the five permanent members of the United Nations Security Council are States Parties, and the Convention captures the overwhelming majority of the world's chemical industry. Some States with capabilities important to the object and purpose of the Convention still remain outside its ambit, and in that regard the ratification of the Convention by the Russian Federation, with 40,000 agent tonnes of chemical weapons, is essential if the Convention is to fulfil its aim of eliminating chemical weapons in a comprehensive manner.
- 1.9 Ensuring the universality of the Convention is very important to all States Parties and has special relevance to States with serious security concerns.

## **2. IMPLEMENTATION-RELATED MATTERS**

### **Status of ratifications of and accessions to the Convention**

- 2.1 As of 28 October 1997, 100 States were States Parties to the Convention, and entry into force was pending for one State (see Annex 1 to this report).
- 2.2 As of 28 October, 66 signatory States had not yet ratified the Convention (see Annex 2 to this report).

### **Representation**

- 2.3 As of 28 October, 34 Member States had accredited permanent representatives to the OPCW. An additional 19 Member States had notified the Director-General of the appointment of their permanent representative and will forward formal credentials in due course.

### **Legislative and administrative measures taken by States Parties**

- 2.4 Article VII, paragraph 5, of the Convention provides that "Each State Party shall inform the Organization of the legislative and administrative measures taken to implement this Convention." Although a number of signatory States during the preparatory phase submitted copies to the Secretariat of their ratification acts, enabling legislation or specific pieces of legislation (acts establishing the National Authority, for example) and draft comprehensive legislation, only a few have notified the Secretariat of approval of their comprehensive legislation by the appropriate decision-making body. Following entry into force of the Convention, formal submissions to the Secretariat under Article VII, paragraph 5, have been received from eleven States Parties.<sup>1</sup> The importance of establishing consistent national legal regimes to implement the Convention should not be overlooked: it will promote a uniform application of the provisions of the Convention domestically and internationally.

### **Declarations**

#### **Receipt and processing of initial declarations under Articles III, VI and X**

- 2.5 The status of submission of initial declarations since the entry into force of the Convention on 29 April 1997 remains problematical. Thirty days after EIF, thirty-three States Parties had provided initial declarations as required by Article III of the Convention. Between 30 May and 28 October 1997, the Secretariat received an additional thirty-five initial declarations, bringing the total number of submitted initial declarations to sixty-eight. However, thirty-two States Parties still had not submitted initial declarations by 28 October. The Secretariat is continuing its efforts to

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<sup>1</sup> A copy of the national legislative and administrative measures taken, accompanied (when appropriate) by a translation into one of the six languages of communication with the Organisation, is the necessary format for submission.

encourage and support States Parties in their presentation of initial declarations and in the effective implementation of the Convention at the national level.

- 2.6 Thus as of 28 October, 32% of States Parties had not submitted the initial declarations required under Article III of the Convention. Furthermore, a large number of initial declarations submitted by States Parties continued to be incomplete, and thus did not fulfil all the requirements of the Convention. This required States Parties to submit additional information pertaining to their initial declaration either on their own initiative or at the request of the Secretariat. An overview of the content of the initial declarations already provided is contained in annex 3 to this report.
- 2.7 The Secretariat has continued to provide information from declarations to States Parties which have requested this in accordance with subparagraph 2(b)(i) of the Confidentiality Annex. So far, the Secretariat has continued to provide information from declarations to eight States Parties which have requested such information.
- 2.8 A general concern remains the sheer volume of data processed and provided to the States Parties. The compilation and photocopying of the data requires a significant allocation of resources which was not foreseen during the preparatory phase, when it was envisaged that this activity would be done electronically using the electronic document management system (EDMS), and when the staffing levels in the areas concerned were determined accordingly. This unforeseen additional burden is imposing a substantial additional workload on the Declarations and Confidentiality Branches in the Verification Division. Another concern is the greatly increased security risk involved in generating and providing such large volumes of classified data in hard copy form. These factors are one more reason to move urgently to resolve the outstanding issues relating to the security environment surrounding the EDMS.
- 2.9 As of 28 October, the Secretariat had received from a number of States Parties the following notifications required by the Convention within 30 days after entry into force: notification of points of entry for inspection teams (Verification Annex, Part II, paragraph 16); and notifications of standing diplomatic clearance numbers for non-scheduled aircraft (Verification Annex, Part II, paragraph 22). Notifications have also been received from a number of States Parties concerning their National Authorities (Article VII, paragraph 4: notification required at EIF for the State Party), concerning implementing legislation (Article VII, paragraph 5) and, from seventeen States Parties, concerning information on assistance to be provided pursuant to Article X, paragraph 7 (notification required within 180 days after EIF).
- 2.10 An overview of the status of the declaration information already provided is contained in annex 3 to this report. On 10 October, the Acting Director-General wrote to 97 States Parties to draw to their attention the fact that they had either not provided all the notifications and declarations required under the Convention or that, if they had, such notifications and declarations were incomplete. The Director-General reiterated his concern about the lack of compliance with the requirements of the Convention in this respect. As on previous occasions, he noted that this situation had not yet impacted greatly on the Secretariat's ability to implement the relevant provisions of the Convention, but that there would clearly be major operational problems if a challenge

inspection were to be made against a State Party which had failed to provide the Secretariat with either a notification regarding its point of entry or its standing diplomatic clearance numbers for non-scheduled aircraft. The Director-General therefore urged all States Parties to provide this information as soon as possible.

### **Confidentiality**

- 2.11 Since the EIF of the Convention, the OPCW has upheld the confidentiality policy adopted by the Conference of the States Parties at its First Session (C-I/DEC.13, dated 16 May 1997). The OPCW Policy on Confidentiality and the administrative directives of the Director-General supporting its implementation lay down a number of obligations, principles, guidelines and working procedures, which constitute the foundation of the confidentiality regime of the Organisation. This regime is being enforced in the most stringent manner throughout the Organisation.
- 2.12 Each staff member of the Secretariat has signed an individual secrecy agreement, in accordance with the Confidentiality Annex to the Convention. All staff members, particularly those who have been notified to States Parties that they will be granted clearance by the Director-General for access to confidential information, are undergoing training in confidentiality matters.
- 2.13 As required by the Confidentiality Annex, in order to avoid the unauthorised release of information, inspectors and staff members of the OPCW headquarters are being regularly advised and reminded about security considerations and of the possible penalties that they would incur in the event of improper disclosures. In evaluating the performance of inspectors and any other employees of the Secretariat, the Director-General is giving specific attention to the record of employees regarding the protection of confidential information.
- 2.14 Pending the introduction of a certified EDMS security-critical network, the Secretariat has processed declarations of States Parties in hard copy format only. The procedures necessary to enable this to be done, although complicated and resource-intensive, have been put in place and are being implemented.
- 2.15 The Secretariat is using only stand-alone computers with removable hard disks, or laptop computers, to process declaration-related correspondence and/or classified documents containing some declaration data which are needed for the Council or for the purpose of planning for inspections. Removable hard disks and laptop computers are being handled as OPCW Highly Protected documents and are being kept in safes, as required by the OPCW Manual of Confidentiality Procedure. It is the intention of the Secretariat gradually to phase out the use of laptop computers and to replace them with stand-alone computers with removable hard drives for the processing of confidential information in the OPCW headquarters.

- 2.16 In order to facilitate the implementation of the Convention and to speed up the negotiations on facility agreements between the Secretariat and the States Parties concerned, as well as to reduce the burden on the handling of confidential data, the Director-General wishes to reiterate his appeals addressed to all States Parties:
- (a) to provide, in accordance with the requirement of paragraph 4 of the Confidentiality Annex, details regarding their handling of OPCW confidential information made available to States Parties by the Secretariat pursuant to paragraph 2(b)(i) of the Confidentiality Annex;
  - (b) to consider re-assessing the original classification of or declassifying, as appropriate, their initial declarations, final inspection reports and preliminary findings based on information submitted through those declarations, and the draft facility agreements pertaining to facilities which are subject to OPCW inspections;
  - (c) to submit all their classified correspondence relating to declarations or final inspection reports to the Confidentiality Branch of the Verification Division, possibly through a hand delivery by an accredited representative, which would greatly assist the efforts of the Secretariat to provide adequate protection to those documents and expedite the processing of confidential information.

#### **Notifications of intended transfers of Schedule 1 chemicals**

- 2.17 States Parties are required, under paragraph 5 of Part VI of the Verification Annex, to notify the Secretariat of any transfer of a Schedule 1 chemical not less than 30 days before any such transfer. Information relating to such transfers is described in Annex 4 to this report. During the period between EIF and 28 October 1997, 47 transfers were notified to the Secretariat from either sending States Parties, receiving States Parties, or both. Only five notifications were reported for the same transaction by both senders and receivers (see Annex 4 to this report for further details).
- 2.18 Four (4) Schedule 1 chemicals were notified for transfers: saxitoxin (36), ricin (8), soman (2) and lewisite (1). A total amount of less than 60 milligrams of transferred Schedule 1 chemicals was reported. The quantities involved were very small, and were consistent with the aim of the transfers as stated in the notifications and with the object and purpose of the Convention.

#### **Inspections, facility agreements**

- 2.19 While some problems have arisen, inspections have for the most part been carried out smoothly and with the full cooperation of the inspected States Parties as required by the Convention. An overview of completed and ongoing inspections is contained in annexes 5 and 6 to this report.

- 2.20 As of 28 October, eighty-six initial inspections had been conducted on the territory of twenty States Parties. The initial inspections of all thirty-four declared chemical weapons production facilities (CWPFs) were conducted within the time frames specified by the Convention (i.e. within 90 - 120 days after EIF). As of the same date, the initial inspections of nineteen declared chemical weapons storage facilities (CWSFs) had been completed, while the initial inspections for the remaining seven declared CWSFs were planned for completion by early November 1997. Although the Secretariat had planned to complete the initial inspections of all declared CW-related facilities, excluding a number of old and abandoned chemical weapons sites, by early November, this may be achieved only by the end of November 1997. In late November 1997, initial inspections of the remaining old and abandoned chemical weapons sites will start. Continuous monitoring of CW destruction operations was underway at five separate locations.
- 2.21 Since the entry into force of the Convention, initial inspections have been conducted at twenty-three declared Schedule 1 facilities, and an additional initial inspection is currently in progress. Another initial inspection is being planned for completion by the beginning of November 1997 in order to complete the initial inspections at the twenty-four declared Schedule 1 facilities.
- 2.22 By 28 October it had become clear that it would not be possible for the Secretariat to accomplish the twin goals of completing the initial inspections of all CW-related and Schedule 1 facilities and of concluding all related facility agreements within 180 days after the entry into force of the Convention, as required by Part III, paragraph 4 of the Verification Annex. Whilst the Secretariat will all but complete the inspection targets, the same cannot be said in relation to the associated facility agreements. This situation has come about as a result of several factors which were examined in detail in subparagraph 7.4 of EC-V/DG.4, dated 24 September 1997, and which may be summarised as follows:
- (a) the number of inspectors available to the Secretariat for the conduct of inspection duties is 21% less than the planned figure of 140;
  - (b) the number of inspectors engaged in continuous monitoring activities at destruction sites at any one time is about the same or slightly higher than that originally envisaged which, when combined with subparagraph 2.22(a) above, means that the number of inspectors available for other inspection duties has fallen by about 30%;
  - (c) the situation since EIF has been significantly different to the situation envisaged during the preparatory phase. Inspection planning at that time was based on the assumptions that the Russian Federation would be a State Party at EIF and that there would be a bilateral agreement in force between the United States of America and the Russian Federation, which would have permitted substantial savings in OPCW inspection resources;
  - (d) the “other possessors” have declared CWSFs and CWPFs in significantly greater numbers than was originally envisaged;

- (e) inspections in some cases did not get underway in earnest until much later after EIF than originally planned, due to restrictions imposed on the processing of declarations by some State Parties, as well as to cash flow problems and, in some cases, to the requirement to process large volumes of declaration data;
- (f) all initial inspections to CW-related facilities (excluding inspections to the remaining old and abandoned chemical weapons facilities) will be completed by the end of November 1997. This result has been possible only by requiring the inspectors and the appropriate headquarters staff to work at a pace which could not be sustained in the longer term;
- (g) the substantial workload involved in activities such as evaluating declarations, planning inspections, formulating inspection mandates, finalising inspection reports and assessing the results of inspections, and preparing draft facility agreements and transitional verification arrangements (TVAs), the major portion of which has fallen on a comparatively small number of staff. The situation is particularly acute in relation to the completion of facility agreements; and
- (h) it should be remembered that a facility agreement is a bilateral arrangement. The absence of any agreed models for these agreements means that each agreement is subject to detailed bilateral negotiation. This is a slow and time-consuming task which must inevitably result in delays in the completion of these agreements.

2.23 The situation in relation to each type of facility is as follows:

- (a) five TVAs for chemical weapons destruction facilities (CWDFs) have been concluded between the Secretariat and the United States of America. Three were approved by the Council at its Third Session, and the remaining two were approved at the Fifth Session of the Council;
- (b) a draft facility agreement for a Part IV(B) facility located in Italy has been concluded between the Secretariat and Italy and was submitted to the Council for consideration at its Sixth Session;
- (c) the facility agreements for chemical weapons production facilities (CWPFs) are under negotiation with the States Parties concerned;
- (d) inspections of chemical weapons storage facilities (CWSFs) will be completed by early November 1997. The related facility agreements will not therefore be completed and sent to inspected States Parties much before 1 December 1997. Inspections to be carried out in old and abandoned chemical weapons storage facilities are being planned;
- (e) current planning is that inspections of all Schedule 1 facilities declared thus far will be completed by the beginning of November 1997. Two facility agreements for Schedule 1 facilities, concluded between Australia and Sweden respectively, were submitted to the Council for consideration at its Sixth



Session. Work on other facility agreements is ongoing, but none of them has been finalised; and

- (f) the inspection of Schedule 2 facilities will commence before the end of the year.

2.24 Finally the Secretariat reiterates the need for guidance on the verification regime to be applied at old/abandoned chemical weapons sites. This need is now becoming pressing, with inspections at old/abandoned chemical weapons sites about to get underway in a sustained fashion and in view of the urgent need to finalise the 1998 programme and budget for the Organisation. The first sites of this kind have already been inspected, and more will be inspected in the near future. Decisions, including decisions on the need for the conclusion of transitional verification arrangements and facility agreements, are required in relation to the future verification regime to be implemented at these and similar sites.

### **Provision of assistance through the Organisation**

2.25 In accordance with subparagraph 7(a) of Article X, three Member States had made contributions to the Voluntary Fund for Assistance as of 22 September 1997 (see Annex 9 to this report). Furthermore, as of 28 October, in accordance with subparagraphs 7(a) and (c) of Article X, seventeen Member States had either made or had undertaken to make contributions to the voluntary fund or had made declarations to provide assistance in response to an appeal by the Organisation (see Annex 3 to this report). No bilateral agreements under subparagraph 7(b) have so far been concluded.

### **Courses for personnel of National Authorities**

2.26 With the assistance of the Government of Zimbabwe, the Secretariat organised a nine-day course for personnel of National Authorities. The course was held at the Zimbabwe Institute of Public Administration and Management near Harare, Zimbabwe, from 6 to 14 October 1997. The Secretariat will arrange one more such course before the end of 1997 at the Instituut Defensie Leergangen just outside The Hague, the Netherlands, from 3 - 11 November 1997.

