# **Executive Council**



Ninety-Sixth Session 9 – 12 March 2021

EC-96/DG.5\* 21 January 2021 Original: ENGLISH

# NOTE BY THE DIRECTOR-GENERAL

STATUS REPORT ON THE TIMELY SUBMISSION BY STATES PARTIES OF DECLARATIONS UNDER ARTICLE VI OF THE CHEMICAL WEAPONS CONVENTION FOR THE PERIOD FROM 1 JANUARY TO 31 DECEMBER 2020

# 1. INTRODUCTION

- 1.1 This Note, which is being submitted to the Executive Council (hereinafter "the Council") for consideration at its Ninety-Sixth Session, provides an update on the information and analysis related to the implementation by the Technical Secretariat (hereinafter "the Secretariat") and States Parties of the decision "Timely Submission by States Parties of Declarations Under Article VI of the Convention" (EC-51/DEC.1, dated 27 November 2007). It covers the period from 1 January to 31 December 2020.
- 1.2 In response to decision EC-51/DEC.1, which requests all States Parties to the Chemical Weapons Convention (hereinafter "the Convention") to meet their obligations regarding annual declarations in a timely manner, the Secretariat sent a note verbale to all States Parties (NV/VER/DEB/222508/20, dated 14 February 2020), reminding them of the requirements of the decision and of the deadline for submission of annual declarations on past activities (ADPA) for 2019.
- 1.3 In the decision, the Council also requests the Secretariat "to make its requirements known at regional meetings and at any other venues that the Secretariat deems effective". During the reporting period, the decision was highlighted at seven events: four regional meetings of National Authorities (in Africa, Asia, Eastern Europe, and Latin America and the Caribbean); one training course for representatives of National Authorities on fulfilling Article VI obligations under the Convention (South East Asia); a basic training course for representatives of National Authorities (Latin America and the Caribbean); and the Twenty-Second Annual Meeting of National Authorities. Due to the novel coronavirus (COVID-19) pandemic, all events were held online. Furthermore, the Secretariat has held several bilateral meetings with Permanent Representatives and National Authorities to highlight the importance of the timely submission of declarations.

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Reissued in Arabic, English, and Spanish for technical reasons.

### 2. SUBMISSION OF INITIAL DECLARATIONS UNDER ARTICLE VI

During the reporting period, the Secretariat did not receive any initial declarations. As at 31 December 2020, only one State Party, Tonga, had yet to submit its initial declaration under Article VI. The Secretariat will continue to work with this State Party towards the submission of its outstanding initial declaration.

# 3. SUBMISSION OF ANNUAL DECLARATIONS UNDER ARTICLE VI

- 3.1 The deadline for the submission of ADPA 2019 was 30 March 2020. As at that date, the Secretariat had received ADPA 2019 from 62 States Parties, including five States Parties that notified the Secretariat that they had no declarable facilities or activities ("nil declarations"). An additional 28 States Parties submitted information on declarable facilities or activities for ADPA 2019 after 30 March 2020, and six more States Parties notified the Secretariat that they had no declarable facilities or activities under the Verification Annex to the Convention (hereinafter "the Verification Annex").
- 3.2 As at 31 December 2020, a total of 85 States Parties had submitted declarations for declarable facilities or activities for 2019. Of the 85 States Parties, 57 (67%) were on time in submitting at least part of their declaration. The current status of submissions of ADPA 2019 is detailed in Annexes 1 and 2 to this report.
- 3.3 The deadlines for the submission of annual declarations on anticipated activities (ADAA) for 2021 were 2 October 2020 (for Schedule 1 facilities) and 1 November 2020 (for Schedule 2 and 3 plant sites).
- 3.4 As at the deadline of 2 October 2020, 22 out of 23 States Parties with declarable activities had submitted their ADAA 2021 for Schedule 1 chemicals and related facilities. One State Party submitted its ADAA for Schedule 1 facilities after the deadline. Accordingly, 96% of these declarations were on time. In addition, three States Parties notified the Secretariat that they had no declarable Schedule 1 facilities or activities.
- 3.5 As at the deadline of 1 November 2020, 37 out of 38 States Parties with declarable activities had submitted their ADAA 2021 for Schedule 2 and 3 chemicals and related facilities. One State Party submitted its ADAA after the deadline. Accordingly, 97% of these declarations were on time. In addition, five States Parties notified the Secretariat that they had no declarable Schedule 2 or 3 facilities or activities.
- 3.6 A total of 42 States Parties declared activities and facilities for 2021 in relation to Schedule 1 and/or Schedule 2 and Schedule 3 chemicals, and five more States Parties notified the Secretariat that they had no declarable activities. Of the States Parties with declarable facilities or activities in regard to their ADAA 2021, 40 (95%) were on time in submitting at least part of their declaration. The current status of submission of ADAA 2021 is detailed in Annexes 3 and 4 to this report.