### **The Secretariat website**

2.27 The Secretariat continues to maintain an Internet website to provide up-to-date information on the Convention and on implementation matters, primarily for National Authorities, as well as web pages on the various international cooperation programmes. The URL of the website is <http://www.opcw.nl/>

### **International cooperation programmes**

2.28 Proceeding from the provisions of the Convention, the Secretariat has initiated a number of specific programmes for international cooperation among States Parties, inter alia: programmes related to facilitating cooperation in the chemical field, a fellowship database and programme for interns, facilitation of bilateral cooperation agreements, a chemical technology transfer website, a database of laboratory

equipment being sought or offered, a programme to facilitate the improvement of technical competence at national chemical analytical laboratories which are, or intend to be, involved in chemical analyses relevant to the Convention, and the experimental information service. The strengthening of international cooperation is also an important contribution to promoting the universality of the Convention.

### **3. RELATIONSHIP WITH STATES AND INTERNATIONAL ORGANISATIONS**

- 3.1 On 28 May, the Director-General met briefly with United States Secretary of State, Ms Madeline K. Albright, and received a United States congressional delegation including Senator Gordon Smith, Representative John Mc Hugh, and congressional staff members. The Director-General met with the Secretary of State of Foreign Affairs of Poland, Mr Eugeniusz Wyzner, on 18 August in The Hague. On 10 September a delegation from the State Duma of the Russian Federation, headed by Mr Vladimir P. Lukin, visited the Secretariat and held talks with the Director-General.
- 3.2 The Director-General also held a number of meetings with officials of the Host Country, making courtesy calls to the Prime Minister of the Netherlands, Mr Willem Kok, and to the Dutch Minister of Foreign Affairs, Mr Hans van Mierlo, on 25 August and 11 September respectively. The Director-General met with the Mayor of The Hague, Mr W. Deetman, on 2 October.
- 3.3 The Director-General visited the Russian Federation from 17 - 18 September, where he met the Foreign Minister, Mr E.M. Primakov, and other senior officials. He also visited Ukraine on 19 September, and met Mr Kostyantyn Gryshchenko, Deputy Minister of Foreign Affairs. The Director-General visited Romania from 8 to 12 October, and met the Deputy Prime Minister and Minister of Foreign Affairs, H.E. Mr Adrian Severin. From 13 to 22 October, the Director-General met in New York with the representatives of more than 20 signatory and non-signatory States to discuss the Convention and to promote its universality. During his stay in New York, he also called on the president of the United Nations General Assembly, the foreign Minister of Ukraine, H.E. Mr Genády Udovénko and the Secretary-General of the United Nations, Mr Kofi Annan.
- 3.4 On 30 September, the Director-General addressed the 41st General Conference of the International Atomic Energy Agency. He presented papers to the Scientific and Technology Committee of the North Atlantic Assembly and to the North Atlantic Assembly's Defence and Security Committee on 11 October, and addressed the First Committee of the United Nations General Assembly on 16 October.

#### **Outreach activities in Brussels**

- 3.5 Visits were received from senior officials of the Secretariat on 13 and 16 June, and on 23 September, during which representatives of different regional groups were briefed on the activities of the OPCW in The Hague. On 14 and 15 October, a Workshop on

the National Implementation of the Chemical Weapons Convention was organised by the Secretariat.

### **Regional seminars on national implementation of the Convention**

- 3.6 The Secretariat held a Regional Seminar on National Implementation of the Chemical Weapons Convention in Libreville, Gabon, from 23 to 24 October 1997. The seminar, which was preceded by a one-day Training Course for Personnel of National Authorities, was attended by a number of officials from the Gabon Government, as well as by representatives of Cameroon, the Central African Republic, Chad, Congo, Equatorial Guinea and Gambia.

### **Visas and travel documents for OPCW inspectors**

- 3.7 Since the entry into force of the Convention, the Secretariat has acquired 335 visas for 156 inspectors. As of 28 October, the Secretariat had received 147 of the requested 149 United Nations laissez-passers. There have been no problems with any State Party in requesting visas.

## **4. MANAGEMENT ISSUES**

### **Financial situation**

- 4.1 During the period May to the end of August 1997, the Secretariat experienced a difficult cash flow situation which was caused on the one hand by late payments of assessed contributions and, on the other hand, by the necessity for the Secretariat to set aside funds to meet the obligations of some NLG 11 million arising from the Preparatory Commission and at the same time to pay for non-discretionary expenditure such as salaries, common staff costs, rent, communications and other contractual obligations in the amount of some NLG 5 million per month. However, since the end of August 1997, the cash flow situation has improved markedly.
- 4.2 During the period under review, the Secretariat received contributions from Member States in the total amount of about NLG 88.9 million, of which about NLG 81 million relate to the 1997 budget and the Working Capital Fund and about NLG 7.9 million to previous years. As a result of these substantial collections, cash reserves increased from NLG 10.2 million as of EIF to NLG 63.8 million as of 20 October. Current cash reserves are more than sufficient to cover the payment of outstanding obligations of some NLG 3 million relating to the Preparatory Commission and to meet the expenditures of the 1997 budget for the remainder of 1997, which are estimated to be in the range of NLG 20 to 25 million. As can be seen from the report on the status of contributions annexed to this report, the collection rate for the financial contributions of the Preparatory Commission relating to the years 1993 to 1997 ranged from 83.2% to 97.8%, and is currently 81.9% for the 1997 OPCW budget. This considerably exceeds the average collection rates of the United Nations, which are normally between 70 and 75%.

- 4.3 Nevertheless, and in spite of the marked improvement in the collection of annual contributions, 47, or 47% of the 100 States Parties, representing 3.9% of the total assessments, have not yet made any contributions to the 1997 OPCW budget, despite repeated appeals by the Director-General for assessed contributions to be made on a timely basis.
- 4.4 Annex 7 to this report contains five appendices with information on the financial situation: a statement of income and expenditure and changes in reserves and balances as of 30 September 1997; a report on the status of assessed contributions as of 20 October 1997; a report on the status of appropriations by programme as of 30 September 1997; a report on the status of appropriations by main object of expenditure as of 30 September 1997; and a report on the status of investments as of 20 October 1997. Annexes 8 and 9 contain statements on the status of funds as of 22 October 1997 for the Trust Fund for Media Requirements for the First Session of the Conference of the States Parties and for the Voluntary Fund for Assistance respectively.

#### **Implementation of the 1997 budget**

- 4.5 The status of appropriations by programme and by main object of expenditure as of 30 September 1997 (annex 7, appendixes 3 and 4 of this report) shows that, even after the obligation of salaries and common staff costs in the total amount of NLG 18.4 million for the final quarter of 1997, NLG 44 million or 49% of the budget is still available. One of the main reasons for the underspending of the budget was the precarious financial situation mentioned in subparagraph 4.1 above, which forced the Secretariat to keep expenditure for the period of June to August to an absolute minimum, in order to cover all non-discretionary expenditure until the end of the budget period.
- 4.6 Now that the cash situation has considerably improved, the Secretariat is making every effort to utilise the budget to the extent possible, especially in the areas of procurement of equipment related to inspection and information systems, and of recruitment to fill current vacancies. As a result, the monthly rate of expenditure has increased from NLG 5.1 million in July to NLG 7.3 million in September, and is expected to further increase to a level of some NLG 8 to 10 million for the remaining three months of the year. Nevertheless, the 1997 budget will have an unspent balance of between NLG 27 to 35 million, depending on the actual rate of expenditure during the last three months of 1997. At least some NLG 14 to 15 million of the unspent budget relate to salaries and common staff costs caused by the late filling of some 60 posts and the deferral of the recruitment of 29 inspector posts from 1997 to 1998 and 1999.

#### **External audit of the 1996 and 1997 financial statements**

- 4.7 The 1996 and 1997 financial statements of the Preparatory Commission and the Provident Fund of the Preparatory Commission were audited by the External Auditor, who found no weaknesses or errors considered material to the accuracy, completeness and validity of these statements, and, accordingly, placed an unqualified opinion on them. The reports of the External Auditor and the audited 1996 and 1997 financial

statements, together with the comments by the Director-General, were noted by the Council at its Sixth Session and were forwarded to the Conference of the States Parties for consideration at its Second Session.

### **Liquidator for the Preparatory Commission**

- 4.8 The Government of the Netherlands has offered the services of Mr A.Th.A. Koet, Director of Accounting of the Dutch Ministry of Finance, to act as Liquidator for the Preparatory Commission, at no cost to the OPCW. Mr Koet will prepare the financial statements of the Preparatory Commission and will submit them to the External Auditor by the end of March 1998. He will be present when the final audited financial statements are presented to the Council and the Conference of the States Parties, and will respond to any questions raised by those organs in this connection.

### **Personnel management**

- 4.9 Just over five months have now elapsed since the new Technical Secretariat came into being. The members of the new management team have settled well into their new functions. The management team is striving to create an environment which is conducive to the growth and development of team work and to the achievement of the goals of the Organisation.

### **Appointments**

- 4.10 A number of senior appointments have been made since the First Session of the Conference. Following the resignation of the incumbent Director of Administration, Mr Reginald van Raalte of the United States of America was appointed to this post. As of the date of this report, the following additional senior appointments had been made: Mr Deep Chand of India, as Head of the Confidentiality Branch, Mr Kim Il-Hyun of the Republic of Korea, as Head of the Technical Support Branch, and Mr Donato Kiniger-Passigli of Italy as Head of the Media and Public Affairs Branch.

### **Personnel strength**

- 4.11 Two hundred and seventy positions in the professional and higher categories were authorised in the OPCW 1997 budget. As of 28 October, 211 of these had been filled by staff representing 51 Member States. The regional breakdown of nationalities represented in the professional and higher categories effective 28 October 1997 was as follows: Africa: 9%; Asia: 23%; Eastern Europe: 15%; Latin American and Caribbean: 12%; and WEOG: 41%. A listing of staff of the Secretariat, by nationality, is available from the Secretariat on request. An up-to-date organisational chart reflecting the current structure of the organisation, as well as the current nomenclature, is at Annex 10 to this report.
- 4.12 The number of women staff members in the professional and higher categories remains low at 34, or 16% of the staff in this category. Qualified women candidates are encouraged to apply for professional positions in the Secretariat.

### **Recruitment**

- 4.13 Since the conclusion of the First Session of the Conference of the States Parties, the Secretariat has advertised 123 posts in the professional and higher categories - 90 inspector and inspection assistant trainees for Training Group B, and 33 Headquarters posts. A large number of posts in the General Services category have also been advertised. The filling of the vacant headquarters posts is continuing. As of 20 October, training contracts had been offered to 69 inspector and inspection assistant trainees.

### **Transfer of the Training Branch to the Administration Division**

- 4.14 During the period under review, the Training Branch was moved from the Verification Division to the Administration Division, and was renamed the Training and Staff Development Branch. The task of this new Branch is to manage all training activities for inspector trainees, existing inspectors, and also for other staff members. The new Branch has also been requested to establish a policy and an action plan for staff development, a critical aspect of attracting and retaining competent staff in the Organisation.

### **Training Group A**

- 4.15 The Training Branch organised, supervised and participated in the last phase of Training Group A, Module 3 on-site trial inspection, in the countries offering facilities (China, the Czech Republic, Germany, Italy, Japan, Romania, and the Slovak Republic). One hundred and thirty-five trainees successfully concluded the 20-week training: 111 from ratifying States, who were recruited as OPCW inspector/inspection assistants, and 24 from non-ratifying States.

### **Recruitment of Inspectorate candidates for Training Group B**

- 4.16 With the participation of the Human Resources Branch, the Training Branch coordinated the recruitment of the Inspectorate candidates for Training Group B. Approximately 80 qualified candidates should be identified for this group, which will include CW/MS specialists, chemical production technologists, chemical production logisticians, medical specialists, and paramedics.

### **Training Group B**

- 4.17 Three workshops have been dedicated so far on the training scheme with Member States which are prepared to or might offer training courses and/or training facilities. The general training scheme (with a duration of 21 weeks) would be as follows:

Module A: Basic Course (6 weeks);

Module B: Specialised Courses (8 weeks);

Module C: Inspection planning and equipment operation (4 weeks); and

Module D: On site trial inspection (3 weeks).

- 4.18 The training is expected to commence and to conclude on 12 January and 5 June 1998 respectively.

#### **Other training activities**

- 4.19 In order to successfully implement the OPCW Manual of Confidentiality Procedure, a training programme was initiated for all directors and branch heads, as well as for all other staff occupying posts with responsibilities impacting on confidentiality matters.

#### **General Services Branch renamed**

- 4.20 During the period under review, the former General Services Branch was renamed the Procurement and Support Services Branch.

#### **Accommodation-related matters (future and present)**

- 4.21 As one of his first activities connected with the new OPCW building, the Director-General signed the Tenancy Agreement for the New Purpose-Built OPCW Office Building at a ceremony which took place on 23 May 1997. The construction of the facility is on target for the completion of building-related works by mid-January 1998, and the project remains within the approved budget. The Director-General and his designated representatives continue to closely monitor both the project and the financial expenditure connected with its development. In the period under review a number of significant changes and improvements to the facility (some of which involved savings) have been suggested and implemented. The Secretariat is currently undertaking numerous activities to lay the foundation for a large number of contracts connected with the operation of the facilities and their maintenance. In addition, preparatory work for the hand-over of the new OPCW building and the relocation of staff and equipment to it has commenced. On 1 September, the Secretariat signed the Contract for the Supply of Office Furniture and Related Equipment for the OPCW (annex to EC-IV/DG.15, dated 4 September 1997) to supply furniture for the offices and for the public spaces in the new OPCW building. The programme of requirements (PoR) for the additional office furniture has been completed and the purchase order was placed on 9 October. The preparation of the PoR for furniture for the public area is underway, and the purchase order will be placed soon. Currently, the Secretariat continues to maintain its three facilities (Laan van Meerdervoort 51, the Aegon building, and the OPCW Laboratory and Equipment Store).

#### **Travel and transportation**

- 4.22 Due to the increase in the number of staff members, and to meet the needs of the Inspectorate, the number and frequency of official travel requests processed has increased significantly, as has the demand for the in-house transportation service.

## **Procurement**

- 4.23 As of 20 October, purchase orders (or contracts) with a total value of NLG 3,000,000 had been processed or were being processed. Procurement activities continued to focus mainly on the needs of the Inspectorate, the Information Systems Branch, and the OPCW Laboratory and Equipment Store. It is expected that, due to activities connected with the requirements of the new OPCW building, the number of service contracts to be prepared and concluded will increase dramatically in the near future.

## **Upgrading and development of the IMS**

- 4.24 Some 400 - 450 staff now work on the information management system (IMS), using the various applications available on seven separate networks, in four locations. The growth of the system experienced in the period under review may be described as remarkable.
- 4.25 There has been a major upgrading and updating of the various networks and operating systems. The network had to be redesigned in order to cope with the increased number of staff and their requirements. The move from Novell to NT was completed, and the Lotus Notes environment was upgraded from version 3 to version 4. At the workstation level, many of the older PCs were upgraded, and all Pentium PCs were provided with a Windows 95 operating system.
- 4.26 The telephone system was redesigned to incorporate the four locations, and the implementation of a virtual network was completed. In order to manage usage and cost, cost registration management has now been implemented in all locations.
- 4.27 Due to the ever increasing number of computer viruses, the virus check program has been upgraded and implemented on the whole network. Virus check centres were introduced in all locations, to enhance virus awareness and to allow all staff to check for viruses on stand-alone PCs.
- 4.28 The security non-critical network supports various applications available to functional areas of the organisation. The implementation of various administration modules (SmartStream) continued. The Budget and General Ledger module was released to its users. The Staff Administration unit has accepted the Human Resources module, and the Budget and Finance Branch is finalising its assessment of the accounts payable module.
- 4.29 The correspondence management system has been introduced, and is in its pilot phase for the Offices of the Director-General and the Deputy Director-General, and for the External Relations Division. The project will be expanded to the Administration Division in November. Several key databases were developed in Lotus Notes, on subjects such as National Authorities, inspector training, and procurement and visa tracking. The security-critical network supports limited applications which are strictly implemented within the context of the prescribed security measures. The electronic document management system for the verification information system (VIS-EDMS)



was installed by EIF, and a subsequent audit made several recommendations that led to a decision not to load declaration data into the EDMS until the environment for the EDMS was brought up to the appropriate level and to a revision of the IABG security study for the security-critical network. The implementation of Computer Associate "Unicenter", a network security management software, has started, and is expected to be completed in November. The inspection planning module is an integral part of the VIS operating in the security-critical network.