Although the submission of nil declarations is not a requirement of the Convention, a number of States Parties choose to provide the Secretariat with such information voluntarily.

3.7 In paragraph 4 of EC-51/DEC.1, the Council requests "States Parties that anticipate difficulties in regard to the timely submission of their declarations in accordance with the deadlines in the Convention to inform the Secretariat at the earliest possible date of the circumstances of such difficulties". As at the ADPA 2019 deadline, Canada, Denmark, Norway, and Portugal had submitted information to that effect. They all referred to the impact of the COVID-19 pandemic as the reason for the delay.

### 4. ONGOING EFFORTS TO ASSIST STATES PARTIES

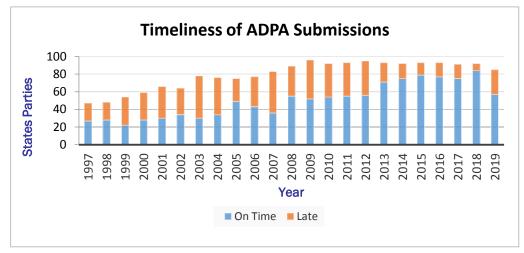
- 4.1 The Secretariat held bilateral meetings with representatives of States Parties that submitted their annual declarations late in the previous ADPA and ADAA rounds, to offer advice and assistance where needed. Furthermore, the Secretariat provided customised support to those States Parties that encountered difficulties in identifying declarable activities, collecting data for declaration, and preparing and submitting their annual declarations, through bilateral consultations with National Authorities and training of National Authorities' personnel. The Secretariat will continue to work with the States Parties concerned towards the submission of complete and accurate annual declarations on time.
- 4.2 The Secretariat continued to support States Parties in using the new Secure Information Exchange System (SIX) for the exchange of confidential information, in particular declarations. Currently, 115 users from 60 States Parties are registered for SIX. In 2020, the system was used by 40 States Parties for the submission of ADPA 2019. Further details and updates regarding the system are available in the Note by the Secretariat S/1891/2020 (dated 31 August 2020). The SIX project team is available for questions from States Parties and can be contacted via email (six@opcw.org).

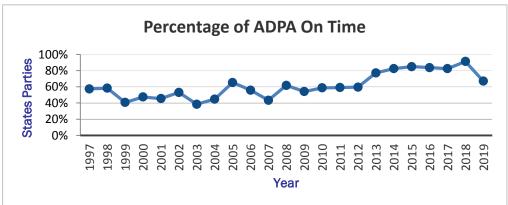
# 5. ANALYSIS OF TRENDS IN THE TIMELINESS OF PAST ADPA SUBMISSIONS<sup>2</sup>

5.1 Although in the past five years there has been a significant improvement in the number and percentage of submissions received on time, for ADPA 2019 there was a decrease in the number of declarations received by the required deadline. The impact of the COVID-19 pandemic may well be a major reason for this decrease. The Secretariat will continue to work with States Parties towards the timeliness of submission of annual declarations. A timeline of ADPA submissions from 1997 to 2019 is shown in Figure 1 below.

The numbers and percentages of States Parties in this section do not include submissions of nil declarations.