- 4.30 The Training and IS Help Desk was created to assess staff for PC skills, to promote better usage of the software available to staff, and to help them resolve their computer problems. New staff are tested for PC skills and are offered various IMS-related courses. Course material was developed for IMS security principles, Microsoft Word, Excel, Project, Lotus Notes, etc. Customised training has been developed for system application modules (i.e. accounts payable, General Ledger, etc.). A training programme has also been implemented for the users of the VIS-EDMS. Technical training is organised on a continuous basis through vendors to keep up with the ever-changing technology. In view of the necessary upgrades and the security requirements, staff members of the Information Systems Branch have participated in various training courses such as Lotus Notes, Windows NT, Sybase, SmartStream, InfoImage, Microsoft SQL Server, etc. The IS Help Desk manages the growing number of requests/problems identified by staff, processes 30 - 40 requests a day, and tracks and refers to other Information Systems Branch staff those requests that cannot be handled immediately by the Help Desk.
- 4.31 The Information Systems Branch Info Flash was introduced recently, to give all staff urgent IMS-related news.
- 4.32 The analysis for a library management system was completed, which found that the implementation of such a system will be a necessary prerequisite for the introduction of the OPCW library in the new OPCW building. A temporary MS Access database has been customised to track the existing decentralised libraries. The security non-critical archives are also managed by the Information Systems Branch, and an electronic database has been developed to implement the storage of documents. The volume of mail received and sent has tripled since EIF. Procedures are reviewed regularly to take advantage of the most cost-effective solutions available.

#### **Legal activities**

- 4.33 Since the First Session of the Conference of the States Parties, legal activities have focused primarily on the following four areas: the provision of legal advice on the application of the provisions of the Convention; agreements; implementing legislation; and institution building, specifically for administrative measures, contracts for goods and services, and for the new OPCW building:
- (a) legal opinions have been provided on a number of issues, inter alia the transmission of declaration-related information submitted by States Parties; clearance for access to confidential information; transitional verification arrangements; facility agreements; the reorganisation of the Secretariat; the

OPCW logo; and the protection and release of confidential information under the Convention and the draft relationship agreement;

- (b) assistance with implementing legislation has been provided at the request of Member States;
- (c) assistance was provided to the Commission for the Settlement of Disputes Related to Confidentiality (“Confidentiality Commission”) during its first meeting and in the preparation of its draft operating procedures;
- (d) legal advice has been provided on the texts of draft facility agreements, and there has been participation in the related negotiations with States Parties;
- (e) requests for conversion of CWPFs and one sui generis case for temporary delay of destruction of a CWPF were submitted to the Executive Council for forwarding to the Conference at its Second Session for consideration and approval;
- (f) in accordance with Article VIII, subparagraph 34(a) of the Convention, the Council shall conclude agreements or arrangements with States and international organisations on behalf of the Organisation, subject to prior approval by the Conference. In accordance with Article VIII, subparagraph 34(c) of the Convention, the Council has approved transitional verification arrangements, including a transitional facility agreement, for five chemical weapons destruction facilities. Two facility agreements for Schedule 1 facilities, and one facility agreement under Part IV(B) of the Verification Annex, have been negotiated and will be considered by the Council at its Sixth Session. Progress on the conclusion of the relationship agreement between the OPCW and the United Nations is advancing and, pending its conclusion, a temporary arrangement concerning the use of the United Nations laissez-passer by members of OPCW inspection teams was agreed through an exchange of letters. Initial bilateral discussions on agreements under Article VIII, paragraph 50, of the Convention have commenced and a proposed draft has been circulated to all Member States; draft terms of reference for the Scientific Advisory Board were prepared and submitted for informal consultations;
- (g) the register and procedure for the registration of international agreements have been established. All international agreements concluded between the OPCW and any of its members, between the OPCW and any other State(s), or between the OPCW and another international organisation will be recorded in this register (a table listing treaties and international agreements registered with the OPCW as of 28 October 1997 is at annex 11 to this report);
- (h) Provisional Interim Staff Rules were promulgated by the Director-General and submitted to the Council for review for consistency with the Interim Staff Regulations. A number of administrative directives have been promulgated by

the Director-General to implement the Interim Staff Regulations, the Provisional Interim Staff Rules and the Financial Regulations and Financial Rules. Several of the bodies required under the Interim Staff Regulations have been established;

- (i) contracts with respect to the new OPCW building were prepared; and
- (j) legal advice continued to play a key role in the drafting and negotiation of contracts related to the purchase of equipment and services.

### **Policy-making organs**

#### **Matters relating to the Conference and the Council**

- 4.34 Despite the relative brevity of the period under review, these were very busy months for the OPCW's policy-making organs - the Conference of the States Parties and the Executive Council.
- 4.35 The Conference of the States Parties at its First Session took many important decisions enabling the Organisation to start implementing the Convention. All of these decisions were subsequently followed up in the Secretariat. There were also some unresolved issues left from the First Session, which were subsequently dealt with either by facilitators under the supervision of the Chairman of the Committee of the Whole or directly by the Council.
- 4.36 The Council held six regular sessions at approximately monthly intervals. At these sessions the status of implementation of the Convention was reviewed and several decisions were taken to ensure the proper and continuous implementation of the provisions of the Convention. The decisions and recommendations of the Council are detailed in the "Report of the Executive Council on the Performance of its Activities" (EC-VI/4, dated 31 October 1997).

#### **Conference and language services**

- 4.37 The Secretariat continued to provide the different organs of the OPCW with conference and language services. As of 15 October, 2,536 pages of official documents had been distributed in English since EIF.
- 4.38 The Secretariat continued to provide full interpretation services for the sessions of the Conference, the Executive Council and the Confidentiality Commission. One thousand and ninety-nine pages of official documents were translated into all languages of the Organisation and, in addition, 319 pages of general unofficial documentation were translated from one official language of the Organisation into another official language.

- 4.39 The Conference Services Branch is still understaffed due to problems in recruiting suitably qualified linguists. There is also a continuing need to establish a more realistic linguistic capacity for the Organisation which would enable the provision, at one and the same time, of full interpretation at short notice and of a limited translation capacity.

Annexes (English only)<sup>2</sup>

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<sup>2</sup> Pursuant to a request of Member States, some of the annexes to this report were updated prior to the Second Session of the Conference of the States Parties. As the text of the report itself has a cut-off date of 28 October 1997, there may consequently be some discrepancies between the text and those annexes with a later cut-off date.

Annex 1

LIST OF MEMBER STATES OF THE OPCW

(as of 20 November 1997)

- |                            |   |
|----------------------------|---|
| 1. Albania                 | 48. Lao People's Democratic Republic          |
| 2. Algeria                 | 49. Latvia                                    |
| 3. Argentina               | 50. Lesotho                                   |
| 4. Armenia                 | 51. Luxembourg                                |
| 5. Australia               | 52. Maldives                                  |
| 6. Austria                 | 53. Mali                                      |
| 7. Bahrain                 | 54. Malta                                     |
| 8. Bangladesh              | 55. Mauritius                                 |
| 9. Belarus                 | 56. Mexico                                    |
| 10. Belgium                | 57. Monaco                                    |
| 11. Bosnia and Herzegovina | 58. Mongolia                                  |
| 12. Brazil                 | 59. Morocco                                   |
| 13. Brunei Darussalam      | 60. Namibia                                   |
| 14. Bulgaria               | 61. Netherlands                               |
| 15. Burkina Faso           | 62. New Zealand                               |
| 16. Cameroon               | 63. Niger                                     |
| 17. Canada                 | 64. Norway                                    |
| 18. Chile                  | 65. Oman                                      |
| 19. China                  | 66. Papua New Guinea                          |
| 20. Cook Islands           | 67. Paraguay                                  |
| 21. Costa Rica             | 68. Peru                                      |
| 22. Côte d'Ivoire          | 69. Philippines                               |
| 23. Croatia                | 70. Poland                                    |
| 24. Cuba                   | 71. Portugal                                  |
| 25. Czech Republic         | 72. Qatar                                     |
| 26. Denmark                | 73. Republic of Korea                         |
| 27. Ecuador                | 74. Republic of Moldova                       |
| 28. El Salvador            | 75. Romania                                   |
| 29. Equatorial Guinea      | 76. Saint Lucia                               |
| 30. Ethiopia               | 77. Saudi Arabia                              |
| 31. Fiji                   | 78. Seychelles                                |
| 32. Finland                | 79. Singapore                                 |
| 33. France                 | 80. Slovakia                                  |
| 34. Georgia                | 81. Slovenia                                  |
| 35. Germany                | 82. South Africa                              |
| 36. Ghana                  | 83. Spain                                     |
| 37. Greece                 | 84. Sri Lanka                                 |
| 38. Guinea                 | 85. Suriname                                  |
| 39. Guyana                 | 86. Swaziland                                 |
| 40. Hungary                | 87. Sweden                                    |
| 41. Iceland                | 88. Switzerland                               |
| 42. India                  | 89. Tajikistan                                |
| 43. Ireland                | 90. The former Yugoslav Republic of Macedonia |
| 44. Italy                  | 91. Togo                                      |
| 45. Japan                  | 92. Trinidad and Tobago                       |
| 46. Kenya                  |   |
| 47. Kuwait                 |   |

93. Tunisia
94. Turkey
95. Turkmenistan
96. United Kingdom of Great  
Britain and Northern Ireland
97. United States of America
98. Uruguay
99. Uzbekistan
100. Zimbabwe

Entry into force pending (pursuant to Article XXI, paragraph 2, of the Convention):

1. Pakistan (EIF 27 November 1997)
2. Jordan (EIF 28 November 1997)
3. Iran (Islamic Republic of) (EIF 3 December 1997)
4. Russian Federation (EIF 5 December 1997)
5. Nepal (EIF 18 December 1997)

## Annex 2

**SIGNATORY STATES WHICH HAVE NOT YET RATIFIED THE  
CHEMICAL WEAPONS CONVENTION****(as of 20 November 1997)**

- |   |                                      |
|---|--------------------------------------|
| 1. Afghanistan                          | 42. Micronesia (Federated States of) |
| 2. Azerbaijan                           | 43. Myanmar                          |
| 3. Bahamas                              | 44. Nauru                            |
| 4. Benin                                | 45. Nicaragua                        |
| 5. Bhutan                               | 46. Nigeria                          |
| 6. Bolivia                              | 47. Panama                           |
| 7. Burundi                              | 48. Rwanda                           |
| 8. Cambodia                             | 49. Saint Kitts and Nevis            |
| 9. Cape Verde                           | 50. Saint Vincent and the Grenadines |
| 10. Central African Republic            | 51. Samoa                            |
| 11. Chad                                | 52. San Marino                       |
| 12. Colombia                            | 53. Senegal                          |
| 13. Comoros                             | 54. Sierra Leone                     |
| 14. Congo                               | 55. Thailand                         |
| 15. Cyprus                              | 56. Uganda                           |
| 16. Democratic Republic of the<br>Congo | 57. Ukraine                          |
| 17. Djibouti                            | 58. United Arab Emirates             |
| 18. Dominica                            | 59. United Republic of Tanzania      |
| 19. Dominican Republic                  | 60. Venezuela                        |
| 20. Estonia                             | 61. Viet Nam                         |
| 21. Gabon                               | 62. Yemen                            |
| 22. Gambia                              | 63. Zambia                           |
| 23. Grenada                             |                                      |
| 24. Guatemala                           |                                      |
| 25. Guinea-Bissau                       |                                      |
| 26. Haiti                               |                                      |
| 27. Holy See                            |                                      |
| 28. Honduras                            |                                      |
| 29. Indonesia                           |                                      |
| 30. Israel                              |                                      |
| 31. Jamaica                             |                                      |
| 32. Kazakhstan                          |                                      |
| 33. Kyrgyzstan                          |                                      |
| 34. Liberia                             |                                      |
| 35. Liechtenstein                       |                                      |
| 36. Lithuania                           |                                      |
| 37. Madagascar                          |                                      |
| 38. Malawi                              |                                      |
| 39. Malaysia                            |                                      |
| 40. Marshall Islands                    |                                      |
| 41. Mauritania                          |                                      |

## Annex 3

## INITIAL DECLARATIONS/NOTIFICATIONS AND OTHER INFORMATION PROVIDED BY STATES PARTIES TO THE SECRETARIAT AFTER EIF

TABLE 1

Date: 20 November 1997

State Party	Initial Declaration Due	Date of Submission *1	Articles/ Parts of the Verification Annex (VA) *2	NA Details *3	Implementing Legislation *4	Information on Assistance *5	Point of Entry *6	Standing Diplomatic Clearance No. for non-scheduled flights *7
Albania	29-May							
Algeria	29-May	28-May	III, VI	NA identified			identified	
Argentina	29-May	28-May	III, VI	NA identified	submitted		identified	provided information
Armenia	29-May	26-May	III	NA identified			identified	
Australia	29-May	26-May	III, VI	NA identified	submitted	24-Oct	identified	provided information
Austria	29-May	10-Jun	III, VI	NA identified	submitted	22-Oct	identified	provided information
Bahrain	29-May							
Bangladesh	29-May	2-Sep	III, VI					
Belarus	29-May	8-May / 5-Jun	III, VI	NA identified	submitted *8	2-May	identified	provided information
Belgium	29-May	26-Jun	III, VI, VA-IV(B)	NA identified	submitted		identified	
Bosnia and Herzegovina	29-May							
Brazil	29-May	23-May / 25-Jun	III, VI	NA identified	submitted		identified	
Brunei Darussalam	26-Sep							
Bulgaria	29-May	29-May	III, VI	NA identified			identified	provided information
Burkina Faso	6-Sep							
Cameroon	29-May							
Canada	29-May	29-May	III, VI	NA identified		11-Sep	identified	provided information
Chile	29-May	28-May	III, VI	NA identified	submitted	27-May	identified	provided information
China	29-May	29-May	III, V, VI, VA-IV(B)	NA identified	submitted		identified	
Cook Islands	29-May	21-Aug	III, VI	NA identified			identified	
Costa Rica	29-May							
Cote d'Ivoire	29-May	13-Aug	III	NA identified				
Croatia	29-May	29-Oct	III	NA identified				
Cuba	28-Jun	18-Jul	III, VI	NA identified			identified	provided information
Czech Republic	29-May	4-Jun	III, VI	NA identified	submitted	23-Oct	identified	provided information
Denmark	29-May	2-Jun	III, VI	NA identified	submitted		identified	provided information
Ecuador	29-May	9-May	III, VI	NA identified				
El Salvador	29-May	28-May	III, VI	NA identified				
Equatorial Guinea	29-May							
Ethiopia	29-May	18-Jul	III, VI	NA identified			identified	
Fiji	29-May	4-Aug	III, VI					