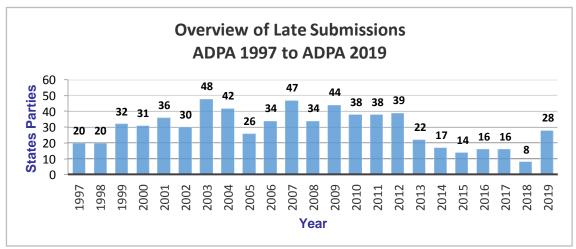
FIGURE 1: OVERVIEW OF SUBMISSIONS OF ADPA 1997 – 2019





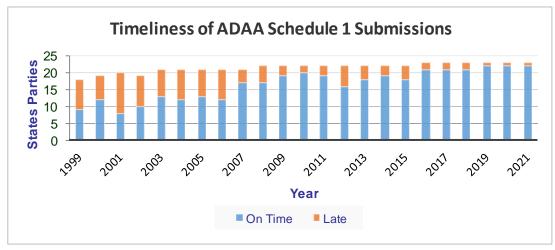
- 5.2 In order to highlight the importance of timely submissions and to offer advice and assistance, the Secretariat sent emails to those States Parties that had not submitted their annual declarations on time from which, based on the previous declaration rounds, the Secretariat would expect submissions.
- 5.3 As at 31 December 2020, 28 States Parties were late in submitting declarable activities for ADPA 2019. Twelve of these States Parties submitted their declarations within 30 days after the deadline, while 16 submitted more than 30 days after the deadline. An overview of late submissions of ADPA 1997 to ADPA 2019 is given in Figure 2 below.

FIGURE 2: OVERVIEW OF LATE SUBMISSIONS OF ADPA 1997 TO ADPA 2019



- 5.4 For ADAA 2021 for Schedule 1 facilities, compared to ADAA 2020 there was no change in the number of States Parties submitting their declarations on time. Similarly to ADAA 2020, only one State Party submitted its ADAA 2021 after the deadline.
- 5.5 As regards ADAA 2021 for Schedule 2 and 3 plant site declarations, 37 States Parties submitted their declarations on time, compared to 41 States Parties in ADAA 2020. One State Party submitted its ADAA 2021 after the deadline.
- 5.6 A timeline of ADAA submissions from 1999 to 2021 is shown below in Figure 3 (for Schedule 1 facilities) and Figure 4 (for Schedule 2 and Schedule 3 plant sites).

FIGURE 3: OVERVIEW OF SUBMISSIONS OF ADAA FOR SCHEDULE 1 FACILITIES



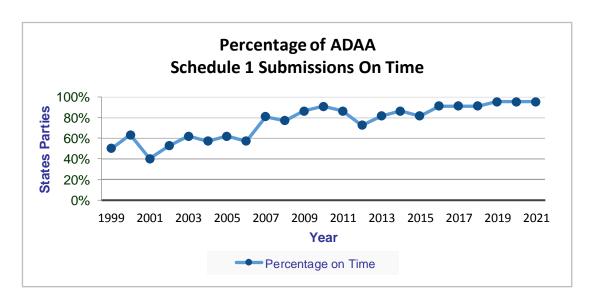
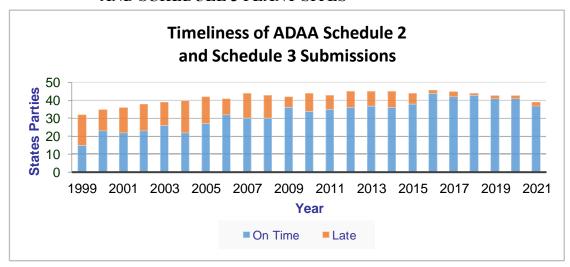
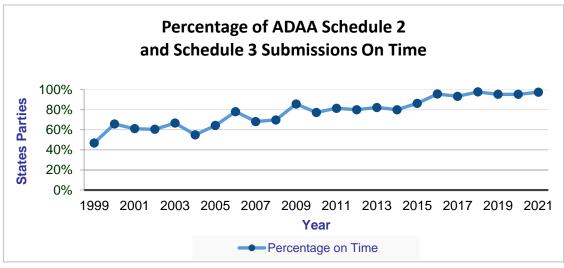