# INITIAL DECLARATIONS/ NOTIFICATIONS AND OTHER INFORMATION PROVIDED BY STATES PARTIES TO THE SECRETARIAT AFTER EIF

TABLE 1

Date: 20 November 1997

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<i>Finland</i>	29-May	27-May	III, VI	NA identified	submitted		identified	provided information
<i>France</i>	29-May	28-May	III, V, VI, VA-IV(B)	NA identified		27-Oct	identified	provided information
<i>Georgia</i>	29-May	11-Sep	III, VI	NA identified				
<i>Germany</i>	29-May	29-May	III, VI, VA-IV(B)	NA identified	submitted	8-Oct	identified	provided information
<i>Ghana</i>	7-Sep							
<i>Greece</i>	29-May	8-Jul	III, VI	NA identified			identified	
<i>Guinea</i>	8-Aug							
<i>Guyana</i>	11-Nov							
<i>Hungary</i>	29-May	29-May	III, VI	NA identified	submitted		identified	provided information
<i>Iceland</i>	29-May							
<i>India</i>	29-May	28-May / 25-Jun		NA identified		4-Nov	identified	provided information
<i>Iran (Islamic Republic of)</i>	2-Jan-98							
<i>Ireland</i>	29-May	29-May	III, VI				identified	
<i>Italy</i>	29-May	28-May	III, VI, VA-IV(B)	NA identified	submitted	31-Oct	identified	
<i>Japan</i>	29-May	29-May / 17-Jun	III, V, VI, VA-IV(B)	NA identified	submitted *8		identified	provided information
<i>Jordan</i>	28-Dec							
<i>Kenya</i>	29-May	6-Sep	III, VI	NA identified				
<i>Kuwait</i>	28-Jul							
<i>Lao People's Democratic Rep.</i>	29-May							
<i>Latvia *9</i>	29-May	7-Oct	III, IV, VI	NA identified				
<i>Lesotho</i>	29-May	11-Jul	III					
<i>Luxembourg</i>	29-May	31-Jul	III, VI	NA identified			identified	
<i>Maldives</i>	29-May							
<i>Mali</i>	29-May			NA identified				
<i>Malta</i>	29-May	22 Jul / 28 Jul	III, VI	NA identified	submitted		identified	provided information
<i>Mauritius</i>	29-May	5-Sep	III	NA identified			identified	
<i>Mexico</i>	29-May	11 Jul / 14 Oct	III, VI			19-Nov		
<i>Monaco</i>	29-May	4-Jul	III		submitted			
<i>Mongolia</i>	29-May							
<i>Morocco</i>	29-May	2-Jun / 9-Jun	III, VI	NA identified	submitted	29-May	identified	provided information
<i>Namibia</i>	29-May							

INITIAL DECLARATIONS/ NOTIFICATIONS AND OTHER INFORMATION PROVIDED BY STATES PARTIES TO THE SECRETARIAT AFTER EIF

TABLE 1

Date: 20 November 1997

State Party	Initial Declaration Due	Date of Submission *1	Articles/ Parts of the Verification Annex (VA) *2	NA Details *3	Implementing Legislation *4	Information on Assistance *5	Point of Entry *6	Standing Diplomatic Clearance No. for non-scheduled flights *7
Nepal	17-Jan-98							
Netherlands	29-May	28 May / 27 Jun	III, VI	NA identified	submitted *8	21-Jul	identified	no need
New Zealand	29-May	29-May	III, VI	NA identified	submitted *8	25-Jun	identified	
Niger	29-May			NA identified				
Norway	29-May	5-Jun	III, VI	NA identified	submitted *8		identified	provided information
Oman	29-May	23-Sep	III, VI	NA identified	submitted		identified	
Pakistan	27-Dec							
Papua New Guinea	29-May							
Paraguay	29-May							
Peru	29-May	27-May / 23-Jun	III, VI	NA identified	submitted		identified	provided information
Philippines	29-May	3-Jul	III, VI					
Poland	29-May	22-May	III, VI	NA identified		31-Oct	identified	provided information
Portugal	29-May	30-Jul	III, VI	NA identified				
Qatar	2-Nov							
Republic of Korea	29-May	29-May		NA identified	submitted		identified	
Republic of Moldova	29-May							
Romania	29-May	27-May	III, VI	NA identified		28-Oct	identified	provided information
Russian Federation	4-Jan-98							
Saint Lucia	29-May							
Saudi Arabia	29-May	26-Aug	III, VI					
Seychelles	29-May	1-Jul	III					
Singapore	20-Jul	24-Jul	III, VI	NA identified			identified	
Slovakia	29-May	19-Jun	III, VI	NA identified	submitted	20-Nov	identified	provided information
Slovenia	11-Aug	11-Aug/ 6-Nov	III, VI	NA identified				
South Africa	29-May	29-May	III, VI	NA identified	submitted		identified	provided information
Spain	29-May	30-May	III, VI	NA identified		12-Nov	identified	
Sri Lanka	29-May	19-Sep	III, VI					
Suriname	29-May	4-Jul	III					
Swaziland	29-May							
Sweden	29-May	20-May / 29-May	III, VI	NA identified	submitted	24-Oct	identified	provided information
Switzerland	29-May	28-May	III, VI	NA identified	submitted	24-Oct	identified	provided information

# INITIAL DECLARATIONS/ NOTIFICATIONS AND OTHER INFORMATION PROVIDED BY STATES PARTIES TO THE SECRETARIAT AFTER EIF

TABLE I

Date: 20 November 1997

State Party	Initial Declaration Due	Date of Submission *1	Articles/ Parts of the Verification Annex (VA) *2	NA Details *3	Implementing Legislation *4	Information on Assistance *5	Point of Entry *6	Standing Diplomatic Clearance No. for non-scheduled flights *7
Tajikistan	29-May	28-Oct	III, VI					
The Former Rep. Macedonia	19-Aug	2-Oct	III, VI					
Togo	29-May							
Trinidad and Tobago	23-Aug							
Tunisia	29-May	13-Nov	III, VI	NA identified			identified	
Turkey	11-Jul	14-Jul	III, VI	NA identified				
Turkmenistan	29-May							
United Kingdom	29-May	27-May	III, V, VI, VA-IV(B)	NA identified	submitted *8	24-Oct	identified	provided information
United States of America	29-May	29-May	III, IV, V	NA identified		28-Oct	identified	provided information
Uruguay	29-May							
Uzbekistan *9	29-May	16-Oct	VI	NA identified				
Zimbabwe	29-May							

\*1 Reflects the date the Secretariat received the Declaration

\*2 Information from declarations as of 20 November 1997. Clarification is being sought in relation to contents of some declarations. India and the Republic of Korea have requested that information pertaining to their declarations and to inspections carried out on their territories should not be included in this document.

\*3 National Authority details in accordance with Article VII, paragraph 4

\*4 Legislative and administrative measures in accordance with Article VII, paragraph 5

\*5 Assistance in accordance with Article X, paragraph 7; the date the information was received at the Secretariat is given.

\*6 Point of Entry in accordance with Part II, paragraph 16 of the Verification Annex

\*7 Standing Diplomatic Clearance Number for non-scheduled aircraft in accordance with Part II, paragraph 22

\*8 Legislation submitted before EIF and in process of confirmation

\*9 Clarification of declaration being sought

Schedule 1 Transfers (Verification Annex, Part VI, B)

TABLE 2

Transfer Number	Sending SP	Receiving SP	Planned Date of Transfer *1	Chemical	Quantity *2	Sender Notification Date	Sender Notification On-time y/n/na *3	Receiver Notification Date	Receiver Notification On-time y/n/na *3	Comments
1	United States	Australia	4-Jun	ricin	1 mg	none	na	29-Apr	y	
2	United States	Australia	4-Jun	ricin	2 mg	none	na	29-Apr	y	
3	United States	Australia	??-Jul	ricin	2 mg	none	na	26-May	y	
4	United States	Norway	none	saxitoxin	70 ug	none	na	30-May	na	
5	Netherlands	United Kingdom	end of Jun	lewisite	1uC	26-Jun	n	none	na	
6	Netherlands	France	end of Jun	soman	3 mg	26-Jun	n	none	na	
7	Netherlands	France	end of Jun	soman	3 mg	26-Jun	n	none	na	
8	United States	Australia	??-Aug	ricin	2 mg	none	na	15-Jul	na	
9	United States	Australia	??-Aug	ricin	5 mg	none	na	15-Jul	na	
10	Canada	Sweden	none	saxitoxin	10 ug	28-Jul	na	1-Aug	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
11	Canada	Spain	9-Oct	saxitoxin	10 ug	28-Jul	y	2-Sep	y	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
12	Canada	Spain	9-Oct	saxitoxin	10 ug	28-Jul	y	2-Sep	y	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
13	Canada	Italy	none	saxitoxin	10 ug	28-Jul	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
14	Canada	Portugal	none	saxitoxin	10 ug	28-Jul	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
15	Canada	France	none	saxitoxin	10 ug	28-Jul	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
16	Canada	France	none	saxitoxin	10 ug	28-Jul	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
17	Canada	Netherlands	none	saxitoxin	10 ug	28-Jul	na	31-Jul	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
18	Canada	Denmark	none	saxitoxin	10 ug	28-Jul	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug

Schedule 1 Transfers (Verification Annex, Part VI, B)

TABLE 2

Transfer Number	Sending SP	Receiving SP	Planned Date of Transfer *1	Chemical	Quantity *2	Sender Notification		Receiver Notification		Comments
						Date	On-time y/n/na *3	Date	On-time y/n/na *3	
19	Canada	United Kingdom	none	saxitoxin	10 ug	28-Jul	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
20	United States	Japan	15-Oct	saxitoxin	720 ug	none	na	11-Aug	y	
21	Canada	Australia	none	saxitoxin	none	29-Aug	na	none	na	
22	Canada	Australia	none	saxitoxin	none	29-Aug	na	none	na	
23	Canada	Australia	none	saxitoxin	none	29-Aug	na	none	na	
24	Canada	Chile	none	saxitoxin	none	29-Aug	na	none	na	
25	Canada	France	none	saxitoxin	none	29-Aug	na	none	na	
26	Canada	Philippines	none	saxitoxin	none	29-Aug	na	none	na	
27	Canada	United States	none	saxitoxin	10 ug	29-Aug	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
28	Canada	United States	none	saxitoxin	10 ug	29-Aug	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
29	Canada	United States	none	saxitoxin	10 ug	29-Aug	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
30	Canada	Germany	29-Sep	saxitoxin	10 ug	29-Aug	y	15-Sep	n	Qty. of chemical amended vide CNA notification dt. 06-Nov (originally qty declared as 1ug)
31	Canada	New Zealand	none	saxitoxin	10 ug	29-Aug	na	none	na	Qty. of chemical amended vide CNA notification dt. 06-Nov from 5 ug to 10 ug
32	United States	Australia	??-Oct	ricin	6 mg	9-Sep	na	none	na	
33	United States	Sweden	15-Aug	ricin	10 mg	none	na	17-Sep	n	
34	Canada or United States	Portugal	??-Oct	saxitoxin	321.1 ug	none	na	18-Sep	n	
35	Canada	United Kingdom	22-Oct	saxitoxin	3 mg	none	na	19-Sep	y	
36	Canada	United Kingdom	22-Oct	saxitoxin	1.2 ml	none	na	19-Sep	y	
37	United States	United Kingdom	22-Oct	saxitoxin	1.6776 mg	none	na	19-Sep	y	
38	United Kingdom	United States	1-Nov	saxitoxin	750 ng	30-Sep	y	none	na	
39	United Kingdom	United States	1-Nov	saxitoxin	3.7 mg	30-Sep	y	none	na	
40	United Kingdom	United States	1-Nov	saxitoxin	3.7 mg	30-Sep	y	none	na	
41	Germany	United Kingdom	11-Nov	saxitoxin	36 ng	none	na	10-Oct	y	
42	Germany	United Kingdom	11-Dec	saxitoxin	36 ng	none	na	10-Oct	y	
43	United States	Australia	19-Nov	ricin	2 mg	none	na	16-Oct	y	

Schedule 1 Transfers (Verification Annex, Part VI, B)

TABLE 2

Transfer Number	Sending SP	Receiving SP	Planned Date of Transfer *1	Chemical	Quantity	Sender Notification Date	Sender Notification On-time y/n/na *3	Receiver Notification Date	Receiver Notification On-time y/n/na *3	Comments
44	United Kingdom	United States	15-Nov	saxitoxin	0.5 ug	none	na	21-Oct	n	
45	Canada	United States	30-Oct	saxitoxin	1 ug	none	na	21-Oct	n	
46	United States	Australia	??-Dec	ricin	10 mg	none	na	4-Nov	na	
47	Canada	Finland	14-Aug	saxitoxin	28 ug	6-Nov	n	7-Nov	n	
48	United Kingdom	United States	1-Jan	saxitoxin	646 ug	none	na	7-Nov	y	
49	United Kingdom	United States	15-Nov	saxitoxin	.75 ug	none	na	7-Nov	n	
50	Canada	United States	30-Oct	saxitoxin	1 ug	none	na	7-Nov	n	
51	United Kingdom	United States	1-Nov	saxitoxin	0.5 ug	none	na	7-Nov	n	
52	Canada	United States	29-Jul	saxitoxin	28 ug	6-Nov	n	none	na	
53	Canada	Australia	8-Aug	saxitoxin	28 ug	6-Nov	n	none	na	
54	Canada	Australia	12-Aug	saxitoxin	28 ug	6-Nov	n	none	na	
55	Canada	United Kingdom	14-Aug	saxitoxin	28 ug	6-Nov	n	none	na	
56	Canada	New Zealand	18-Aug	saxitoxin	28 ug	6-Nov	n	none	na	
57	Canada	Ireland	18-Aug	saxitoxin	28 ug	6-Nov	n	none	na	
58	Canada	United Kingdom	26-Aug	saxitoxin	84 ug	6-Nov	n	none	na	
59	Canada	United States	30-Sep	saxitoxin	28 ug	6-Nov	n	none	na	
60	Canada	Brazil	1-Oct	saxitoxin	28 ug	6-Nov	n	17-Nov	n	
61	Canada	New Zealand	17-Dec to 17-Mar-98	saxitoxin	10 ug	none	na	17-Nov	y	
62	United States	United Kingdom	5-Dec	Saxitoxin	0.02g	none	na	7-Nov	n	

\*1 ??-month indicates the date was not specified

\*2 ng = nanogram

ug = microgram

mg = milligram

uCi = microcurie

ml = milliliter

\*3 y = yes (within 30 days limit)

n = no (exceeding 30 days limit)

na = not applicable/ determinable (planned date of transfer not indicated)

# OVER VIEW OF COMPLETED AND ONGOING INSPECTIONS (by State Party) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
	INITIAL INSPECTION V	1	24/Aug/97 - 01/Sep/97	9	7	63	
	INITIAL INSPECTION V	2	27/Jul/97 - 09/Aug/97	14	8	112	
	INITIAL INSPECTION V	1	28/Jul/97 - 04/Aug/97	8	5	40	
	INITIAL INSPECTION IV	2	13/Oct/97 - 26/Oct/97	14	10	140	
	INITIAL INSPECTION IV	4	15/Jul/97 - 28/Jul/97	14	7	98	
	INITIAL INSPECTION IV	3	22/Sep/97 - 01/Oct/97	10	7	70	
	INITIAL INSPECTION IV	3	03/Nov/97 - 09/Nov/97	7	5	35	
	INITIAL INSPECTION VI	1	08/Jul/97 - 12/Jul/97	5	3	15	
	INITIAL INSPECTION VI	1	06/Oct/97 - 12/Oct/97	7	3	21	
	INITIAL INSPECTION VI	1	22/Jul/97 - 28/Jul/97	7	4	28	
	SYSTEMATIC INSPECTION V	1	13/Oct/97 - 18/Oct/97	6	3	18	
Australia	INITIAL INSPECTION VI	1	08/Jul/97 - 13/Jul/97	6	3	18	
Belgium	INITIAL INSPECTION VI	1	13/Oct/97 - 17/Oct/97	5	3	15	

\* Dates are from departure of HQ to Arrival to HQ

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TABLE 3

# OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by State Party) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
Canada	INITIAL INSPECTION VI	1	29/Sep/97 - 05/Oct/97	7	3	21	
China	INITIAL INSPECTION V	2	03/Aug/97 - 12/Aug/97	10	5	50	
China	INITIAL INSPECTION VI	2	08/Sep/97 - 18/Sep/97	11	3	33	
Finland	INITIAL INSPECTION VI	2	08/Sep/97 - 16/Sep/97	9	3	27	
France	INITIAL INSPECTION V	6	17/Aug/97 - 29/Aug/97	13	5	65	
France	INITIAL INSPECTION VI	1	01/Sep/97 - 05/Sep/97	5	3	15	
Germany	INITIAL INSPECTION Part IV-B	2	12/Nov/97 - 19/Nov/97	8	4	32	
Great Britain and Northern Ireland	INITIAL INSPECTION V	4	05/Aug/97 - 09/Aug/97	5	6	30	
Great Britain and Northern Ireland	INITIAL INSPECTION V	4	11/Aug/97 - 18/Aug/97	8	5	40	
Great Britain and Northern Ireland	INITIAL INSPECTION Part IV-B	1	07/Jul/97 - 12/Jul/97	6	3	18	
Great Britain and Northern Ireland	INITIAL INSPECTION Part IV-B	1	07/Jul/97 - 12/Jul/97	6	3	18	
Great Britain and Northern Ireland	INITIAL INSPECTION VI	1	19/Jun/97 - 22/Jun/97	4	3	12	
Great Britain and Northern Ireland	INITIAL INSPECTION VI	1	14/Jul/97 - 18/Jul/97	5	4	20	

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TABLE 3

# OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by State Party) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
Italy	INITIAL INSPECTION Part IV-B	1	27/Jul/97 - 02/Aug/97	7	4	28	
Italy	INITIAL INSPECTION Part IV-B	1	22/Jul/97 - 26/Jul/97	5	4	20	
Italy	INITIAL INSPECTION Part IV-B	1	22/Jul/97 - 28/Jul/97	7	7	49	
Italy	INITIAL INSPECTION VI	1	16/Nov/97 - 23/Nov/97	8	6	48	Ongoing
Japan	INITIAL INSPECTION V	1	26/Jul/97 - 06/Aug/97	12	4	48	
Japan	INITIAL INSPECTION VI	1	21/Jul/97 - 26/Jul/97	6	3	18	
Netherlands	INITIAL INSPECTION VI	1	01/Sep/97 - 04/Sep/97	4	3	12	
New Zealand	INITIAL INSPECTION VI	1	13/Jul/97 - 18/Jul/97	6	3	18	
Norway	INITIAL INSPECTION VI	1	15/Jul/97 - 18/Jul/97	4	3	12	
Slovakia	INITIAL INSPECTION VI	1	15/Sep/97 - 19/Sep/97	5	3	15	
South Africa	INITIAL INSPECTION VI	1	02/Jul/97 - 06/Jul/97	5	3	15	
Spain	INITIAL INSPECTION VI	1	16/Jul/97 - 20/Jul/97	5	3	15	
Sweden	INITIAL INSPECTION VI	1	22/Jun/97 - 25/Jun/97	4	3	12	

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TABLE 3

# OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by State Party) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
Switzerland	INITIAL INSPECTION VI	1	29/Sep/97 - 03/Oct/97	5	3	15	
United States of America	CONTINUOUS MONITORING IV	1	14/Sep/97 - 09/Oct/97	26	9	234	
United States of America	CONTINUOUS MONITORING IV	1	17/Nov/97 - 10/Dec/97	24	10	240	Ongoing
United States of America	CONTINUOUS MONITORING IV	1	16/Nov/97 - 10/Dec/97	25	4	100	Ongoing
United States of America	CONTINUOUS MONITORING IV	1	11/Nov/97 - 08/Dec/97	28	7	196	Ongoing
United States of America	CONTINUOUS MONITORING IV	1	27/Oct/97 - 19/Nov/97	24	10	240	
United States of America	CONTINUOUS MONITORING IV	1	26/Oct/97 - 20/Nov/97	26	9	234	
United States of America	CONTINUOUS MONITORING IV	1	03/Oct/97 - 17/Nov/97	46	7	322	
United States of America	CONTINUOUS MONITORING IV	1	02/Oct/97 - 29/Oct/97	28	13	364	
United States of America	CONTINUOUS MONITORING IV	1	23/Aug/97 - 07/Oct/97	46	13	598	
United States of America	CONTINUOUS MONITORING IV	1	23/Aug/97 - 20/Sep/97	29	10	290	
United States of America	CONTINUOUS MONITORING IV	1	27/Jul/97 - 29/Aug/97	34	15	510	
United States of America	CONTINUOUS MONITORING IV	1	27/Jul/97 - 28/Aug/97	33	15	495	

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TABLE 3

# OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by State Party) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
United States of America	CONTINUOUS MONITORING IV	1	03/Oct/97 - 30/Oct/97	28	9	252	
United States of America	INITIAL INSPECTION IV	1	18/Oct/97 - 28/Oct/97	11	4	44	
United States of America	INITIAL INSPECTION V	2	24/Aug/97 - 31/Aug/97	8	4	32	
United States of America	INITIAL INSPECTION V	4	17/Aug/97 - 28/Aug/97	12	6	72	
United States of America	INITIAL INSPECTION V	3	03/Aug/97 - 17/Aug/97	15	5	75	
United States of America	INITIAL INSPECTION IV	1	14/Sep/97 - 23/Sep/97	10	10	100	
United States of America	INITIAL INSPECTION IV	3	03/Nov/97 - 11/Nov/97	9	5	45	
United States of America	INITIAL INSPECTION IV	1	29/Oct/97 - 08/Nov/97	11	10	110	
United States of America	INITIAL INSPECTION IV	2	24/Sep/97 - 06/Oct/97	13	10	130	
United States of America	INITIAL INSPECTION IV	2	14/Sep/97 - 23/Sep/97	10	6	60	
United States of America	INITIAL INSPECTION IV	1	14/Sep/97 - 21/Sep/97	8	5	40	
United States of America	INITIAL INSPECTION IV	1	07/Sep/97 - 18/Sep/97	12	11	132	
United States of America	INITIAL INSPECTION IV	1	27/Jun/97 - 04/Jul/97	8	12	96	

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TABLE 3

# OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by State Party) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
United States of America	INITIAL INSPECTION IV	1	-		6		Inspection HQ was CWDF
United States of America	INITIAL INSPECTION IV	1	15/Oct/97 - 29/Oct/97	15	12	180	
United States of America	INITIAL INSPECTION VI	1	13/Oct/97 - 19/Oct/97	7	4	28	
United States of America	INITIAL INSPECTION VI	1	27/Oct/97 - 02/Nov/97	7	5	35	
United States of America	INITIAL VISIT IV	3	31/May/97 - 10/Jun/97	11	3	33	
United States of America	INITIAL VISIT IV	2	16/Jul/97 - 25/Jul/97	10	4	40	
United States of America	INITIAL VISIT V	4	18/Aug/97 - 29/Aug/97	12	5	60	
<b>TOTAL:</b>		<b>112</b>			<b>TOTAL:</b>	<b>6766</b>	

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TABLE 4

## OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by sequence) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
United States of America	INITIAL INSPECTION	IV	1	-	6		Inspection HQ was CWDF
United States of America	INITIAL VISIT	IV	3	31/May/97 - 10/Jun/97	3	33	
Great Britain and Northern Ireland	INITIAL INSPECTION	VI	1	19/Jun/97 - 22/Jun/97	3	12	
Sweden	INITIAL INSPECTION	VI	1	22/Jun/97 - 25/Jun/97	3	12	
United States of America	INITIAL INSPECTION	IV	1	27/Jun/97 - 04/Jul/97	12	96	
South Africa	INITIAL INSPECTION	VI	1	02/Jul/97 - 06/Jul/97	3	15	
Great Britain and Northern Ireland	INITIAL INSPECTION	Part IV-B	1	07/Jul/97 - 12/Jul/97	3	18	
Great Britain and Northern Ireland	INITIAL INSPECTION	Part IV-B	1	07/Jul/97 - 12/Jul/97	3	18	
	INITIAL INSPECTION	VI	1	08/Jul/97 - 12/Jul/97	3	15	
Australia	INITIAL INSPECTION	VI	1	08/Jul/97 - 13/Jul/97	3	18	
New Zealand	INITIAL INSPECTION	VI	1	13/Jul/97 - 18/Jul/97	3	18	
Great Britain and Northern Ireland	INITIAL INSPECTION	VI	1	14/Jul/97 - 18/Jul/97	4	20	
	INITIAL INSPECTION	IV	4	15/Jul/97 - 28/Jul/97	7	98	

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TABLE 4

# OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by sequence) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
Norway	INITIAL INSPECTION VI	1	15/Jul/97 - 18/Jul/97	4	3	12	
Spain	INITIAL INSPECTION VI	1	16/Jul/97 - 20/Jul/97	5	3	15	
United States of America	INITIAL VISIT IV	2	16/Jul/97 - 25/Jul/97	10	4	40	
Japan	INITIAL INSPECTION VI	1	21/Jul/97 - 26/Jul/97	6	3	18	
Italy	INITIAL INSPECTION Part IV-B	1	22/Jul/97 - 28/Jul/97	7	7	49	
Italy	INITIAL INSPECTION Part IV-B	1	22/Jul/97 - 26/Jul/97	5	4	20	
	INITIAL INSPECTION VI	1	22/Jul/97 - 28/Jul/97	7	4	28	
Japan	INITIAL INSPECTION V	1	26/Jul/97 - 06/Aug/97	12	4	48	
United States of America	CONTINUOUS MONITORING IV	1	27/Jul/97 - 28/Aug/97	33	15	495	
United States of America	CONTINUOUS MONITORING IV	1	27/Jul/97 - 29/Aug/97	34	15	510	
Italy	INITIAL INSPECTION Part IV-B	1	27/Jul/97 - 02/Aug/97	7	4	28	
	INITIAL INSPECTION V	2	27/Jul/97 - 09/Aug/97	14	8	112	
	INITIAL INSPECTION V	1	28/Jul/97 - 04/Aug/97	8	5	40	

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TABLE 4

## OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by sequence) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
China	INITIAL INSPECTION V	2	03/Aug/97 - 12/Aug/97	10	5	50	
United States of America	INITIAL INSPECTION V	3	03/Aug/97 - 17/Aug/97	15	5	75	
Great Britain and Northern Ireland	INITIAL INSPECTION V	4	05/Aug/97 - 09/Aug/97	5	6	30	
Great Britain and Northern Ireland	INITIAL INSPECTION V	4	11/Aug/97 - 18/Aug/97	8	5	40	
United States of America	INITIAL INSPECTION V	4	17/Aug/97 - 28/Aug/97	12	6	72	
France	INITIAL INSPECTION V	6	17/Aug/97 - 29/Aug/97	13	5	65	
United States of America	INITIAL VISIT V	4	18/Aug/97 - 29/Aug/97	12	5	60	
United States of America	CONTINUOUS MONITORING IV	1	23/Aug/97 - 20/Sep/97	29	10	290	
United States of America	CONTINUOUS MONITORING IV	1	23/Aug/97 - 07/Oct/97	46	13	598	
United States of America	INITIAL INSPECTION V	2	24/Aug/97 - 31/Aug/97	8	4	32	
	INITIAL INSPECTION V	1	24/Aug/97 - 01/Sep/97	9	7	63	
France	INITIAL INSPECTION VI	1	01/Sep/97 - 05/Sep/97	5	3	15	
Netherlands	INITIAL INSPECTION VI	1	01/Sep/97 - 04/Sep/97	4	3	12	

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TABLE 4

# OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by sequence) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
United States of America	INITIAL INSPECTION IV	1	07/Sep/97 - 18/Sep/97	12	11	132	
Finland	INITIAL INSPECTION VI	2	08/Sep/97 - 16/Sep/97	9	3	27	
China	INITIAL INSPECTION VI	2	08/Sep/97 - 18/Sep/97	11	3	33	
United States of America	CONTINUOUS MONITORING IV	1	14/Sep/97 - 09/Oct/97	26	9	234	
United States of America	INITIAL INSPECTION IV	2	14/Sep/97 - 23/Sep/97	10	6	60	
United States of America	INITIAL INSPECTION IV	1	14/Sep/97 - 23/Sep/97	10	10	100	
United States of America	INITIAL INSPECTION IV	1	14/Sep/97 - 21/Sep/97	8	5	40	
Slovakia	INITIAL INSPECTION VI	1	15/Sep/97 - 19/Sep/97	5	3	15	
	INITIAL INSPECTION IV	3	22/Sep/97 - 01/Oct/97	10	7	70	
United States of America	INITIAL INSPECTION IV	2	24/Sep/97 - 06/Oct/97	13	10	130	
Canada	INITIAL INSPECTION VI	1	29/Sep/97 - 05/Oct/97	7	3	21	
Switzerland	INITIAL INSPECTION VI	1	29/Sep/97 - 03/Oct/97	5	3	15	
United States of America	CONTINUOUS MONITORING IV	1	02/Oct/97 - 29/Oct/97	28	13	364	

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TABLE 4

# OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by sequence) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
United States of America	CONTINUOUS MONITORING IV	1	03/Oct/97 - 17/Nov/97	46	7	322	
United States of America	CONTINUOUS MONITORING IV	1	03/Oct/97 - 30/Oct/97	28	9	252	
	INITIAL INSPECTION VI	1	06/Oct/97 - 12/Oct/97	7	3	21	
	INITIAL INSPECTION IV	2	13/Oct/97 - 26/Oct/97	14	10	140	
Belgium	INITIAL INSPECTION VI	1	13/Oct/97 - 17/Oct/97	5	3	15	
United States of America	INITIAL INSPECTION VI	1	13/Oct/97 - 19/Oct/97	7	4	28	
	SYSTEMATIC INSPECTION V	1	13/Oct/97 - 18/Oct/97	6	3	18	
United States of America	INITIAL INSPECTION IV	1	15/Oct/97 - 29/Oct/97	15	12	180	
United States of America	INITIAL INSPECTION IV	1	18/Oct/97 - 28/Oct/97	11	4	44	
United States of America	CONTINUOUS MONITORING IV	1	26/Oct/97 - 20/Nov/97	26	9	234	
United States of America	CONTINUOUS MONITORING IV	1	27/Oct/97 - 19/Nov/97	24	10	240	
United States of America	INITIAL INSPECTION VI	1	27/Oct/97 - 02/Nov/97	7	5	35	
United States of America	INITIAL INSPECTION IV	1	29/Oct/97 - 08/Nov/97	11	10	110	

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TABLE 4

# OVERVIEW OF COMPLETED AND ONGOING INSPECTIONS (by sequence) \*\*

State Party	Type of Inspection: Article/Part of Verification Annex	No. of Insp. Sites	Dates(*)	Duration	No. of Insp	Inspection Days	Remarks
United States of America	INITIAL INSPECTION IV	3	03/Nov/97 - 11/Nov/97	9	5	45	
	INITIAL INSPECTION IV	3	03/Nov/97 - 09/Nov/97	7	5	35	
United States of America	CONTINUOUS MONITORING IV	1	11/Nov/97 - 08/Dec/97	28	7	196	Ongoing
Germany	INITIAL INSPECTION Part IV-B	2	12/Nov/97 - 19/Nov/97	8	4	32	
United States of America	CONTINUOUS MONITORING IV	1	16/Nov/97 - 10/Dec/97	25	4	100	Ongoing
Italy	INITIAL INSPECTION VI	1	16/Nov/97 - 23/Nov/97	8	6	48	Ongoing
United States of America	CONTINUOUS MONITORING IV	1	17/Nov/97 - 10/Dec/97	24	10	240	Ongoing
<b>TOTAL:</b>		<b>112</b>			<b>TOTAL:</b>	<b>6766</b>	