FIGURE 4: OVERVIEW OF SUBMISSIONS OF ADAA FOR SCHEDULE 2
AND SCHEDULE 3 PLANT SITES





# Annexes:\*

- Annex 1: Timely Submissions of Annual Declarations on Past Activities for 2019 (as at 30 March 2020)
- Annex 2: Additional Submissions of Annual Declarations on Past Activities for 2019 (as at 31 December 2020)
- Annex 3: Timely Submissions of Annual Declarations on Anticipated Activities for 2021 (as at 2 October 2020 for Schedule 1 Facilities and 1 November 2020 for Schedule 2 and 3 Plant Sites)
- Annex 4: Additional Submissions of Annual Declarations on Anticipated Activities for 2021 (as at 31 December 2020)

<sup>\*</sup> In the following annexes, an asterisk (\*) denotes the submission of a nil declaration.

# Annex 1

# TIMELY SUBMISSIONS OF ANNUAL DECLARATIONS ON PAST ACTIVITIES FOR 2019 (AS AT 30 MARCH 2020)<sup>3</sup>

	State Party	Schedule 1	Schedule 1	Schedule 2	Schedule 2	Schedule 3	Schedule 3	0CPF <sup>5</sup>
	form Tommer	Facilities	Transfers	Plant Sites	AND <sup>4</sup>	Plant Sites	AND	Update
1.	Algeria						27-Mar-20	27-Mar-20
5.	Andorra*	17-Feb-20*	17-Feb-20*	17-Feb-20*	17-Feb-20*	17-Feb-20*	17-Feb-20*	17-Feb-20*
3.	Argentina			30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20
4.	Armenia*	27-Mar-20*	27-Mar-20*	27-Mar-20*	27-Mar-20*	27-Mar-20*	27-Mar-20*	27-Mar-20*
5.	Australia	13-Mar-20		13-Mar-20	13-Mar-20	13-Mar-20	13-Mar-20	13-Mar-20
9	Austria		30-Mar-20	30-Mar-20	30-Mar-20		30-Mar-20	30-Mar-20
7.	Bahrain						12-Mar-20	
×.	Bangladesh						25-Mar-20	25-Mar-20
9.	Belarus					26-Mar-20	26-Mar-20	26-Mar-20
10.	Belgium	27-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20
11.	Bolivia (Plurinational State of)						30-Mar-20	
12.	Brazil			25-Mar-20	25-Mar-20	25-Mar-20	25-Mar-20	25-Mar-20
13.	Bulgaria			30-Mar-20	30-Mar-20			30-Mar-20
14.	Burkina Faso*	30-Mar-20*	30-Mar-20*	30-Mar-20*	30-Mar-20*	30-Mar-20*	30-Mar-20*	30-Mar-20*
15.	Chile						20-Mar-20	20-Mar-20
16.	China	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20
17.	Costa Rica						19-Mar-20	19-Mar-20
18.	Croatia						05-Mar-20	05-Mar-20
19.	Cuba						27-Mar-20	27-Mar-20

States Parties that submitted ADPA 2019 by the deadline.

AND = aggregate national data.

OCPF = other chemical production facility.