\* Dates are from departure of HQ to Arrival to HQ

\*\* India and the Republic of Korea have requested that information pertaining to their implementation of the Convention should not be included in this document

**Annex 7, Appendix 1**

**GENERAL FUND  
STATEMENT OF INCOME AND EXPENDITURE AND  
CHANGES IN RESERVES AND FUND BALANCES  
for the period ending 30 September 1997  
(Expressed in Netherlands guilders)**

<b>INCOME</b>	
Assessed contributions	88,773,800
Voluntary contributions	-
Other/Miscellaneous income	
Allocations from other funds	-
Assessed contributions - new Member States	772,380
Interest income	197,831
Currency exchange adjustments	-
Other/Miscellaneous	-
<b>TOTAL INCOME</b>	<b>89,744,011</b>
<b>EXPENDITURE</b>	
Disbursements	22,091,964
Obligations	22,928,886
<b>TOTAL EXPENDITURE</b>	<b>45,020,850</b>
<b>EXCESS (SHORTFALL) OF INCOME OVER EXPENDITURE</b>	<b>44,723,161</b>
Prior period adjustments	-
<b>NET EXCESS (SHORTFALL) OF INCOME OVER EXPENDITURE</b>	<b>44,723,161</b>
Savings on prior periods' obligations	-
Transfer to Staff Provident Fund capital accounts	-
Transfers to/from other funds	-
Credits to Member States	-
Reserves and fund balances, beginning of period	-
<b>RESERVES AND FUND BALANCES, END OF PERIOD</b>	<b>44,723,161</b>

## Annex 7, Appendix 2

### STATUS OF ASSESSED CONTRIBUTIONS AS OF 20 OCTOBER 1997

1. During the period between the last report on the status of assessed contributions as of 1 October 1997 (EC-V/DG.8, Add.1) and 20 October 1997, the following assessed contributions (incl. Working Capital Fund) were received.

	<u>NLG</u>
Prep. Commission 1993 - 1997 contributions	292,208
OPCW 1997 contributions	670,935
OPCW 1997 contributions to the Working Capital Fund	95,363
Total	<u><u>1,058,506</u></u>

2. As a result of the above collections, the Secretariat's cash position has developed as follows: -

	<u>Millions of NLG</u>
Cash on hand as of 1 October 1997	64.6
Assessed contributions (incl. Working Capital Fund) received during the period 1 to 29 September 1997 (inclusive)	1.1
Payments made during the period 1 to 20 October 1997 (inclusive)	<u>1.9</u>
Cash on hand as of 20 October 1997	<u><u>63.8</u></u>

# REPORT ON THE STATUS OF ASSESSED CONTRIBUTIONS AS OF 20 OCTOBER 1997

MEMBER STATES	1994 CONTRIB.		1995 CONTRIB.		1996 P 1 CONTRIB.		1996 P 2 CONTRIB.		TOTAL CONTRIB.		1993 CONTRIB.		1997 OPCW CONTRIBUTIONS				WORKING CAPITAL FUND OPCW				ADVANCES OPCW 1997		Total received OPCW	
	Balance Outstanding in NLG		Balance Outstanding in NLG		Balance Outstanding in NLG	Over Payments in NLG	Balance Outstanding in NLG		Balance Outstanding in NLG		Balance Outstanding in USD		Assessments in NLG	Collections in NLG	Balance Outstanding in NLG	Over Payments in NLG	Assessments in NLG	Collections in NLG	Balance Outstanding in NLG		Collections in NLG		Collections in NLG	
1 Afghanistan	3,444	2,691	2,443	1,311	-	-	3,009	12,898	887	-	9,731	-	-	-	-	-	-	-	-	-	-	-	-	-
2 Albania	3,444	2,691	2,443	1,311	-	-	3,009	12,898	887	-	9,731	-	-	-	-	-	-	-	-	-	-	-	-	-
3 Algeria	-	-	-	14,226	-	-	48,148	62,374	-	-	155,692	-	-	-	-	-	-	-	-	-	-	-	-	-
4 Argentina	-	-	-	-	-	-	-	-	-	-	467,077	-	-	-	-	-	-	-	-	-	-	-	-	-
5 Armenia	44,771	21,526	13,434	6,557	-	-	16,551	102,839	8,327	-	8,327	-	-	-	-	-	-	-	-	-	-	-	-	-
6 Australia	-	-	-	-	-	-	-	-	-	-	1,440,154	-	-	-	-	-	-	-	-	-	-	-	-	-
7 Austria	-	-	-	-	-	-	-	-	-	-	846,577	-	-	-	-	-	-	-	-	-	-	-	-	-
8 Azerbaijan	75,766	43,053	28,701	14,426	-	-	35,359	197,305	19,525	-	19,525	-	-	-	-	-	-	-	-	-	-	-	-	-
9 Bahamas	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10 Bahrain	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
11 Bangladesh	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
12 Belarus	-	-	24,865	36,722	-	-	88,021	149,608	-	-	9,731	-	-	-	-	-	-	-	-	-	-	-	-	-
13 Belgium	-	-	-	-	-	-	-	-	-	-	272,462	-	-	-	-	-	-	-	-	-	-	-	-	-
14 Benin	-	-	1,911	1,311	-	-	3,009	6,231	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-
15 Bolivia	3,444	2,691	2,443	1,311	-	-	3,009	12,898	-	-	9,731	-	-	-	-	-	-	-	-	-	-	-	-	-
16 Bosnia-Herzegovina	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
17 Brazil	-	-	287,324	212,463	-	-	487,500	987,287	-	-	1,576,384	-	-	-	-	-	-	-	-	-	-	-	-	-
18 Brunei Darussalam	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
19 Bulgaria	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
20 Burkina Faso	3,444	2,691	2,443	1,311	-	-	3,009	12,898	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-
21 Burundi	3,444	2,691	2,443	1,311	-	-	3,009	12,898	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-
22 Cambodia	3,444	2,691	2,443	1,311	-	-	1,698	3,009	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
23 Cameroon	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
24 Canada	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
25 Cape Verde	3,444	2,691	2,443	1,311	-	-	3,009	12,898	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-
26 Central African Republic	3,444	2,691	2,443	1,311	-	-	3,009	12,898	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-
27 Chad	-	-	2,443	1,311	-	-	3,009	9,454	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
28 Chile	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
29 China	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
30 Colombia	3,444	2,691	2,443	1,311	-	-	10,756	11,141	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-
31 Comoros	3,444	2,691	2,443	1,311	-	-	3,009	12,898	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-
32 Congo	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
33 Cook Islands	3,444	2,691	2,443	1,311	-	-	3,009	7,053	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-
34 Costa Rica	3,444	2,691	2,443	1,311	-	-	3,009	12,898	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-
35 Cote d'Ivoire	5,902	2,691	2,443	1,311	-	-	3,009	15,356	11,538	-	11,538	-	-	-	-	-	-	-	-	-	-	-	-	-
36 Croatia	44,771	26,908	21,983	11,804	-	-	27,083	132,549	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
37 Cuba	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
38 Cyprus	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
39 Czech Republic	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
40 Dem. Rep. of the Congo	3,444	2,691	2,443	1,311	-	-	3,009	12,898	887	-	887	-	-	-	-	-	-	-	-	-	-	-	-	-

The Executive Council agreed at its Fourth Session that a 25% ceiling and a 0.01% floor rate should apply on a provisional basis for the 1997 budget pending a decision of the Conference of the States Parties. Once adopted, the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the United States of America will be NLG 22,193,450 and NLG 2,500,000 respectively and the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the States Parties currently assessed at the 0.01% rate will be NLG 8,877 and NLG 1,000 respectively.

MEMBER STATES	1994	1995	1996 P 1	1997 P 1		1996 P 2	TOTAL	1993	1997				WORKING CAPITAL FUND				ADVANCES	Total		
	CONTRIB.	CONTRIB.	CONTRIB.	CONTRIB.		CONTRIB.	CONTRIB.	CONTRIB.	CONTRIBUTIONS				OPCW				OPCW	received		
	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in NLG	Over Payments in NLG	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in USD	Assessments in NLG	Collections in NLG	Balance Outstanding in NLG	Over Payments in NLG	Assessments in NLG	Collections in NLG	Balance Outstanding in NLG	Collections in NLG	in NLG	in NLG	in NLG	
41 Denmark	-	-	-	-	-	-	-	-	700,615	280,863	419,752	-	-	-	-	-	-	-	-	359,784
42 Djibouti	3,444	2,691	2,443	1,311	-	3,009	12,898	-	-	-	-	-	-	-	-	-	-	-	-	-
43 Dominica	1,575	2,691	2,443	1,311	-	3,009	11,029	-	-	-	-	-	-	-	-	-	-	-	-	-
44 Dominican Republic	6,888	2,691	2,443	1,311	-	3,009	16,342	1,775	-	-	-	-	-	-	-	-	-	-	-	-
45 Ecuador	-	-	2,689	2,622	-	6,019	11,330	-	19,462	-	19,462	-	-	-	-	-	-	-	-	2,192
46 El Salvador	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	1,096
47 Equatorial Guinea	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	1,096
48 Estonia	24,107	13,454	10,381	5,246	-	12,789	65,977	6,212	-	-	-	-	-	-	-	-	-	-	-	-
49 Ethiopia	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
50 Fiji	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
51 Finland	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
52 France	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
53 Gabon	6,888	2,691	2,443	1,311	-	3,009	16,342	1,775	-	-	-	-	-	-	-	-	-	-	-	-
54 Gambia	-	-	-	109	-	-	109	-	-	-	-	-	-	-	-	-	-	-	-	-
55 Georgia	72,322	43,053	28,701	14,426	-	35,359	193,861	18,638	-	-	-	-	-	-	-	-	-	-	-	-
56 Germany	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-
57 Ghana	-	-	-	31,428	-	-	31,428	-	-	-	-	-	-	-	-	-	-	-	-	-
58 Greece	-	-	-	2,622	-	6,019	25,796	1,775	-	-	-	-	-	-	-	-	-	-	-	-
59 Guatemala	6,888	5,382	4,885	2,443	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-
60 Guinea	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-
61 Guinea-Bissau	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-
62 Guyana	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-
63 Haiti	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-
64 Holy See	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
65 Honduras	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-
66 Hungary	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
67 Iceland	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
68 India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
69 Indonesia	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
70 Iran (Islamic Republic of)	55,511	161,448	114,192	59,018	-	140,683	530,852	-	-	-	-	-	-	-	-	-	-	-	-	-
71 Ireland	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
72 Israel	-	-	-	18,221	-	80,498	98,719	-	-	-	-	-	-	-	-	-	-	-	-	-
73 Italy	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
74 Japan	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
75 Kazakhstan	120,537	69,961	48,852	24,918	-	60,185	324,453	31,063	-	-	-	-	-	-	-	-	-	-	-	-
76 Kenya	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
77 Kuwait	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
78 Kyrgyzstan	20,664	10,763	79,385	3,935	-	97,801	212,548	887	-	-	-	-	-	-	-	-	-	-	-	-
79 Lao People's Republic	-	141	2,443	1,311	-	3,009	6,904	-	-	-	-	-	-	-	-	-	-	-	-	-
80 Latvia	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
81 Lesotho	-	-	2,443	1,311	-	3,009	6,763	-	-	-	-	-	-	-	-	-	-	-	-	-
82 Liberia	3,051	2,691	2,443	1,311	-	3,009	12,505	-	-	-	-	-	-	-	-	-	-	-	-	-
83 Liechtenstein	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
84 Lithuania	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
85 Luxembourg	-	-	-	-	-	-	-	-	68,115	60,442	7,673	-	-	-	-	-	-	-	-	-

The Executive Council agreed at its Fourth Session that a 25% ceiling and a 0.01% floor rate should apply on a provisional basis for the 1997 budget pending a decision of the Conference of the States Parties. Once adopted, the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the United States of America will be NLG 22,193,450 and NLG 2,500,000 respectively and the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the States Parties currently assessed at the 0.01% rate will be NLG 8,877 and NLG 1,000 respectively.

MEMBER STATES	1994 CONTRIB.		1995 CONTRIB.		1996 P 1 CONTRIB.		1997 P 1 CONTRIB.		1996 P 2 CONTRIB.		TOTAL CONTRIB.		1993 CONTRIB.		1997 OPCW CONTRIBUTIONS				WORKING CAPITAL FUND OPCW				ADVANCES OPCW 1997		Total received OPCW	
	Balance Outstanding in NLG		Balance Outstanding in NLG		Balance Outstanding in NLG		Balance Outstanding in NLG	Over Payments in NLG	Balance Outstanding in NLG		Balance Outstanding in NLG		Balance Outstanding in USD		Assessments in NLG	Collections in NLG	Balance Outstanding in NLG	Over Payments in NLG	Assessments in NLG	Collections in NLG	Balance Outstanding in NLG		Collections in NLG		Collections in NLG	
86 Madagascar	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
87 Malawi	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
88 Malaysia	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
89 Maldives	-	-	1,924	1,311	-	3,009	6,244	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
90 Mali	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
91 Malta	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
92 Marshall Islands	-	-	-	162	-	-	162	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
93 Mauritania	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
94 Mauritius	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
95 Mexico	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
96 Micronesia (Fed. States of)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
97 Monaco	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
98 Mongolia	2,834	2,691	2,443	1,311	-	3,009	12,288	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
99 Morocco	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
100 Myanmar	-	0	-	-	-	-	889	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
101 Namibia	-	-	-	889	-	-	9,481	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
102 Nauru	27	2,691	2,443	1,311	-	3,009	12,898	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
103 Nepal	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
104 Netherlands	-	-	-	-	-	-	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
105 New Zealand	-	-	-	0	-	-	12,898	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
106 Nicaragua	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
107 Niger	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
108 Nigeria	-	19,785	28,090	14,426	-	34,606	96,907	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
109 Norway	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
110 Oman	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
111 Pakistan	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
112 Panama	6,888	2,691	2,443	1,311	-	3,009	16,342	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
113 Papua New Guinea	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
114 Paraguay	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
115 Peru	-	-	-	6,280	-	18,056	24,336	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
116 Philippines	-	0	-	-	-	-	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
117 Poland	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
118 Portugal	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
119 Qatar	-	-	-	3,157	-	6,791	9,948	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
120 Republic of Korea	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
121 Republic of Moldova	51,659	29,599	20,762	10,492	-	25,579	138,091	13,313	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
122 Romania	0	-	-	-	-	-	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
123 Russian Federation	-	-	-	266,543	-	1,339,121	1,605,664	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
124 Rwanda	3,444	2,691	2,443	1,311	-	3,009	12,898	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
125 Saint Kitts and Nevis	-	2,691	2,443	1,311	-	3,009	9,454	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
126 Saint Lucia	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
127 Saint Vincent and the Grenadines	3,444	2,691	2,443	1,311	-	3,009	12,898	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

The Executive Council agreed at its Fourth Session that a 25% ceiling and a 0.01% floor rate should apply on a provisional basis for the 1997 budget pending a decision of the Conference of the States Parties. Once adopted, the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the United States of America will be NLG 22,193,450 and NLG 2,500,000 respectively and the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the States Parties currently assessed at the 0.01% rate will be NLG 8,877 and NLG 1,000 respectively.

MEMBER STATES	1994 CONTRIB.	1995 CONTRIB.	1996 P 1 CONTRIB.	1997 P 1 CONTRIB.	1996 P 2 CONTRIB.	TOTAL CONTRIB.	1993 CONTRIB.	1997 OPCW CONTRIBUTIONS			WORKING CAPITAL FUND OPCW			ADVANCES OPCW 1997	Total received OPCW
	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in NLG	Over Payments in NLG	Balance Outstanding in NLG	Balance Outstanding in USD	Assessments in NLG	Collections in NLG	Balance Outstanding in NLG	Assessments in NLG	Collections in NLG	Balance Outstanding in NLG	Collections in NLG	Collections in NLG
128 Samoa	3,444	2,691	2,443	1,311	-	12,898	887	-	-	-	-	-	-	-	-
129 San Marino	-	-	-	-	(1,311)	3,009	-	-	-	-	-	-	-	-	-
130 Saudi Arabia	-	-	0	-	-	-	0	690,885	329,068	361,817	77,825	77,825	-	-	406,893
131 Senegal	-	1,265	2,443	1,311	-	3,009	8,028	-	-	-	-	-	-	-	-
132 Seychelles	3,444	2,691	2,443	1,311	-	3,009	12,898	*	9,731	9,731	*	1,096	1,096	-	-
133 Sierra Leone	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-
134 Singapore	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
135 Slovak Republic	-	-	-	-	(5,505)	-	-	77,846	77,846	-	8,769	8,769	-	-	86,615
136 Slovenia	-	-	-	-	-	-	-	311,385	556,924	-	35,076	35,076	-	-	592,000
137 South Africa	-	-	-	-	-	-	-	2,315,923	2,315,923	-	260,879	260,879	-	-	2,576,802
138 Spain	-	-	-	-	-	-	-	*	9,731	9,731	*	1,096	1,096	-	-
139 Sri Lanka	-	-	-	-	-	-	-	*	9,731	9,731	*	1,096	1,096	-	-
140 Suriname	-	-	-	-	-	-	-	*	9,731	9,731	*	1,096	1,096	-	-
141 Swaziland	3,444	2,691	2,443	1,311	-	3,009	12,898	1,196,885	1,196,885	-	134,824	134,824	-	-	1,331,709
142 Sweden	-	-	-	-	-	-	-	1,177,423	1,177,423	-	132,632	132,632	-	-	1,310,055
143 Switzerland	-	-	-	-	-	-	-	19,462	19,462	-	2,192	2,192	-	-	-
144 Tajikistan	17,220	8,072	4,885	2,622	-	6,019	38,818	4,437	-	-	-	-	-	-	-
145 Thailand	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
146 Togo	3,444	2,691	2,443	1,311	-	3,009	12,898	*	9,731	9,731	1,096	1,096	-	-	-
147 Tunisia	8,739	8,072	7,328	3,935	-	9,028	37,102	29,192	29,192	-	3,288	3,288	-	-	-
148 Turkey	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
149 Turkmenistan	20,664	10,763	7,938	3,935	-	9,780	53,080	29,192	29,192	-	3,288	3,288	-	-	-
150 Uganda	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-
151 Ukraine	-	0	-	142,953	-	257,572	400,525	-	-	-	-	-	-	-	-
152 United Arab Emirates	-	-	46,409	24,918	-	57,176	128,503	9,765	-	-	-	-	-	-	-
153 United Kingdom of Great Britain and Northern Ireland	-	-	-	-	-	-	-	5,176,769	5,388,785	-	583,142	583,142	-	-	5,971,927
154 United Republic of Tanzania	-	2,691	2,443	1,311	-	3,009	9,454	-	-	-	-	-	-	-	-
155 United States of America	-	-	-	1,187,873	-	1,936,674	3,124,547	*	24,326,916	22,218,450	2,108,466	*	2,740,327	2,740,327	22,218,450
156 Uruguay	13,776	10,763	9,770	5,246	-	12,037	51,592	3,550	38,923	38,923	4,385	4,385	-	-	-
157 Uzbekistan	-	-	-	-	-	-	-	126,500	135,681	-	14,250	14,250	-	-	149,931
158 Venezuela	-	-	-	-	(30,491)	-	-	-	-	-	-	-	-	-	-
159 Viet Nam	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-
160 Yemen	-	-	2,036	1,311	-	3,009	6,356	-	-	-	-	-	-	-	-
161 Zambia	3,444	2,691	2,443	1,311	-	3,009	12,898	887	-	-	-	-	-	-	-
162 Zimbabwe	-	-	-	-	-	-	-	*	9,731	9,731	1,096	1,096	-	-	10,827
ADJUSTMENTS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Kyrgyzstan	-	-	-	-	-	-	4,435	-	-	-	-	-	-	-	-
TOTAL (INCL ADJ.)	742,320	616,159	925,924	2,207,286	(67,105)	5,070,784	165,398	88,773,800	73,567,247	15,824,703	10,000,000	6,895,138	3,104,862	4,997	80,467,382
PERCENTAGE OF CONTRIBUTIONS PAID:	97.8%	97.7%	96.2%	82.7%	83.2%	89.9%	98.1%	82.2%	82.2%	69.0%	69.0%	69.0%	69.0%	69.0%	69.0%

The Executive Council agreed at its Fourth Session that a 25% ceiling and a 0.01% floor rate should apply on a provisional basis for the 1997 budget pending a decision of the Conference of the States Parties. Once adopted, the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the United States of America will be NLG 22,193,450 and NLG 2,500,000 respectively and the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the States Parties currently assessed at the 0.01% rate will be NLG 8,877 and NLG 1,000 respectively.



MEMBER STATES	1994 CONTRIB.	1995 CONTRIB.	1996 P 1 CONTRIB.	1997 P 1 CONTRIB.	1996 P 2 CONTRIB.	TOTAL CONTRIB.	1993 CONTRIB.	1997 OPCW CONTRIBUTIONS				WORKING CAPITAL FUND OPCW				ADVANCES OPCW 1997	Total received OPCW Collections
	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in NLG	Balance Outstanding in USD	Assessments in NLG	Collections in NLG	Balance Outstanding in NLG	Over Payments in NLG	Assessments in NLG	Collections in NLG	Balance Outstanding in NLG	Collections in NLG	Collections in NLG	Collections in NLG
<b>NEW MEMBER STATES</b>																	
<b>COMMISSION</b>																	
1 Djibouti							147										
2 Dominica																	
3 Guyana							147										
4 Lao People's Republic																	
5 Latvia																	
6 Liechtenstein																	
7 Maldives																	
8 Panama							737										
9 Rwanda							442										
10 Saint Vincent and the Grenadines							147										
11 Swaziland							147										
12 Turkmenistan							884										
<b>1994</b>																	
1 Tanzania		2,582				2,582											
2 Bahamas																	
3 Saint Kitts and Nevis		2,295				2,295											
4 Chad		431				431											
<b>1995</b>																	
1 Lesotho		1,483				1,483											
2 Uzbekistan																	
<b>1996</b>																	
1 Bosnia-Herzegovina				918	1,567	2,485											
<b>1997</b>																	
1 Grenada				184		184											
2 Jamaica				79		79											
<b>1997OPCW</b>																	
1 Cuba								48,654	1,076	47,578	-	5,481	5,481	-	-	6,557	
2 Turkey								323,548	323,548	-	(1)	41,653	41,653	-	-	365,201	
3 Singapore								119,202	124,270	-	(5,068)	15,346	15,346	-	-	139,616	
4 Kuwait								161,774	-	161,774	-	20,826	20,826	-	-	20,826	
5 Guinea								*	7,298	-	-	*	1,096	-	1,096	-	
6 Slovenia								51,087	-	51,087	-	7,673	7,673	-	-	-	
7 Republic of Macedonia								7,298	-	7,298	-	1,096	1,096	-	-	-	
8 Trinidad & Tobago								29,192	-	29,192	-	4,385	4,385	-	-	-	
9 Burkina Faso								*	6,081	-	-	*	1,096	-	1,096	-	
10 Ghana								*	6,081	-	-	*	1,096	-	1,096	-	
11 Brunei Darussalam								12,163	2,692	9,471	-	2,192	2,192	-	-	4,884	
12 Guyana								3,649	-	3,649	-	*	1,096	-	1,096	-	
13 Qatar								14,596	-	14,596	-	4,384	4,384	-	-	-	

The Executive Council agreed at its Fourth Session that a 25% ceiling and a 0.01% floor rate should apply on a provisional basis for the 1997 budget pending a decision of the Conference of the States Parties. Once adopted, the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the United States of America will be NLG 22,193,450 and NLG 2,500,000 respectively and the assessed contribution of the OPCW budget 1997 and Working Capital Fund of the States Parties currently assessed at the 0.01% rate will be NLG 8,877 and NLG 1,000 respectively.



**ASSESSED CONTRIBUTIONS OF MEMBER STATES  
RECEIVED IN THE PERIOD  
20 OCTOBER - 20 NOVEMBER 1997**

	<b>Preparatory Commission</b>	<b>Total in NLG</b>	
1	Cape Verde 1)	<u>1,791.74</u>	Contribution 1993
		<b>1,791.74</b>	<b>Total 1993</b>
2	Cape Verde	3,444.00	Contribution 1994
3	Panama	<u>6,888.00</u>	Contribution 1994
		<b>10,332.00</b>	<b>Total 1994</b>
4	Cape Verde	486.92	Contribution 1995
5	Panama	<u>2,691.00</u>	Contribution 1995
		<b>3,177.92</b>	<b>Total 1995</b>
6	Panama	<u>2,443.00</u>	Contribution 1996 Part I
		<b>2,443.00</b>	<b>Total 1996 Part I</b>
7	Panama	3,009.00	Contribution 1996 Part II
8	Saudia Arabia	216,667.00	Contribution 1996 Part II
9	United States of America	<u>1,936,673.76</u>	Contribution 1996 Part II
		<b>2,156,349.76</b>	<b>Total 1996 Part II</b>
10	Panama	5,169.00	Contribution 1997
11	Saudia Arabia	59,948.00	Contribution 1997
12	United States of America	<u>1,039,288.43</u>	Contribution 1997
		<b>1,104,405.43</b>	<b>Total 1997</b>
		<b>3,278,499.85</b>	<b>Total Preparatory Commission</b>
<b>OPCW</b>			
13	Mauritius	9,728.00	1997 OPCW Contribution
14	Saudi Arabia	389,016.00	1997 OPCW Contribution
15	Poland	192,386.00	1997 OPCW Contribution
16	Mexico	<u>349,436.49</u>	1997 OPCW Contribution
		<b>940,566.49</b>	<b>Total 1997 OPCW</b>
		<b>940,566.49</b>	<b>Total OPCW contributions</b>
17	Saudi Arabia	<u>77,825.00</u>	Working Capital Fund 1997
		<b>77,825.00</b>	<b>Total WCF 1997</b>

1) Cape Verde paid USD 887.00 which was converted to Netherlands guilders against UN exchange rate for October: 2.02

**Annex 7, Appendix 3**  
**STATUS OF APPROPRIATIONS BY PROGRAMME**  
**as of 30 September 1997**  
**(Thousands of Netherlands Guilders)**

APPROPRIATIONS BY PROGRAMME	APPROPRIATIONS			EXPENDITURE			BALANCE OF APPROPRIATIONS
	ORIGINAL	TRANSFERS	REVISED	DISBURSE- MENTS	OBLIGA- TIONS	TOTAL	
<u>Administrative and other costs</u>							
1. Executive Management	13,791.9		13,791.9	5,012.4	5,794.3	10,806.7	2,985.2
2. Administration Division :							
(a) Office of the Director	261.6		261.6	170.7	97.3	268.0	(6.4)
(b) Budget and Finance Branch	1,067.8		1,067.8	417.4	486.2	903.6	164.2
(c) Procurement and Support Services Branch	1,184.2		1,184.2	391.8	437.0	828.8	355.4
(d) Human Resources Branch	1,089.1		1,089.1	436.7	560.7	997.4	91.7
(e) Information Systems	3,171.1		3,171.1	1,023.2	1,317.1	2,340.3	830.8
3. External Relations	1,814.9		1,814.9	616.4	736.1	1,352.5	462.4
4. International Cooperation and Assistance	2,354.3		2,354.3	367.9	906.1	1,274.0	1,080.3
5. Common services not distributed to programmes	7,333.6		7,333.6	1,616.4	579.7	2,196.1	5,137.5
<b>Sub-Total</b>	<b>32,068.5</b>		<b>32,068.5</b>	<b>10,052.9</b>	<b>10,914.5</b>	<b>20,967.4</b>	<b>11,101.1</b>
<u>Verification costs</u>							
1. Verification	15,740.3		15,740.3	2,751.1	3,953.1	6,704.2	9,036.1
2. Inspection Personnel, Management and Field Operations (Inspectorate)	41,065.0		41,065.0	9,288.0	8,061.3	17,349.3	23,715.7
<b>Sub-Total</b>	<b>56,805.3</b>		<b>56,805.3</b>	<b>12,039.1</b>	<b>12,014.4</b>	<b>24,053.5</b>	<b>32,751.8</b>
<b>TOTAL BUDGET</b>	<b>88,873.8</b>		<b>88,873.8</b>	<b>22,092.0</b>	<b>22,928.9</b>	<b>45,020.9</b>	<b>43,852.9</b>

**Annex 7, Appendix 4**  
**STATUS OF APPROPRIATIONS BY MAIN OBJECT OF EXPENDITURE**  
**as of 30 September 1997**  
**(Thousands of Netherlands Guilders)**

APPROPRIATIONS BY MAIN OBJECT OF EXPENDITURE	APPROPRIATIONS			EXPENDITURE			BALANCE OF APPROPRIATIONS
	ORIGINAL	TRANSFERS	REVISED	DISBURSE- MENTS	OBLIGATIONS	TOTAL	
<b><u>Administrative and other costs</u></b>							
Salaries	10,409.9	(40.0)	10,369.9	4,139.2	3,992.7	8,131.9	2,238.0
Common staff costs	5,751.3		5,751.3	1,642.7	3,893.1	5,535.8	215.5
General temporary assistance	561.1		561.1	135.0	100.6	235.6	325.5
Consultants	319.0		319.0	40.1	56.0	96.1	222.9
Official travel	259.7		259.7	42.5	77.4	119.9	139.8
Data processing/office automation equipment - hardware and software	619.8		619.8	267.8	11.1	278.9	340.9
Rental and maintenance of data processing equipment	222.0		222.0	70.6	0.0	70.6	151.4
First Session of the Conference of the States Parties	2,418.0		2,418.0	1,525.0	405.7	1,930.7	487.3
Regional workshops and seminars	100.0		100.0	0.0	0.0	0.0	100.0
International Cooperation	667.0		667.0	0.0	312.4	312.4	354.6
Protective equipment for assistance purposes	300.0		300.0	0.0	0.0	0.0	300.0
Security costs	755.6		755.6	66.4	440.8	507.2	248.4
Overtime	362.4		362.4	143.9	0.0	143.9	218.5
Contractual services	1,646.6	20.0	1,666.6	538.3	382.9	921.2	745.4
Office furniture & equipment	826.5		826.5	11.4	0.0	11.4	815.1
General operating expenses	5,223.9		5,223.9	1,288.3	984.8	2,273.1	2,950.8
Hospitality	40.0		40.0	1.8	0.0	1.8	38.2
Library, registry and document management	581.8		581.8	19.1	8.9	28.0	553.8
QA/QC regime and maintenance	87.7		87.7	0.0	0.0	0.0	87.7
Health and safety costs	476.2		476.2	67.1	8.8	75.9	400.3
Trainee recruitment travel	200.0	50.0	250.0	14.7	202.4	217.1	32.9
Advertising of vacancies	40.0	(30.0)	10.0	0.0	0.0	0.0	10.0
Travel and DSA for specialised bodies	200.0		200.0	39.0	36.9	75.9	124.1
<b>Sub-Total</b>	<b>32,068.5</b>	<b>0.0</b>	<b>32,068.5</b>	<b>10,052.9</b>	<b>10,914.5</b>	<b>20,967.4</b>	<b>11,101.1</b>