	State Porty	Schedule 1	Schedule 1	Schedule 2	Schedule 2	Schedule 3	Schedule 3	$OCPF^5$
	form Tompo	Facilities	Transfers	Plant Sites	AND <sup>4</sup>	Plant Sites	AND	Update
20.	Czech Republic	06-Feb-20	06-Feb-20					
21.	Dominican Republic						18-Feb-20	
22.	Estonia							13-Mar-20
23.	Ethiopia						24-Mar-20	24-Mar-20
24.	Finland	19-Mar-20	19-Mar-20	19-Mar-20	19-Mar-20		19-Mar-20	19-Mar-20
25.	France	13-Mar-20	13-Mar-20	13-Mar-20	13-Mar-20	13-Mar-20	13-Mar-20	13-Mar-20
26.	Germany		17-Mar-20	17-Mar-20	17-Mar-20	17-Mar-20	17-Mar-20	17-Mar-20
27.	India	24-Feb-20		24-Feb-20	24-Feb-20	24-Feb-20	24-Feb-20	24-Feb-20
28.	Iran (Islamic Republic of)	18-Mar-20		18-Mar-20		18-Mar-20	18-Mar-20	18-Mar-20
29.	Iraq						24-Mar-20	24-Mar-20
30.	Ireland			12-Mar-20	12-Mar-20		12-Mar-20	12-Mar-20
31.	Italy			27-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20
32.	Japan	05-Mar-20		30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20
33.	Latvia							12-Feb-20
34.	Malaysia		26-Mar-20		26-Mar-20	26-Mar-20	26-Mar-20	26-Mar-20
35.	Mauritius						30-Jan-20	
36.	Mexico			20-Mar-20	20-Mar-20	20-Mar-20	20-Mar-20	20-Mar-20
37.	Morocco						30-Mar-20	30-Mar-20
38.	Oman						15-Mar-20	15-Mar-20
39.	Pakistan	25-Mar-20					25-Mar-20	25-Mar-20
40.	Philippines						30-Mar-20	
41.	Poland			30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20
42.	Qatar						05-Mar-20	05-Mar-20
43.	Republic of Korea	16-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20	27-Mar-20
44.	Romania				13-Mar-20		13-Mar-20	13-Mar-20
45.	Russian Federation			24-Mar-20	24-Mar-20	24-Mar-20	24-Mar-20	24-Mar-20
46.	Serbia	10-Mar-20						
47.	Singapore	19-Mar-20	19-Mar-20				19-Mar-20	19-Mar-20

	-7Q -773	Schedule 1	Schedule 1	Schedule 2	Schedule 2	Schedule 3	Schedule 3	$OCPF^5$
	State Farty	Facilities	Transfers	Plant Sites	$AND^4$	Plant Sites	AND	Update
48.	Slovakia	20-Mar-20		19-Mar-20	19-Mar-20	19-Mar-20	19-Mar-20	19-Mar-20
49.	Spain	25-Mar-20	25-Mar-20	25-Mar-20	25-Mar-20	25-Mar-20	25-Mar-20	25-Mar-20
50.	Sudan*	17-Feb-20*	17-Feb-20*	17-Feb-20*	17-Feb-20*	17-Feb-20*	17-Feb-20*	17-Feb-20*
51.	Sweden	11-Mar-20	11-Mar-20	11-Mar-20	11-Mar-20	11-Mar-20	11-Mar-20	11-Mar-20
52.	Switzerland	19-Mar-20	19-Mar-20	19-Mar-20	19-Mar-20	19-Mar-20	19-Mar-20	19-Mar-20
53.	Syrian Arab Republic*			30-Mar-20*	30-Mar-20*	30-Mar-20*	30-Mar-20*	30-Mar-20*
54.	Turkey			20-Mar-20	20-Mar-20	20-Mar-20	20-Mar-20	20-Mar-20
55.	Ukraine			06-Mar-20	06-Mar-20	06-Mar-20	06-Mar-20	06-Mar-20
56.	United Arab Emirates						30-Mar-20	
57.	United Kingdom of Great	11-Mar-20	11-Mar-20	11-Mar-20	11-Mar-20	11-Mar-20	11-Mar-20	11-Mar-20
	Britain and Northern Ireland							
58.	United States of America	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20	30-Mar-20
59.	Uruguay						27-Mar-20	27-Mar-20
.09	Uzbekistan					25-Feb-20	25-Feb-20	25-Feb-20
61.	Venezuela (Bolivarian				30-Mar-20		30-Mar-20	30-Mar-20
	Republic of)							
62.	Viet Nam						11-Mar-20	11-Mar-20