APPROPRIATIONS BY MAIN OBJECT OF EXPENDITURE	APPROPRIATIONS			EXPENDITURE			BALANCE OF APPROPRIATIONS
	ORIGINAL	TRANSFERS	REVISED	DISBURSE- MENTS	OBLIGATIONS	TOTAL	
<u>Verification costs</u>							
Salaries	16,608.2		16,608.2	6,178.1	5,784.3	11,962.4	4,645.8
Common staff costs	16,714.2		16,714.2	4,519.5	4,729.6	9,249.1	7,465.1
Consultancy	66.7		66.7	22.4	2.4	24.8	41.9
General temporary assistance	66.6		66.6	30.8	14.1	44.9	21.7
Photocopiers	150.0		150.0	0.0	47.8	47.8	102.2
Official travel	133.4		133.4	14.0	13.3	27.3	106.1
Publications and books	40.0		40.0	0.0	0.0	0.0	40.0
Contractual services	250.0		250.0	1.2	0.0	1.2	248.8
Maintenance and update of laboratory database	13.3		13.3	0.0	0.0	0.0	13.3
Inspection equipment	5,751.3		5,751.3	81.4	497.3	578.7	5,172.6
Equipment training	40.0		40.0	0.0	0.0	0.0	40.0
OPCW Laboratory and Equipment Store rent	100.0		100.0	0.0	0.0	0.0	100.0
OPCW Laboratory and Equipment Store running costs	233.7		233.7	11.7	7.9	19.6	214.1
OPCW Laboratory equipment running costs	93.5		93.5	8.5	6.2	14.7	78.8
OPCW Laboratory equipment replacement costs	160.0		160.0	21.9	0.0	21.9	138.1
Cost of sample analysis	1,141.7		1,141.7	0.0	0.0	0.0	1,141.7
Operations and Planning Centre equipment	76.7		76.7	3.0	4.7	7.7	69.0
Inspection travel and subsistence allowance	9,759.0		9,759.0	1,138.4	906.8	2,045.2	7,713.8
Insurance of inspection equipment	117.2		117.2	0.0	0.0	0.0	117.2
Maintenance of inspection equipment	616.8		616.8	0.0	0.0	0.0	616.8
Transport costs of inspection equipment	2,203.0		2,203.0	8.2	0.0	8.2	2,194.8
Interpretation services during inspections	2,500.0		2,500.0	0.0	0.0	0.0	2,500.0
Reimbursements to States Parties	70.0		70.0	0.0	0.0	0.0	70.0
Miscellaneous income	(100.0)		(100.0)	0.0	0.0	0.0	(100.0)
<b>Sub-Total</b>	<b>56,805.3</b>		<b>56,805.3</b>	<b>12,039.1</b>	<b>12,014.4</b>	<b>24,053.5</b>	<b>32,751.8</b>
<b>TOTAL BUDGET</b>	<b>88,873.8</b>		<b>88,873.8</b>	<b>22,092.0</b>	<b>22,928.9</b>	<b>45,020.9</b>	<b>43,852.9</b>

**Annex 7, Appendix 5**  
**STATUS OF INVESTMENTS AS OF 20 OCTOBER 1997**

Bank	Location	Principal Amount NLG	Placement Dates	Maturity Dates	Days invested	Interest Rate - %	Interest NLG	Principal with Interest NLG
<b>General Fund</b>								
ABN AMRO Bank	The Hague	2,500,000.00	6-Aug-97	21-Oct-97	76	3.2900%	17,363.89	2,517,363.89
ING Bank	The Hague	2,500,000.00	7-Aug-97	21-Oct-97	75	3.3200%	17,291.67	2,517,291.67
Skandinaviska Bank	London	4,000,000.00	4-Sep-97	5-Nov-97	62	3.3000%	22,733.33	4,022,733.33
ABN AMRO Bank	The Hague	2,000,000.00	9-Sep-97	10-Nov-97	62	3.2900%	11,332.22	2,011,332.22
Rabo Bank	The Hague	510,694.20	2-Sep-97	10-Nov-97	69	3.3100%	3,239.93	513,934.13
Rabo Bank	The Hague	2,000,000.00	10-Sep-97	11-Nov-97	62	3.3000%	11,366.67	2,011,366.67
Banque Paribas	Paris	3,017,252.87	2-Sep-97	20-Nov-97	79	3.3125%	21,932.70	3,039,185.57
Rabo Bank	The Hague	1,008,270.70	2-Sep-97	20-Nov-97	79	3.3100%	7,323.69	1,015,594.39
Skandinaviska Bank	London	2,510,573.61	23-Sep-97	24-Nov-97	62	3.3200%	14,354.90	2,524,928.51
ING Bank	The Hague	3,000,000.00	3-Sep-97	3-Dec-97	91	3.3400%	25,328.33	3,025,328.33
ABN AMRO Bank	The Hague	4,000,000.00	3-Sep-97	12-Dec-97	100	3.3400%	37,111.11	4,037,111.11
San Paolo Bank	Amsterdam	1,420,480.83	15-Oct-97	15-Dec-97	61	5.5200%	13,286.23	1,433,767.06
San Paolo Bank	Amsterdam	2,017,456.00	15-Oct-97	16-Dec-97	62	3.4200%	11,882.82	2,029,338.82
Rabo Bank	The Hague	1,427,810.53	22-Sep-97	22-Dec-97	91	3.3500%	12,090.78	1,439,901.31
Rabo Bank	The Hague	1,500,000.00	24-Sep-97	23-Dec-97	90	3.3500%	12,562.50	1,512,562.50
Rabo Bank	The Hague	5,000,000.00	2-Oct-97	9-Jan-98	99	3.3700%	46,337.50	5,046,337.50
ING Bank	The Hague	6,000,000.00	2-Oct-97	20-Jan-98	110	3.3800%	61,966.67	6,061,966.67
ABN AMRO Bank	The Hague	5,000,000.00	2-Oct-97	5-Feb-98	126	3.3800%	59,150.00	5,059,150.00
Rabo Bank	The Hague	1,524,691.64	7-Oct-97	8-Feb-98	124	3.4300%	18,013.38	1,542,705.02
Banque Paribas	Paris	6,000,000.00	3-Oct-97	20-Feb-98	140	3.4100%	79,566.67	6,079,566.67
<b>Subtotal</b>		<b>56,937,230.38</b>					<b>504,234.99</b>	<b>57,441,465.37</b>

**STATUS OF INVESTMENTS AS OF 20 OCTOBER 1997**

Bank	Location	Principal Amount NLG	Placement Dates	Maturity Dates	Days invested	Interest Rate - %	Interest NLG	Principal with Interest NLG
<b>Working Capital OPCW</b>								
ING Bank	The Hague	2,800,000.00	9-Sep-97	14-Nov-97	66	3.3000%	16,940.00	2,816,940.00
ABN AMRO Bank	The Hague	1,005,328.74	1-Sep-97	1-Dec-97	91	3.3200%	8,436.94	1,013,765.68
San Paolo Bank	Amsterdam	703,819.28	30-Sep-97	1-Dec-97	62	3.2500%	3,939.43	707,758.71
Rabo Bank	The Hague	500,000.00	24-Sep-97	23-Dec-97	90	3.3500%	4,187.50	504,187.50
<b>Subtotal</b>		<b>5,009,148.02</b>					<b>33,503.88</b>	<b>5,042,651.90</b>
<b>Capital Advance - Commission</b>								
Rabo Bank	The Hague	904,680.01	21-Aug-97	21-Oct-97	61	3.3100%	5,074.00	909,754.01
<b>Subtotal</b>		<b>904,680.01</b>					<b>5,074.00</b>	<b>909,754.01</b>
<b>Special Account for the Procurement of Inspection equipment</b>								
Rabo Bank	The Hague	401,195.33	14-Oct-97	14-Nov-97	31	3.4100%	1,178.07	402,373.40
<b>Subtotal</b>		<b>401,195.33</b>					<b>1,178.07</b>	<b>402,373.40</b>
<b>Grand Total</b>		<b>63,252,253.74</b>					<b>543,990.93</b>	<b>63,796,244.67</b>



**Annex 8**

**TRUST FUND FOR MEDIA REQUIREMENTS FOR THE  
FIRST SESSION OF THE CONFERENCE OF THE STATES PARTIES**

**Status Statement**  
**as of 22 October 1997**

Since the entry into force of the Convention on 29 April 1997, the following voluntary contributions have been received for this fund: -

<b><u>Name of Contributor</u></b>	<b><u>Date</u></b>	<b><u>NLG</u></b>
The Netherlands	June 1997	150,000
The Netherlands	October 1997	32,285

**Annex 9**

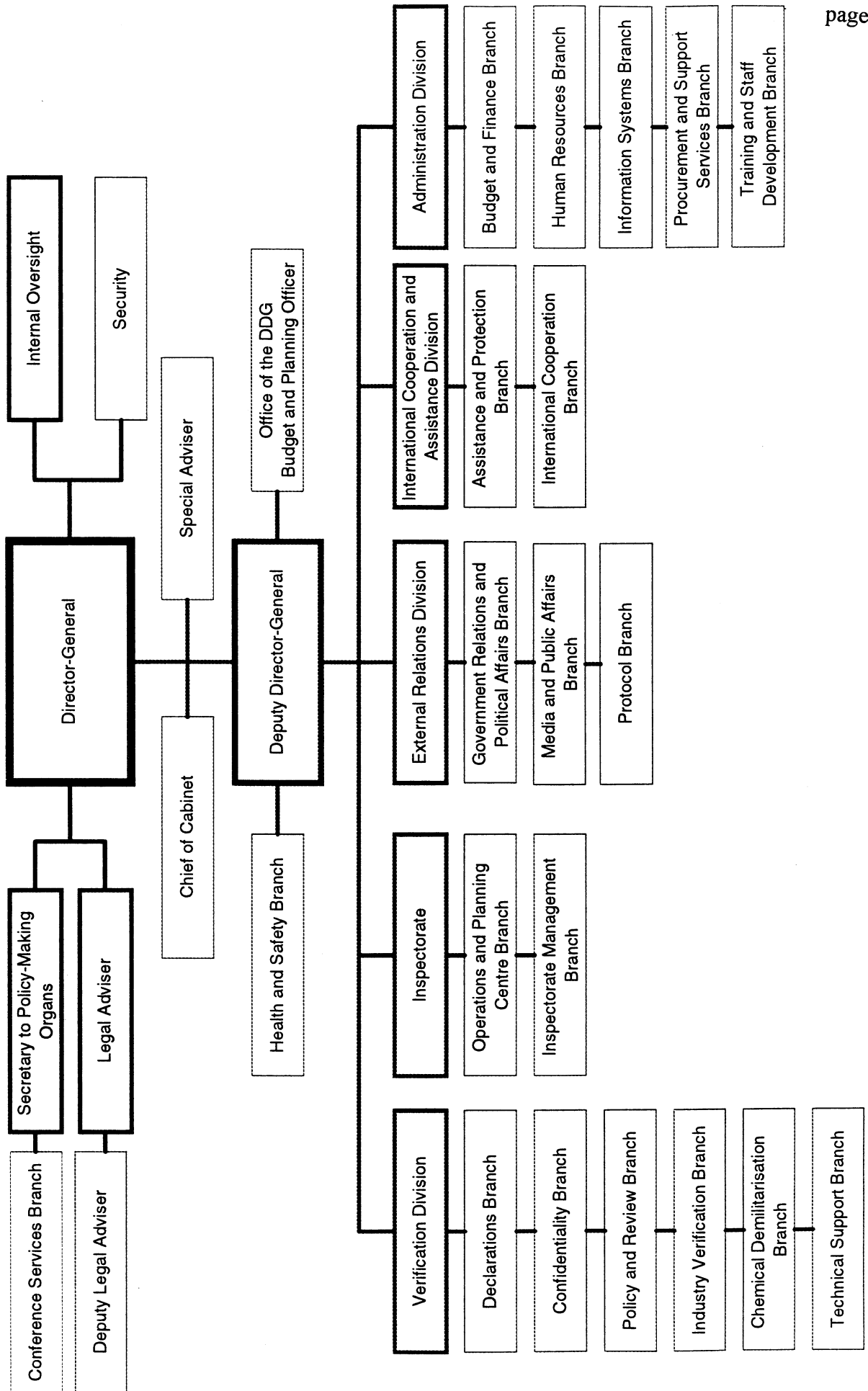
**VOLUNTARY FUND FOR ASSISTANCE**

**Status Statement**  
**as of 22 October 1997**

Since the entry into force of the Convention on 29 April 1997, the following voluntary contributions have been received for this fund: -

<b><u>Name of Contributor</u></b>	<b><u>Date</u></b>	<b><u>NLG</u></b>
New Zealand	June 1997	15,949
The Netherlands	July 1997	75,000
Canada	September 1997	50,000

# Technical Secretariat



Annex 11

**TREATIES AND INTERNATIONAL AGREEMENTS REGISTERED WITH THE OPCW**  
as of 20 November 1997

OPCW registration number	Subject of agreement	Parties	Date of		Publications containing the text
			Signature	Entry into force	
IAR 1	Protocol regarding the Transfer of Assets, Liabilities, Records and Functions from the Preparatory Commission for the Organisation for the Prohibition of Chemical Weapons to the Organisation for the Prohibition of Chemical Weapons	Preparatory Commission for the OPCW OPCW	21-05-97	21-05-97	C-I/DEC.4
IAR 2	Headquarters Agreement with the Netherlands	the Netherlands OPCW	22-05-97	07-06-97	C-I/DEC.59
IAR 3	Arrangement between the United Nations and the OPCW regarding the issuance of UN Laissez-passer to members of inspection teams of the OPCW	UN OPCW	28-05-97 02-06-97	03-06-97	
IAR 4	TVA / Tooele Chemical Agent Disposal Facility	USA OPCW	01-08-97	05-08-97	Annex and Annex 1 to EC-III/DEC.1
IAR 5	TVA / Johnston Atoll Chemical Agency Disposal System	USA OPCW	01-08-97	05-08-97	Annex and Annex 2 to EC-III/DEC.1
IAR 6	TVA/ Deseret Army Depot, Utah	USA OPCW	01-08-97	05-08-97	Annex and Annex 3 to EC-III/DEC.1
IAR 7	Bilateral Agreement regarding sequential inspections	France OPCW	12-08-97	12-08-97	
IAR 8	Exchange of Letters regarding sequential inspections	USA OPCW	22-07-97 24-07-97	24-07-97	
IAR 9	TVA / Chemical Transfer Facility, Aberdeen Proving Ground, Maryland	USA OPCW	01-10-97	01-10-97	EC-IV/DEC/CRP.3
IAR 10	TVA / Hawthorne Army Depot, Hawthorne, Nevada and Laidlaw Environment Services Inc., Aragonite, Utah	USA OPCW	01-10-97	01-10-97	EC-IV/DEC/CRP.1
IAR 11	Financial Support to Module A of Training Group B in the General Training Scheme	Netherlands OPCW	07-11-97	07-11-97	

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