Annex 2

# ADDITIONAL SUBMISSIONS OF ANNUAL DECLARATIONS ON PAST ACTIVITIES FOR 2019 (AS AT 31 DECEMBER 2020)<sup>6</sup>

	Stote Denter	Schedule 1	Schedule 1	Schedule 2	Schedule 2	Schedule 3	Schedule 3	OCPF
	State Farty	Facilities	Transfers	Plant Sites	AND	Plant Sites	AND	Update
1.	Albania*	08-Apr-20*	08-Apr-20*	08-Apr-20*	08-Apr-20*	08-Apr-20*	08-Apr-20*	08-Apr-20*
2.	Azerbaijan						28-Apr-20	28-Apr-20
3.	Bosnia and Herzegovina							31-Mar-20
4	Burundi*	05-Jun-20*		05-Jun-20*		05-Jun-20*		05-Jun-20*
5.	Canada	30-Jul-20		30-Jul-20	30-Jul-20		30-Jul-20	30-Jul-20
9.	Colombia						28-Apr-20	28-Apr-20
7.	Czech Republic <sup>7</sup>			09-Apr-20	09-Apr-20	09-Apr-20	09-Apr-20	09-Apr-20
8.	Denmark		24-Jun-20	24-Jun-20	24-Jun-20		24-Jun-20	24-Jun-20
9.	Ecuador				28-Apr-20		28-Apr-20	28-Apr-20
10.	El Salvador						25-Aug-20	
11.	Georgia					12-Jun-20	12-Jun-20	12-Jun-20
12.	Greece						08-Apr-20	08-Apr-20
13.	Guatemala							03-Nov-20
14.	Hungary					31-Mar-20	31-Mar-20	31-Mar-20
15.	Indonesia						16-Apr-20	16-Apr-20
16.	Jordan						21-Sep-20	21-Sep-20
17.	Kazakhstan					08-May-20	08-May-20	08-May-20
18.	Kuwait						13-Jul-20	13-Jul-20
19.	Lebanon*	01-Apr-20*	01-Apr-20*	01-Apr-20*	01-Apr-20*	01-Apr-20*	01-Apr-20*	01-Apr-20*
20.	Liechtenstein*			31-Mar-20*	31-Mar-20*	31-Mar-20*	31-Mar-20*	31-Mar-20*

6 States Parties that submitted ADPA 2019 after the deadline.

Also listed under Annex 1 in relation to declarations made under other parts of the Verification Annex.

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OCPF	Update	31-Mar-20				17-Sep-20	24-Apr-20	01-Apr-20	02-Apr-20*		16-Jul-20	01-Jun-20	11-Sep-20	01-Apr-20	23-Sep-20	05-May-20	
Schedule 3	AND	31-Mar-20	08-May-20*	11-May-20		17-Sep-20	24-Apr-20	01-Apr-20	02-Apr-20*	05-Jun-20	16-Jul-20	01-Jun-20	11-Sep-20	01-Apr-20	23-Sep-20		
Schedule 3	Plant Sites		08-May-20*				24-Apr-20		02-Apr-20*				11-Sep-20	01-Apr-20			
Schedule 2	AND	31-Mar-20	08-May-20*		11-Aug-20		24-Apr-20		02-Apr-20*		16-Jul-20		11-Sep-20			05-May-20	
Schedule 2	Plant Sites		08-May-20*		11-Aug-20		24-Apr-20		02-Apr-20*		16-Jul-20					05-May-20	
Schedule 1	Transfers						24-Apr-20		02-Apr-20*		16-Jul-20		11-Sep-20				
Schedule 1	Facilities		08-May-20*				24-Apr-20		02-Apr-20*		16-Jul-20						
Ctoto Douter	State Fafty	Lithuania	Luxembourg*	Malta	Mauritania	Myanmar	Netherlands	27. New Zealand	28. Nicaragua*	29. Nigeria	30. Norway	Peru	Portugal	Saudi Arabia	Serbia <sup>7</sup>	Slovenia	
		21. I	22. I	23. N	24. N	25. N	26. N	27.	28.	29.	30.	31. Peru	32. F	33. S	34. S	35. S	

# Annex 3

# TIMELY SUBMISSIONS OF ANNUAL DECLARATIONS ON ANTICIPATED ACTIVITIES FOR 2021 (AS AT 2 OCTOBER 2020 FOR SCHEDULE 1 FACILITIES AND 1 NOVEMBER 2020 FOR SCHEDULE 2 AND 3 PLANT SITES)<sup>8</sup>

	State Party	Schedule 1 Facilities	Schedule 2 Plant Sites	Schedule 3 Plant Sites
1.	Andorra*	28-Sep-20*	28-Sep-20*	28-Sep-20*
2.	Argentina		05-Oct-20	05-Oct-20
3.	Australia	16-Sep-20	28-Oct-20	28-Oct-20
4.	Austria		28-Oct-20	
5.	Belgium	25-Sep-20	30-Oct-20	30-Oct-20
6.	Brazil		27-Oct-20	27-Oct-20
7.	Bulgaria		26-Oct-20	
8.	Canada	30-Sep-20	30-Sep-20	
9.	China	16-Sep-20	21-Oct-20	21-Oct-20
10.	Croatia*	02-Oct-20*	02-Oct-20*	02-Oct-20*
11.	Czech Republic	21-Sep-20	30-Oct-20	30-Oct-20
12.	Denmark		28-Oct-20	
13.	Finland	16-Sep-20	16-Sep-20	
14.	France	25-Sep-20	26-Oct-20	26-Oct-20
15.	Georgia			28-Oct-20
16.	Germany		26-Oct-20	26-Oct-20
17.	Hungary			30-Oct-20
18.	India	11-Sep-20	17-Sep-20	17-Sep-20
19.	Iran (Islamic Republic of)	01-Oct-20	01-Oct-20	01-Oct-20
20.	Italy		16-Oct-20	16-Oct-20
21.	Japan	30-Sep-20	30-Oct-20	30-Oct-20
22.	Latvia*	30-Sep-20*	30-Sep-20*	30-Sep-20*
23.	Malaysia			19-Aug-20
24.	Mexico		09-Oct-20	09-Oct-20
25.	Netherlands	30-Sep-20	30-Sep-20	30-Sep-20
26.	Norway	18-Sep-20	18-Sep-20	
27.	Pakistan	28-Sep-20		
28.	Poland		28-Oct-20	28-Oct-20
29.	Portugal			28-Sep-20
30.	Republic of Korea	22-Sep-20	27-Oct-20	27-Oct-20
31.	Romania*		16-Oct-20*	16-Oct-20*
32.	Russian Federation		30-Oct-20	30-Oct-20
33.	Saudi Arabia			29-Oct-20
34.	Singapore	15-Sep-20		

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	State Party	Schedule 1 Facilities	Schedule 2 Plant Sites	Schedule 3 Plant Sites
35.	Slovakia	25-Sep-20		
36.	Slovenia		27-Oct-20	
37.	South Africa	22-Sep-20		22-Sep-20
38.	Spain	25-Sep-20	27-Oct-20	27-Oct-20
39.	Sweden	24-Sep-20	27-Oct-20	27-Oct-20
40.	Switzerland	21-Sep-20	12-Oct-20	12-Oct-20
41.	Ukraine		30-Oct-20	
42.	United Kingdom of Great	03-Sep-20	29-Sep-20	29-Sep-20
	Britain and Northern Ireland			
43.	United States of America	02-Oct-20	30-Oct-20	30-Oct-20
44.	Uzbekistan			28-Sep-20

# Annex 4

# ADDITIONAL SUBMISSIONS OF ANNUAL DECLARATIONS ON ANTICIPATED ACTIVITIES FOR 2021 (AS AT 31 DECEMBER 2020) $^9$

	State Party	Schedule 1 Facilities	Schedule 2 Plant Sites	Schedule 3 Plant Sites
1.	Algeria*		26-Nov-20*	26-Nov-20*
2.	Ireland		11-Nov-20	
3.	Serbia	05-Oct-20		

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